

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Decent Housing	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	100		0	100	
Decent Housing	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$	Rental units constructed	Household Housing Unit	0	0				

Decent Housing	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$	Rental units rehabilitated	Household Housing Unit	30	0	0.00%	6	2	33.33%
Decent Housing	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$	Homeowner Housing Rehabilitated	Household Housing Unit	150	34	22.67%	40	100	250.00%
Decent Housing	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$	Direct Financial Assistance to Homebuyers	Households Assisted	75	0	0.00%	15	5	33.33%
Decent Housing	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	0	0		25	0	0.00%
Decent Housing	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$	Homeless Person Overnight Shelter	Persons Assisted	1000	0	0.00%	500	0	0.00%

Decent Housing	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$	Homelessness Prevention	Persons Assisted	750	0	0.00%			
Decent Housing	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$	HIV/AIDS Housing Operations	Household Housing Unit	0	5		70	5	7.14%
Decent Housing	Affordable Housing Homeless Non-Homeless Special Needs	CDBG: \$ / HOPWA: \$ / HOME: \$ / ESG: \$	Housing Code Enforcement/Foreclosed Property Care	Household Housing Unit	500	0	0.00%	50	75	150.00%
Economic Development	Non-Housing Community Development	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	1		0	1	
Economic Development	Non-Housing Community Development	CDBG: \$	Facade treatment/business building rehabilitation	Business	0	0		0	0	
Economic Development	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	25	23	92.00%	6	23	383.33%

Neighborhood Revitalization	Non-Housing Community Development	CDBG: \$ / HOME: \$278957	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	10000	8000	80.00%	0	1800	
Neighborhood Revitalization	Non-Housing Community Development	CDBG: \$ / HOME: \$278957	Housing Code Enforcement/Foreclosed Property Care	Household Housing Unit	500	0	0.00%	50	0	0.00%
Neighborhood Revitalization	Non-Housing Community Development	CDBG: \$ / HOME: \$278957	Other	Other	0	0		5	0	0.00%
Public Facilities	Non-Housing Community Development		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	1000	0	0.00%			
Public Services Assistance	Affordable Housing Public Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	20000	3238	16.19%	4000	10000	250.00%

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	3,339,708	4,320,363
HOME	public - federal	1,008,684	933,646
HOPWA	public - federal	748,852	701,813
ESG	public - federal	291,588	136,173

Table 3 - Resources Made Available

Narrative

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
ALBANY	20		
Arbor Hill	20		
Capital Hill	20		
North Albany	20		
SOUTH END	20		
West Hill	20		

Table 4 – Identify the geographic distribution and location of investments

Narrative

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	9,898,637
2. Match contributed during current Federal fiscal year	219,245
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	10,117,882
4. Match liability for current Federal fiscal year	207,382
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	9,910,500

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
Habitat for Humanity	09/20/2022	219,245	0	0	0	0	0	219,245

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
0	0	0	0	0

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	330,754	0	0	180,864	149,890	0
Number	17	0	0	9	8	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	58,089	58,089	0			
Number	6	6	0			
Sub-Contracts						
Number	5	5	0			
Dollar Amount	15,791	15,791	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	3	0	0	3	0	0
Dollar Amount	67,603	0	0	67,603	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	0	0
Number of Non-Homeless households to be provided affordable housing units	0	0
Number of Special-Needs households to be provided affordable housing units	0	0
Total	0	0

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	0	0
Number of households supported through The Production of New Units	0	0
Number of households supported through Rehab of Existing Units	0	0
Number of households supported through Acquisition of Existing Units	0	0
Total	0	0

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

Discuss how these outcomes will impact future annual action plans.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	2	0
Low-income	8	0
Moderate-income	13	0
Total	23	0

Table 13 – Number of Households Served

Narrative Information

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Albany County has made progress in meeting stated objectives for reducing and ending homelessness. The Albany County Continuum of Care has developed several strategies and action steps to reach out to homeless persons, specifically those unsheltered and assess individual needs. Current strategies include the continued support of: Interfaith Partnership for the Homeless' ESG funded Drop-in Center, the RYH-funded youth outreach program by St. Anne's and the PRIDE Center, and the NYS ESG funded Homeless Action Committee Outreach Van Service. Interfaith Partnership for the Homeless' Day Shelter Drop-in Center for the homeless provides the primary point of contact and entry into the Continuum of Care for the Chronically Homeless in Albany. It provides a variety of unique support services for this sub-population including: showers, laundry, phone/fax/copier, mailboxes, daily meal, and clothing. Interfaith Partnership for the Homeless House continues to expand the type and scope of services it offers at its Community Center/Day Shelter, which is located at the agency's facility on Sheridan Avenue in Albany. St. Anne's outreach project serves homelessness and at-risk youth; with a focus on LGBTQ community. The programs support and advocacy to for the youth with the focus of ensuring/gaining safe permanent housing. The HAC Outreach Van assists unsheltered homeless adults providing them with compassionate human contact, a listening ear, food, clothing, blankets, referrals and transportation to area services.

In addition the CoC has implemented a coordinated entry system designed to quickly and efficiently assess client need and refer/place clients into appropriate services. The Albany CoC's Coordinated Entry (CE) includes key stakeholders in developing and operating a No Wrong Door system. The system reaches homeless individuals and families least likely to access the process through street outreach and the drop-in center. The CE process ensures participants are directed to appropriate housing/services: participants fill out a community developed assessment upon presenting at any of the participating programs; The Point of Entry (POE) agency sends assessments to CE Facilitator and 3 different agencies who are appropriate housing options based on eligibility, expressed client-choice, and bed openings. Participants are prioritized on a master community list based on chronicity of homelessness and severity of service needs. Biweekly case conferencing ensures prioritization based on vulnerability and appropriate placement based on service needs. Agencies follow a low-barrier model per the Written Standards.

Addressing the emergency shelter and transitional housing needs of homeless persons

Emergency Housing:

- City Mission of Albany – Men's Shelter – Provides a total of 115 beds for single men

- City Mission of Albany – Women’s Shelter - Provides a total of 6 beds for single men woman
- Equinox – House for Youth – Provides 13 beds for victims of domestic violence.
- Equinox - Domestic Violence Shelter – Provides a total of 30 beds for victims of domestic violence
- Interfaith Partnership for the Homeless– Provides 30 beds for single men/women
- Interfaith Partnership for the Homeless – Safe Haven – Provides 19 beds men/women (seasonal)
- Catholic Charity- Mercy House – Provides 19 beds for women
- Catholic Charity- Lwanga House – Provides 13 beds for men
- SPARC – Morton Avenue Shelter – Provides 11 beds for men/women
- St. Catherine’s Center for Children – Provides 70 beds (24 units) for households with children
- FOCUS Church – Overflow Shelter-Provides 19 beds for men/women (seasonal)
- Department of Social Services – Provides hotel/motel vouchers for singles and families.

Transitional Housing:

- Addictions Care Center of Albany – Provides 17 beds for single women/women with children
- Albany Housing Coalition – CoC and VA funded - Grant Per Diem - Provides 27 beds for single male veterans
- Catholic Charity- Mercy House Transitional– Provides 2 beds for women
- City Mission of Albany – Family Program - Provides 14 beds for women and children
- City Mission of Albany – Transitional Program - Provides 29 beds for single men/women
- Equinox – Project Independence– Provides 7 beds for male/female youth
- Equinox – Transitional Living Program – Provides 17 beds for male/female youth
- Support Ministries – Perry House – Provides 6 beds for HIV positive single men
- The Joyce Center – Provides 22 beds for youth aged females and their children

These programs have been extremely successful rapidly rehousing clients/providing transitional services due to the increased collaboration between key stakeholder such as the Albany Municipal Housing Authority and The Community Builders (an active affordable housing developer

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The CoC continues to make progress helping low-income individuals and families avoid becoming homeless. The CoC focuses on helping extremely low-income households who are likely to become homeless after discharge from institutions and systems of care by collaborating with mainstream providers to identify risk factors for becoming homeless. Specifically, fact-finding with: mainstream providers to identify those being discharged to homelessness and identifying why. The CoC also works with general assistance providers to discover situations where people are being criminalized; and prevention assistance providers, United Tenants of Albany and Legal Aid, to determine reasons why

households need assistance. Specific steps the CoC has taken to help low-income households becoming homeless for the first time include working w/the City of Albany and prevention assistance providers to coordinate shelter intake via CE process, utilizing ESG funds to divert/prevent homelessness, and using a diversion/prevention assessment process for households who present at DSS.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The Albany County CoC, in collaboration with the City of Albany is helping chronically homeless households, families with children, veterans and unaccompanied youth make the transition to permanent housing and independent living by ensuring shorter periods of homeless through the use of coordinated entry and by facilitating access to affordable housing units by working with the Albany Housing Authority. In addition, the CoC has implemented strategies to identify and minimize returns to homelessness. Sixteen percent of households who exited homelessness in FY13 experienced additional spells of homelessness by FY15. This number will be reduced by the current collaboration between CoC funded agencies, the City of Albany and NYS Office of Temporary and Disability Assistance to utilize ESG funding to identify and minimize the number of households who may return to homelessness. The CoC utilizes programs, such as eviction prevention representation (Legal Aid) and case management. The CoC continues to work on improving client access to mainstream benefits. The CoC runs quarterly reports from HMIS to monitor/record returns to homelessness. All CoC/ESG programs conduct follow up w/clients to reduce additional episodes of homelessness, which are recorded in HMIS. Additionally, HMIS produces an annual systems performance report, allowing the community to track their progress as a system, rather than individually, to better identify gaps to fill.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

- **Expand the supply of assisted housing.** Leverage private or other public funds to create additional housing opportunities. Develop additional replacement housing for the remaining towers at Lincoln Square Homes. Develop replacement housing on- and off-site for Ida Yarbrough Lo-rise Homes. Explore development opportunities and partnerships for market rate rental and homeownership housing, workforce housing, and supportive housing in the City of Albany and elsewhere. Explore converting Steamboat Square Hi-rise towers into mixed-income, mixed-use housing as part of a vibrant market-rate mixed-use redevelopment plan for the South Waterfront District. Develop market rate rental and homeownership housing products for residents paying the 'flat rent'. Market to these families and assist them in taking advantage of these products. Participate in the creation of neighborhood plans that would result in the expansion of a variety of quality affordable housing products necessary for the neighborhood's revitalization, including affordable market rate housing. Cooperate and participate where appropriate with initiatives such as the Albany County Landbank and the Affordable Housing Partnership's Brownfields Study to return distressed and abandoned buildings and vacant and contaminated land to productive use for affordable housing and other uses conducive to neighborhood revitalization. Partner with others who would expand the supply of supportive housing, assisted housing and market rate housing. Use best efforts to balance the development of assisted housing and special needs housing with market rate housing.
- **Demolish or dispose of obsolete public housing.**
- Pursue disposition and demolition of the obsolete towers at 1, 2, and 3 Lincoln Square Homes per the Capital South Plan.
- Explore disposition, demolition and replacement of Robert Whalen Homes.
- Pursue disposition, demolition and replacement of remaining units at Ida Yarbrough Lo-rise Homes.
- Explore disposition of Ida Yarbrough Hi-rise Homes as a means to creating mixed-income housing and financing modernization and deep energy retrofit.
- Explore disposition of Westview Homes as a means to creating continuum of care housing and financing modernization and deep energy retrofit.
- Explore the feasibility and marketability of disposing of public housing rental units suited for homeownership at NY9-12 Steamboat Square Historic Rehabs, NY9-21 Scattered Sites (3rd Street), NY 9-22 (Ontario and Sherman Streets), and NY 9-29 (Pieter Schuyler Court).

modernization \$500,000 is earmarked for development of Ida Yarbrough Low-rise. Other work includes possible additional demolition at Ida Yarbrough Low-rise and the following work at other sites: site work (parking lot paving, walkways, and drainage improvements), envelope (roofing, pointing, siding, doors), flooring, kitchens, baths, piping, accessibility, sprinklers, compactors, and a 2nd phase of energy audits

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

Continue to encourage residents to be involved on the Resident Advisory Board. Continue to encourage residents to participate in their neighborhood association. Continue to partner with the Affordable Housing Partnership and other organizations that promote financial self-sufficiency and homeownership. Continue to apply for Resident Opportunities and Self Sufficiency and other funding to support the WAGE Center. Explore homeownership opportunities within and outside of traditionally low-income neighborhoods and promote those opportunities to residents, especially those paying the flat rent.

Actions taken to provide assistance to troubled PHAs

n/a

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

In December 2014, Mayor Sheehan announced the start of **ReZone Albany** -- a major new initiative to update and streamline the City's antiquated Zoning Code. This two year effort, funded largely by the New York State Energy Research and Development Authority's (NYSERDA) Cleaner, Greener Communities Program, is designed to make the City a better place to live, work and invest. **ReZone Albany** will create a new Unified Sustainable Development Ordinance that is user-friendly, better aligned with priorities established in the City's Albany 2030 Comprehensive Plan, and promotes energy conservation and sustainable development. Updating the City's Zoning Code will reduce burdens on small business owners, streamline the City's procedures for reviewing and approving new development, and protect established neighborhoods. In May of 2017 the Rezone Albany initiative was passed by the Albany Common Council with an implementation date of 6/1/17

Another initiative of Mayor Sheehan is the Code Compliance Pilot Program. This pilot program is an initiative designed to enhance the quality of life in Albany's neighborhoods. City staff is moving block by block, building by building and lot by lot through the City of Albany to strategically address code compliance, public safety, abandoned buildings, and community development. At the same time, the initiative is taking a hard look at the City's business model and information flow to create an updated and more efficient system for communication between City departments.

In addition, ACDA has recently, through grant funding, hired a Housing Services Advocate who will be acting as a liaison between landlords and tenants. This position will provide insight on housing policies and barriers to affordable housing in the City and will make suggestions regarding policies and how they impact the housing situations in the city.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

Upon her election as mayor of the City of Albany, Mayor Kathy Sheehan joined with mayors from cities across the country in a landmark commitment to combat rising inequality and declining opportunity that threaten our nation's fundamental values and economic growth. Mayor Sheehan participated, at the invitation of New York City Mayor Bill de Blasio, in the Summit on Inequality – Commitment to Action and became a charter member of the ground-breaking Cities of Opportunity Task Force.

Since then, the Mayor convened the City's first ever Equity Agenda Advisory Group comprised of residents representing constituencies that historically have been marginalized in Albany's civic life,

including residents of public housing and members of the refugee community. The Advisory Group held its first public forum in late July during which parents and caregivers spoke directly to the Mayor and out-of-school program providers about gaps in resources for Albany's residents.

With the 2023 budget cycle, Mayor Sheehan introduced the creation of a new department called the Department of Neighborhood and Community Services. This department, comprised of Housing and Community Development, The Department of Building and Regulatory Compliance, the Planning Department and the Neighborhood Services Department was created to provide better access to city services to all members of the community. Especially those who feel disenfranchised and unable to navigate the services and departments throughout the City.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The City was just awarded a \$3.5 million lead hazard reduction grant to provide 160 units of lead safe housing in the City

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

Most activities undertaken by the City of Albany with CDBG, HOME, ESG, and HOPWA funds are efforts to reduce persons in poverty and improve the quality of life for residents, either directly or indirectly. Programs that directly influence the poverty level include: job enrichment, development and and economic development. In addition, our ESG funds provide direct services to homeless or those at risk of homelessness. Projects that indirectly affect poverty include those that upgrade the community and provide affordable housing. Consolidated Plan program funds are often used as matching funds for other grants that also reduce the number of poverty level families.

In 2016, the City of Albany became a recipient of NYS Poverty Reduction funding. funding in the amount of \$1.5 million will be utilized to address poverty issues in the City, especially surrounding workforce development issues.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

The CoC and the City continue to work with key stakeholders to ensure that CoC, ESG, private and other government funds are most effectively utilized in order to prevent and end homelessness. This coordination created additional financial assistance, legal assistance, and case management programs for those at risk of homelessness and those in need of rapid re-housing.

The CoC has also set the following goals to address priority needs:

- Develop and secure resources to address unique needs of chronic homeless persons.
- Coordinate efforts within the community to address the needs of persons who are chronically homeless.

Create additional units of permanent housing for homeless individuals and families

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

Community Development Agency (ACDA) is the Lead Agency responsible for development of the Consolidated Plan. The Agency has administered the Community Development Block Grant (CDBG) for the past 39 Years. The Agency is a public agency under the Department of Development and Planning. The governing board of the Agency consists of the Mayor, City Comptroller, City Clerk, Commissioner of Administrative Services, President of the Common Council, the City's Corporation Counsel and the Agency Director.

Public Sector

The City's Housing and Community Development Department has evolved into a key housing agency, and it is essential that the City foster and maintain partnerships with other public agencies for the successful delivery of its housing and community development programs. The City will coordinate with the following public agencies when carrying out its Consolidated Plan.

- DEartment of General Services;
- City of Albany Planning Department
- Department of Youth and Workforce Services
- Departmnt of codes and regulatory compliance
- Albany County Department of Social Services
- Albany County Health Department
- Albany Housing Authority

The City continues to support several not for profit organizations throughout the City that are instrumental in meeting the needs of Albany's residents. These organizations play a key role in delivering services to the public and providing programs essential to the community such as homeless services, youth programs, domestic violence assistance, and special needs services. Not for profit organizations that have played a key role in developing and carrying out the mission of the City's plan include:

- Capitalize Albany Corporation
- Habitat for Humanity
- South End Improvement Corp
- Arbor Hill Development Corp
- CARES

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

The City conducts all monitoring in accordance with HUD regulations for the CDBG, HOME, and ESG programs. Guidelines have been developed for evaluating which projects will be monitored using criteria such as whether it is a newly funded project, projects that have continuously received funding but have not been monitored in the past three years, projects that have undergone restructuring or administratively there have been personnel changes, projects that have previously garnered significant findings, and projects receiving a large amount of funding.

The City conducts desk monitoring when reviewing documentation submitted from any sub-recipient such as applications for funding and quarterly reports. On-site monitoring is also conducted. In preparation for the on-site visit the City reviews file documentation kept by the Department of Housing and Community Development for each project. This includes an overview of the statement of work, budget, recent vendor claims, quarterly reports, and previous CAPERs. The City will coordinate with appropriate staff within the department that oversees the administration of the project to gather all relevant data. The on-site monitoring is a comprehensive review of the project and the City will observe the project in action if possible, results of the completed project, and all required documentation that should be kept by the sub-recipient in accordance with HUD regulations. The City is sure to ask all relevant questions regarding the project and the sub-recipients performance to ensure compliance with the Consolidated Plan and federal requirements. The City will provide a monitoring letter to the sub-recipient stating any findings, concerns, or recommendations that need to be resolved.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

A draft of the annual CAPER is made available to the public at the Department of Housing and Community Development - it can also be emailed to anyone requesting an electronic

version. Instructions for written comment on the draft CAPER are given to anyone that requests a copy of the report.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 24 CFR 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in 24 CFR §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 24 CFR 91.520(e) and 24 CFR 92.351(a)

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

Describe other actions taken to foster and maintain affordable housing. 24 CFR 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 24 CFR 91.320(j)

Each year the City, through its HOME Program, provides financial support to larger development corporations that are applying for LIHTC funding - these small HOME investments provide the support needed to create additional affordable housing units throughout the City.

CR-55 - HOPWA 91.520(e)

Identify the number of individuals assisted and the types of assistance provided

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

Number of Households Served Through:	One-year Goal	Actual
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	9	0
Tenant-based rental assistance	52	0
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	27	0
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	19	0

Table 14 – HOPWA Number of Households Served

Narrative

CR-58 – Section 3

Identify the number of individuals assisted and the types of assistance provided

Total Labor Hours	CDBG	HOME	ESG	HOPWA	HTF
Total Number of Activities	0	0	0	0	0
Total Labor Hours					
Total Section 3 Worker Hours					
Total Targeted Section 3 Worker Hours					

Table 15 – Total Labor Hours

Qualitative Efforts - Number of Activities by Program	CDBG	HOME	ESG	HOPWA	HTF
Outreach efforts to generate job applicants who are Public Housing Targeted Workers					
Outreach efforts to generate job applicants who are Other Funding Targeted Workers.					
Direct, on-the job training (including apprenticeships).					
Indirect training such as arranging for, contracting for, or paying tuition for, off-site training.					
Technical assistance to help Section 3 workers compete for jobs (e.g., resume assistance, coaching).					
Outreach efforts to identify and secure bids from Section 3 business concerns.					
Technical assistance to help Section 3 business concerns understand and bid on contracts.					
Division of contracts into smaller jobs to facilitate participation by Section 3 business concerns.					
Provided or connected residents with assistance in seeking employment including: drafting resumes, preparing for interviews, finding job opportunities, connecting residents to job placement services.					
Held one or more job fairs.					
Provided or connected residents with supportive services that can provide direct services or referrals.					
Provided or connected residents with supportive services that provide one or more of the following: work readiness health screenings, interview clothing, uniforms, test fees, transportation.					
Assisted residents with finding child care.					
Assisted residents to apply for, or attend community college or a four year educational institution.					
Assisted residents to apply for, or attend vocational/technical training.					
Assisted residents to obtain financial literacy training and/or coaching.					
Bonding assistance, guaranties, or other efforts to support viable bids from Section 3 business concerns.					
Provided or connected residents with training on computer use or online technologies.					
Promoting the use of a business registry designed to create opportunities for disadvantaged and small businesses.					

Outreach, engagement, or referrals with the state one-stop system, as designed in Section 121(e)(2) of the Workforce Innovation and Opportunity Act.					
Other.					

Table 16 – Qualitative Efforts - Number of Activities by Program

Narrative

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name ALBANY
Organizational DUNS Number 192544484
UEI
EIN/TIN Number 141505559
Identify the Field Office BUFFALO
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance Albany City & County CoC

ESG Contact Name

Prefix Mr
First Name Michael
Middle Name A
Last Name Foley
Suffix
Title Director

ESG Contact Address

Street Address 1 200 Henry Johnson Blvd
Street Address 2
City Albany
State NY
ZIP Code -
Phone Number 5184345265
Extension
Fax Number 5184345242
Email Address mfoley@albanyny.gov

ESG Secondary Contact

Prefix	Ms
First Name	Nancy
Last Name	Chiarella
Suffix	
Title	Executive Director
Phone Number	5184345265
Extension	
Email Address	NChiarella@cares.org

2. Reporting Period—All Recipients Complete

Program Year Start Date	06/01/2022
Program Year End Date	05/31/2023

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: Legal Aid Society of Northeastern New York
City: Albany
State: NY
Zip Code: 12206, 3001
DUNS Number: 141338448
UEI:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 20000

Subrecipient or Contractor Name: UNITED TENANTS OF ALBANY
City: ALBANY
State: NY
Zip Code: ,
DUNS Number:
UEI:
Is subrecipient a victim services provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 79000

Subrecipient or Contractor Name: Catholic Charities

City: Albany

State: NY

Zip Code: 12203, 1414

DUNS Number: 148536790

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 50000

Subrecipient or Contractor Name: Interfaith Partnership for the Homeless

City: Albany

State: NY

Zip Code: 12210, 2407

DUNS Number: 199478236

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 97889

Subrecipient or Contractor Name: CARES Inc

City: Albany

State: NY

Zip Code: 12210, 1551

DUNS Number: 070919852

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 21869

Subrecipient or Contractor Name: St Anne Institute

City: Albany

State: NY

Zip Code: 12206, 1821

DUNS Number:

UEI:

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 23000

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	
Female	
Transgender	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	
18-24	
25 and over	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households				
Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans				
Victims of Domestic Violence				
Elderly				
HIV/AIDS				
Chronically Homeless				
Persons with Disabilities:				
Severely Mentally Ill				
Chronic Substance Abuse				
Other Disability				
Total (unduplicated if possible)				

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units – Rehabbed	
Number of New Units – Conversion	
Total Number of bed - nighths available	
Total Number of bed - nights provided	
Capacity Utilization	

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Expenditures for Rental Assistance	105,177	66,860	67,055
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	105,177	66,860	67,055

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	0	0	0

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Essential Services	166,180	165,055	142,540
Operations	0	0	0
Renovation	0	0	0

Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	166,180	165,055	142,540

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2020	2021	2022
Street Outreach	0	0	0
HMIS	0	0	0
Administration	22,002	21,883	16,401

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2020	2021	2022
	293,359	253,798	225,996

Table 29 - Total ESG Funds Expended

11f. Match Source

	2020	2021	2022
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	0
Local Government	0	0	0
Private Funds	0	0	0

Other	293,359	253,798	225,996
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	293,359	253,798	225,996

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2020	2021	2022
	586,718	507,596	451,992

Table 31 - Total Amount of Funds Expended on ESG Activities