

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City of Albany receives four entitlement grants from the U.S. Department of Housing and Urban Development (HUD) each year. These grants are secured and managed by Albany Community Development Agency (ACDA). ACDA allocates the HUD funds to sub-recipients through strategic grants or to the community through housing programs and City-wide neighborhood development or public improvement projects. The primary purpose of these programs is to create viable communities by providing decent housing, a suitable living environment, and economic opportunities principally for low and moderate income people. The decisions for allocating funds are made based on a data-driven needs assessment, extensive consultation and citizen participation. These determinations are defined and summarized in the City's five-year Consolidated Plan FY 2020 – 2024 in a very transparent process involving multiple reviews and feedback sessions from the community. ACDA is committed to providing all members of the community with opportunities to participate in an advisory role in the planning, implementation and evaluation of the City's Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). The process included both formal and informal opportunities for participation. The CPP is designed to encourage participation by low- and moderate-income persons, particularly residents of blighted areas and low- and moderate-income neighborhoods. Participation is also encouraged by non-English speaking persons, persons with disabilities and residents of public assisted housing developments, residents of targeted revitalization areas and other special populations

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

As an entitlement city, the City of Albany receives funding through the U.S. Department of Housing and Urban Development (HUD) in the form of the Community Development Block Grant (CDBG), the HOME Investment Partnerships (HOME) program, and Emergency Shelter Grant (ESG) Grant, and Housing Opportunities for Persons with AIDS (HOPWA). These funds are combined with several local funding sources and private funds to support housing and community development initiatives. The City of Albany has prepared a Five Year Consolidated Plan covering fiscal years 2020-2024 to guide the development and implementation as well as a tool to leverage federal, private, and state funding to accomplish and maximize results of defined goals and objectives on a yearly basis.

The City prepares an Annual Action Plan yearly based on the goals and strategies as outlined in the Five-Year Consolidated Plan and to carry out HUD's national objectives, to provide decent housing, provide suitable living environment and expand economic opportunities. With this plan, the city has a number of local partners, and the citizens to assist with the implementation of the plan. This plan identified activities for Housing, neighborhood revitalization, homeless prevention and programs, housing for people with AIDS and public services. These activities are focused in the City's Neighborhood Strategy Area, but emphasize the importance of working towards improving the City of Albany.

Objectives and needs have been identified through needs assessments and public input include the following:

Priority Housing Needs

- Target available resources into Albany's neighborhoods to spur private investment
- Increase quality homeownership and rental options
- Rehabilitate existing rental and owner-occupied housing
- Address the blighting influence of vacant properties
- Increase cooperation between public and private sectors
- Increase the number of minority homeowners
- Provide expanded public improvements and facilities
- Fund public services that support housing initiatives identified in this consolidated plan and other neighborhood planning initiatives

Priority Homeless Needs

- Emergency Shelter for:
- Chronically homeless individuals who are not able to comply with admission requirements of the existing emergency shelters;
- Emergency shelter for families; and
- Supportive housing for populations with disabilities Permanent supportive housing for chronically homeless persons

Priority Special Needs

- Additional housing and services for persons with mental illness, persons with substance abuse problems, persons with HIV/AIDS, persons with developmental disabilities
- Supportive services for elderly persons

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

Previous activities implemented met the goals established in the previous 2020-2024 Consolidated Plan. Overall the activities have been very successful and in many cases have exceeded expectations. Funds are being spent in a timely manner with most funds being spent prior to the end of each program year

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

6. Summary of comments or views not accepted and the reasons for not accepting them

7. Summary

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

| Agency Role | | Name | Department/Agency |
|---------------------|--------|--|-------------------|
| CDBG Administrator | ALBANY | Albany Housing and Community Development | |
| HOPWA Administrator | ALBANY | Albany Housing and Community Development | |
| HOME Administrator | ALBANY | Albany Housing and Community Development | |
| ESG Administrator | ALBANY | Albany Housing and Community Development | |

Table 1 – Responsible Agencies

Narrative (optional)

As designated by the Mayor and authorized by the Common Council of The City of Albany; the Albany Community Development Agency (ACDA) is the Lead Agency responsible for development of the Consolidated Plan. ACDA secures and manages the U.S. Department of Housing and Urban Development (HUD) funds each year, which are allocated through strategic grants to the community through housing programs or to citywide neighborhood development or public improvement projects. The Agency has administered the Community Development Block Grant (CDBG) for the past 50 Years. ACDA operates under the Department of Neighborhood and Community Services and ACDA's governing board consists of the Mayor, City Treasurer, City Clerk, President of the Common Council, the City's Corporation Counsel and the Agency Director. Staffing of the ACDA is provided by the Department of Housing and Community Development.

Consolidated Plan Public Contact Information

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Annual Action Plan
2024

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AP-10 Consultation – 91.100, 91.200(b), 91.215(l)

1. Introduction

By the Mayors initiative, the consolidated plan and each consecutive annual action plan were developed with the goals and mission of the Albany 2030 plan in mind.

The plan was developed with full collaboration and input from all City departments. Additionally, the Agency consulted with state and county agencies as well as non-profit groups. Several not for profit service providers were encouraged to participate in the consolidated plan process. These groups are the "front-line" in many aspects of community development in the City

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))

In FY 2023, the City of Albany created a new department called The Department of Neighborhood and Community Services. This departmental creation merged three of the City's departments, Building and Regulatory Compliance, ACDA and the Department of Planning and Development under one roof and created a new Neighborhood Services department. As part of this new department, the City added 5 new positions called Neighborhood Specialists. These staff persons work with each of the four merged departments and with community members (residents, businesses, services providers, etc) to create a more seamless approach to how people access City services and to provide a way for residents to know what services are available to them. The department also houses three different housing services - a Vacant building coordinator, a Housing Services Advocate, and a newly created Fair Housing Officer position. These three staff, along with the neighborhood specialists coordinate on a regular basis with the Albany Housing Authority, mental health agencies (County) and city services providers so that they know what is available to City residents and where they can refer residents when services are needed.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The City of Albany plays a major role in the Continuum of Care (CoC) coordinating body. Specifically, the City of Albany is a CoC member. The CoC works diligently with the City of Albany, Albany Department of Social Services, and New York State Office of Temporary and Disability Assistance to most effectively utilize CoC, local and State ESG, and private funding to prevent homelessness within the CoC geographic area. Specifically, the CoC works with systems partners including the City Mission, the Veterans Administration, and the Albany Housing Authority to ensure a no wrong door entry for households seeking assistance. Utilizing funds from the City and State, the CoC has developed financial assistance programs, legal assistance programs, including eviction prevention and representation, and has

improved client participation within mainstream benefits and case management. The CoC supports over 960 beds of permanent supportive housing with 98 beds designated for chronically homeless persons.

As a member of the CoC Strategic Planning Committee, the City of Albany supports identification of homelessness needs in the community and creation of strategies to collaboratively address those needs. The Committee also oversees implementation of the CoC Strategic Plan, ensuring progress towards preventing and reducing homelessness in the community.

The Continuum of Care coordinates with other federal, state, local, and private entities serving the homeless and the at-risk population in the planning and operation of projects in order to ensure a full continuum of care including HOPWA, TANF, RHY, Head Start and other entities. An example of this coordination includes the Alliance for Positive Health (formerly known as the AIDS Council of Northeastern New York) administration of HOPWA funds and participation in the CoC. Through the CoC Systems subcommittee, representatives from the CoC, ESG, private and government funded housing services, including emergency shelter, transitional housing, rapid re-housing, prevention services, and permanent supportive housing programs, work together to ensure that services are coordinated in an appropriate and efficient fashion for clients. The subcommittee meets on a monthly basis and reports to the Board and Membership on a quarterly basis.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

The City of Albany fully consults with the Continuum of Care (CoC) as an active partner in determining the allocation of ESG funds and evaluation of the performance of ESG program sub-recipients. Each year the CoC, through the CoC Systems Committee, proposes updates to the City of Albany regarding the ESG policies and procedures manual, funding allocations, application, and evaluation tool to reflect HUD requirements and best practices, as well as local needs

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

| | | |
|---|--|---|
| 1 | Agency/Group/Organization | CARES Inc |
| | Agency/Group/Organization Type | Services-Persons with HIV/AIDS Services-homeless |
| | What section of the Plan was addressed by Consultation? | Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs HOPWA Strategy |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | The City works closely with CARES to administer its ESG and HOPWA programs as well as to assess the needs of homeless individuals in the City |
| 2 | Agency/Group/Organization | Albany Housing Authority |
| | Agency/Group/Organization Type | Housing PHA |
| | What section of the Plan was addressed by Consultation? | Public Housing Needs |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | The City works closely with the housing authority to assess public housing needs and to refer potential residents |
| 3 | Agency/Group/Organization | Capitalize Albany Corporation |
| | Agency/Group/Organization Type | economic development |
| | What section of the Plan was addressed by Consultation? | Market Analysis Economic Development |
| | | Annual Action Plan 2024 |

| | | |
|---|--|--|
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | The Capitalize Albany Corporation is the economic development arm for the City of Albany. They are consulted by the city for all economic development needs and projects throughout the City |
| 4 | Agency/Group/Organization | CITY OF ALBANY DEPARTMENT OF GENERAL SERVICES |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | infrastructure |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | The City consults with the Department of General Services to provide needs assessments for streets, sidewalks repairs needed in the city |
| 5 | Agency/Group/Organization | CITY OF ALBANY/YOUTH AND FAMILY SERVICES |
| | Agency/Group/Organization Type | Services-Employment Other government - Local |
| | What section of the Plan was addressed by Consultation? | Anti-poverty Strategy |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | this department administers funding from USDOL to provide employment opportunities for youth and adults in Albany County - the City consults with them to assess needs related to youth services, employment and summer programming |
| 6 | Agency/Group/Organization | Albany County Department of Health |
| | Agency/Group/Organization Type | Services-Health Other government - County |
| | What section of the Plan was addressed by Consultation? | Lead-based Paint Strategy |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | The City consults with Albany County Health department for issues related to lead based paint - especially with referrals for children with elevated lead blood levels - the relationship was also vital in developing pandemic protocols during the COVID-19 pandemic |

| | | |
|---|---|--|
| 7 | Agency/Group/Organization | CITY OF ALBANY OF EEO/FH |
| | Agency/Group/Organization Type | Other government - Local |
| | What section of the Plan was addressed by Consultation? | fair housing and M/WBE compliance |
| | Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination? | The City's EEO office has assisted with the development of its fair housing office and oversees all M/WBE compliance for HUD funded rehabs |

Identify any Agency Types not consulted and provide rationale for not consulting

n/a

Other local/regional/state/federal planning efforts considered when preparing the Plan

| Name of Plan | Lead Organization | How do the goals of your Strategic Plan overlap with the goals of each plan? |
|----------------------------|-------------------|--|
| Continuum of Care | CARES | The City of Albany s a member of the CoC and works directly with CARES through its ESG programs to administer its ESG program |
| various neighborhood plans | City of Albany | The goals of the consolidated plan and action plans were developed with the needs and priorities outlined n the neighborhood plans |
| Albany 2030 | City of Albany | The goals of the consolidated plan were based on community needs and those needs identified in the Albany 2030 plan |

Table 3 -- Other local / regional / federal planning efforts

Narrative (optional)

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting

Citizen Participation Outreach

| Sort Order | Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (if applicable) |
|------------|------------------|--|--|------------------------------|--|---------------------|
| 1 | Public Meeting | <p>Minorities</p> <p>Non-English Speaking - Specify other language: upon request the City can provide interpreters or language line for any language needed</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p> | <p>a preliminary budget meeting was held with the ACDA Board</p> <p>- all ACDA board meetings are open to the public -the was a quorum at the meeting, but no members were in attendance</p> | n/a | n/a | |

| Sort Order | Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (if applicable) |
|------------|------------------|--|---|------------------------------|--|---------------------|
| 2 | Public Meeting | <p>Minorities</p> <p>Non-English Speaking - Specify other language: upon request the City can provide interpreters or language line for any language needed</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p> | <p>An ACDA board meeting was held on 4/16/24 to discuss final budget and RFP awards. The budget was approved on the condition that staff would come back if there were significant cuts to the expected allocations - members were present but no members of the community attended for the discussion related to the 2024 budget</p> | n/a | n/a | |

| Sort Order | Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (if applicable) |
|------------|------------------|--|---|------------------------------|--|---------------------|
| 3 | Newspaper Ad | <p>Minorities</p> <p>Non-English Speaking - Specify other language: upon request the City can provide interpreters or language line for any language needed</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p> | <p>an ad was placed in the local newspaper announcing the availability and welcoming of comments for the City's PY 2024 draft action plan. the plan was available on the City's website and hard copies were available at City Hall, the Albany Public Library and the Albany Housing Authority</p> | n/a | n/a | |

| Sort Order | Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (if applicable) |
|------------|------------------|--|---|------------------------------|--|---------------------|
| 4 | Public Meeting | <p>Minorities</p> <p>Non-English Speaking - Specify other language: upon request the City can provide interpreters or language line for any language needed</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p> | <p>A public meeting with the City's Common Council Housing and Community Development Committee was held on 5/22/24. Staff provided an overview of the City's 2024 allocation, provided budget documents and RFP awards. Members of the Committee were present. There were no members of the community present</p> | n/a | n/a | |

| Sort Order | Mode of Outreach | Target of Outreach | Summary of response/attendance | Summary of comments received | Summary of comments not accepted and reasons | URL (If applicable) |
|------------|------------------|--|---|---|--|---------------------|
| 5 | Public Hearing | <p>Minorities</p> <p>Non-English Speaking - Specify other language: upon request the City can provide interpreters or language line for any language needed</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p> | <p>A Public hearing was held on 6/12/24 at 200 Henry Johnson Blvd to discuss current priorities of the 2024 action plan and to listen to any appeals to the RFP preliminary awards. No one was present for the hearing.</p> | <p>One RFP applicant did provide a letter to staff requesting that its funding award be reconsidered.</p> | <p>Since the City had already awarded almost all of its public service dollars it was unable to consider the written request</p> | |

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

Anticipated Resources

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 1 | | | | Expected Amount Available Remainder of ConPlan \$ | Narrative Description |
|---------|------------------|--|----------------------------------|--------------------|--------------------------|-----------|---|--|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | | |
| CDBG | public - federal | Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services | 3,080,288 | 90,000 | 0 | 3,170,288 | 0 | This is the 5th year of the City's consolidated plan. expected amount available is averaged with anticipated funding remaining level |

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 1 | | | | Expected Amount Available Remainder of ConPlan \$ | Narrative Description |
|---------|------------------|---|----------------------------------|--------------------|--------------------------|-----------|---|--|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | | |
| HOME | public - federal | Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA | 777,834 | 1,500 | 0 | 779,334 | 0 | This is the 5th year of the City's consolidated plan. expected amount available is averaged with anticipated funding remaining level |
| HOPWA | public - federal | Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA | 811,496 | 0 | 0 | 811,496 | 0 | This is the 5th year of the City's consolidated plan. expected amount available is averaged with anticipated funding remaining level |

| Program | Source of Funds | Uses of Funds | Expected Amount Available Year 1 | | | Expected Amount Available Remainder of ConPlan \$ | Narrative Description |
|---------|------------------|---|----------------------------------|--------------------|--------------------------|---|--|
| | | | Annual Allocation: \$ | Program Income: \$ | Prior Year Resources: \$ | Total: \$ | |
| ESG | public - federal | Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing | 276,718 | 0 | 0 | 276,718 | This is the 5th year of the City's consolidated plan. expected amount available is averaged with anticipated funding remaining level |
| Other | public - federal | Other | 0 | 0 | 0 | 0 | |

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City utilizes additional grant funding from the US Department of Housing and Urban Development's Healthy Homes division. Grant funds for Lead Hazard Reduction and Healthy Homes are leveraged alongside the City's entitlement funds to produce homeowner rehabilitation programs that can take a more holistic approach to addressing all of the needs in a home.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

ACDA owns a number of properties in its NSAs that will be used for neighborhood revitalization throughout the course of the 5 year plan. Plans are in process to transfer properties owned by ACDA and the Albany County Land Bank to be developed into mixed use, mixed income along the Henry Johnson Blvd corridor. ACDA also transferred a property located at 25 Delaware Ave that was developed into 52 units of affordable housing in a neighborhood that has a high need for more affordable units of housing.

Discussion

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

| Sort Order | Goal Name | Start Year | End Year | Category | Geographic Area | Needs Addressed | Funding | Goal Outcome Indicator |
|------------|----------------|------------|----------|---|---|---|--|--|
| 1 | Decent Housing | 2020 | 2024 | Affordable Housing Homeless Non-Homeless Special Needs | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill | Affordable Housing Homeless Services improvement of existing structures improve infrastructure Code Enforcement | CDBG: \$633,489 HOPWA: \$756,554 HOME: \$636,201 ESG: \$285,615 | Rental units rehabilitated: 5 Household Housing Unit Homeowner Housing Added: 4 Household Housing Unit Homeowner Housing Rehabilitated: 30 Household Housing Unit Direct Financial Assistance to Homebuyers: 10 Households Assisted Tenant-based rental assistance / Rapid Rehousing: 38 Households Assisted Homeless Person Overnight Shelter: 650 Persons Assisted Homelessness Prevention: 50 Persons Assisted HIV/AIDS Housing Operations: 37 Household Housing Unit |

| Sort Order | Goal Name | Start Year | End Year | Category | Geographic Area | Needs Addressed | Funding | Goal Outcome Indicator |
|------------|-----------------------------|------------|----------|--|--|--|--------------------|--|
| 2 | Public Services Assistance | 2020 | 2024 | Affordable Housing Public Housing Homeless Non-Homeless Special Needs Non-Housing Community Development | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill | Public Services | CDBG: \$465,472 | Public service activities other than Low/Moderate Income Housing Benefit: 2312 Persons Assisted |
| 4 | Neighborhood Revitalization | 2020 | 2024 | Non-Housing Community Development | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill | improvement of existing structures improve infrastructure | CDBG: \$211,235 | Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 5000 Persons Assisted |
| 5 | Economic Development | 2020 | 2024 | Non-Housing Community Development | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill | Economic development | CDBG: \$165,843 | Businesses assisted: 6 Businesses Assisted |

Table 6 – Goals Summary

Goal Descriptions

| | | |
|---|------------------|-----------------------------|
| 1 | Goal Name | Decent Housing |
| | Goal Description | |
| 2 | Goal Name | Public Services Assistance |
| | Goal Description | |
| 4 | Goal Name | Neighborhood Revitalization |
| | Goal Description | |
| 5 | Goal Name | Economic Development |
| | Goal Description | |

Projects

AP-35 Projects – 91.220(d)

Introduction

The following projects will be funded in 2024

Projects

| # | Project Name |
|----|---|
| 1 | Mackey Foundation Summer Camp |
| 2 | Albany LEAD Project Management |
| 3 | Grassroot Givers Community Outreach |
| 4 | Grassroot Givers Feed and Read Book Program |
| 5 | Grassroot Givers Clothing Program |
| 6 | HATAS Sweet Dreams |
| 7 | Boys and Girls Club Smart Moves Evening Youth Enrichment |
| 8 | Capital District Recovery Center |
| 9 | Albany Fund for Education Summer Weekend Food Delivery Program |
| 10 | Wizards Wardrobe Tutoring Program |
| 11 | Albany Barn Professional Development of ABI residents |
| 12 | Black Nurses Coalition Health & Community Access |
| 13 | ACAP Empowering Economic Independence |
| 14 | Red Book Shelf Art of Story Telling |
| 15 | Red Book Shelf Community Wellness Tour |
| 16 | Art Partners Youth Arts Workforce Opportunities |
| 17 | Albany DGS City Streets and Sidewalks |
| 18 | Capitalize Albany Small Business Assistance |
| 19 | City Youth and Workforce Svcs Youth Mentoring and Workforce Career Pathways |
| 20 | 2024 Property Management |
| 21 | Mercy House Emergency Shelter |
| 22 | 2024 CDBG In House Homeowner Assistance Programs |
| 23 | Neighborhood Revitalization/brownfields |
| 24 | 2024 CDBG Administration |
| 25 | 2024 CDBG Direct Delivery |
| 26 | HMIS |
| 27 | Albany Street CHDO |
| 28 | 2024 HOME in house homeowner programs |
| 29 | 2024 HOME Administration |

| # | Project Name |
|----|---|
| 30 | 2024 HESG Program admin, shelter and prevention |
| 31 | Alliance TBRA/STRMU |
| 32 | Albany Damien Center |
| 33 | Mohawk Opportunities |
| 34 | Arvilla House/Project Help/Jim Perry House |
| 35 | Ahana House |
| 36 | Unity House |
| 37 | CARES 2024 HOPWA Admin |
| 38 | ACDA HOPWA Admin |

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

All public service allocations and ESG allocations are made through an RFP process each program year.

AP-38 Project Summary
Project Summary Information

Annual Action Plan
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| | | |
|---|--|--|
| 1 | Project Name | Mackey Foundation Summer Camp |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Public Services Assistance |
| | Needs Addressed | Public Services |
| | Funding | CDBG: \$20,000 |
| | Description | The proposed program will sponsor tuition-free attendance of 50 children between the ages of 8 and 14 from the City at Ualbany's summer basketball camp. Through a collaborative effort between WDMF and U Albany, the children will participate in a week-long camp which otherwise would be beyond their means. WDFM will cover tuition for the program, transportation, marketing, food and staffing. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | This program aims to serve 50 children from low to moderate income families |
| | Location Description | |
| | Planned Activities | The proposed program will sponsor tuition-free attendance of 50 children between the ages of 8 and 14 from the City at Ualbany's summer basketball camp. Through a collaborative effort between WDMF and U Albany, the children will participate in a week-long camp which otherwise would be beyond their means. WDFM will cover tuition for the program, transportation, marketing, food and staffing. |
| | Project Name | Albany LEAD Project Management |

| | | |
|---|--|---|
| 2 | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Public Services Assistance |
| | Needs Addressed | Public Services |
| | Funding | CDBG: \$50,000 |
| | Description | The proposed program is project management for the Albany Law Enforcement Assisted Diversion initiative (Albany LEAD), which is a community-based approach to assisting those who may become involved in the criminal justice system because of substance use, mental illness, homelessness and poverty. Since its implementation in Albany in 2016, the initiative has resulted in 321 individuals being diverted from arrest and instead provided the opportunity to connect with case managers who assist them in obtaining essential services; another 62 individuals have been referred to LEAD through social contact referrals outside the context of an immediate arrest situation. The majority of clients were homeless at the time of diversion, and most report a history of substance use and/or mental illness. Albany LEAD project management is responsible for the day-to-day work and longerrange development of the initiative. LEAD is governed by a Policy Coordinating Group consisting of the Mayor of Albany, the Albany County Executive, Albany County District Attorney, City of Albany Police Chief, Executive Director of the Center for Law and Justice, and Executive Director of the Central District Management Association. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | 40 individuals will be served by this program |

| | | | |
|---|-----------------------------|--|---|
| | Location Description | | |
| | Planned Activities | <table border="0" cellpadding="0" cellspacing="0" width="530"><tbody><tr><td style="height: 320px; width: 530px; vertical-align: top;">The proposed program is project management for the Albany Law Enforcement Assisted Diversion initiative (Albany LEAD), which is a community-based approach to assisting those who may become involved in the criminal justice system because of substance use, mental illness, homelessness and poverty. Since its implementation in Albany in 2016, the initiative has resulted in 321 individuals being diverted from arrest and instead provided the opportunity to connect with case managers who assist them in obtaining essential services; another 62 individuals have been referred to LEAD through social contact referrals outside the context of an immediate arrest situation. The majority of clients were homeless at the time of diversion, and most report a history of substance use and/or mental illness. Albany LEAD project management is responsible for the day-to-day work and longerrange development of the initiative. LEAD is governed by a Policy Coordinating Group consisting of the Mayor of Albany, the Albany County Executive, Albany County District Attorney, City of Albany Police Chief, Executive Director of the Center for Law and Justice, and Executive Director of the Central District Management Association.</td></tr></tbody></table> | The proposed program is project management for the Albany Law Enforcement Assisted Diversion initiative (Albany LEAD), which is a community-based approach to assisting those who may become involved in the criminal justice system because of substance use, mental illness, homelessness and poverty. Since its implementation in Albany in 2016, the initiative has resulted in 321 individuals being diverted from arrest and instead provided the opportunity to connect with case managers who assist them in obtaining essential services; another 62 individuals have been referred to LEAD through social contact referrals outside the context of an immediate arrest situation. The majority of clients were homeless at the time of diversion, and most report a history of substance use and/or mental illness. Albany LEAD project management is responsible for the day-to-day work and longerrange development of the initiative. LEAD is governed by a Policy Coordinating Group consisting of the Mayor of Albany, the Albany County Executive, Albany County District Attorney, City of Albany Police Chief, Executive Director of the Center for Law and Justice, and Executive Director of the Central District Management Association. |
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| 3 | Project Name | Grassroot Givers Community Outreach | |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill | |
| | Goals Supported | Public Services Assistance | |
| | Needs Addressed | Public Services | |
| | Funding | CDBG: \$25,000 | |

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|--|--|---|
| | Description | COVID19 led us to develop two new outreach strategies for making our services more accessible to people in Albany: book bikes and pop-up shops. CDPHP has generously provided us with funding for two book bikes to act as our library on wheels, giving us a creative and engaging way to make free books available throughout the city. For our popup shop program, we soon discovered that it was more efficient to host popup shops directly outside our door, rather than travel to a partner agency. As a result, we have dramatically increased the amount of clothing given out. We currently serve 5600 unduplicated clients each year, 3850 through the pop-up shops and 1750 through the book bike program. This year, there is an opportunity to increase our collaborative partnerships, thereby increasing the number of individuals we can distribute books and clothing to in the City of Albany. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | This program aims to serve 165 individuals |
| | Location Description | |
| | Planned Activities | COVID19 led us to develop two new outreach strategies for making our services more accessible to people in Albany: book bikes and pop-up shops. CDPHP has generously provided us with funding for two book bikes to act as our library on wheels, giving us a creative and engaging way to make free books available throughout the city. For our popup shop program, we soon discovered that it was more efficient to host popup shops directly outside our door, rather than travel to a partner agency. As a result, we have dramatically increased the amount of clothing given out. We currently serve 5600 unduplicated clients each year, 3850 through the pop-up shops and 1750 through the book bike program. This year, there is an opportunity to increase our collaborative partnerships, thereby increasing the number of individuals we can distribute books and clothing to in the City of Albany. |
| | Project Name | Grassroot Givers Feed and Read Book Program |

| | | |
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| 4 | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Public Services Assistance |
| | Needs Addressed | Public Services |
| | Funding | CDBG: \$25,000 |
| | Description | The Feed and Read (FAR) Program provides approximately 600 low-income elementary and middle school students in the City School District of Albany with a backpack full of food and one age-appropriate books each Friday, throughout the school year. Participating students in 13 schools are referred to the program by school staff, who notice signs of hunger or lack of school lunches for the child. Food provided through the program includes fresh fruit, whole-grain bread, shelf-stable meals and coupons redeemable for milk and eggs from local stores. FAR is a collaborative effort- HATAS procures and provides the food with the support of AFE, and Grassroot Givers provides the books each month for the participating students. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | This program serves 600 school aged youth on an annual basis |
| | Location Description | |

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| | Planned Activities | The Feed and Read (FAR) Program provides approximately 600 low-income elementary and middle school students in the City School District of Albany with a backpack full of food and one age-appropriate books each Friday, throughout the school year. Participating students in 13 schools are referred to the program by school staff, who notice signs of hunger or lack of school lunches for the child. Food provided through the program includes fresh fruit, whole-grain bread, shelf-stable meals and coupons redeemable for milk and eggs from local stores. FAR is a collaborative effort- HATAS procures and provides the food with the support of AFE, and Grassroot Givers provides the books each month for the participating students. |
| 5 | Project Name | Grassroot Givers Clothing Program |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Public Services Assistance |
| | Needs Addressed | Public Services |
| | Funding | CDBG: \$20,000 |
| | Description | The Clothing Program provides access to clean, appropriately fitting, seasonal clothing to families in the Capital Region. The organization works with the homeless population, families living in poverty and newly arriving refugees and migrants. Each week they coordinate the collection of hundreds of bags of clothing from retailers, funders and donors with the goal of making sure everyone in Albany has clean, well fitting and seasonal clothes. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | This program will serve 300 individuals |

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| | Location Description | |
| | Planned Activities | The Clothing Program provides access to clean, appropriately fitting, seasonal clothing to families in the Capital Region. The organization works with the homeless population, families living in poverty and newly arriving refugees and migrants. Each week they coordinate the collection of hundreds of bags of clothing from retailers, funders and donors with the goal of making sure everyone in Albany has clean, well fitting and seasonal clothes. |
| 6 | Project Name | HATAS Sweet Dreams |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Public Services Assistance |
| | Needs Addressed | Public Services |
| | Funding | CDBG: \$22,000 |
| | Description | The Sweet Dreams program believes that every child, regardless of income should have a good night's sleep and bed to call their own. CDBG funds will be used to eradicate furniture poverty among low-income, formerly homeless children who are moving into unfurnished apartments by providing them with new mattresses and bedframes. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | The number of unduplicated children to be served is 310. |
| | Location Description | |

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|---|--|---|
| | Planned Activities | The Sweet Dreams program believes that every child, regardless of income should have a good night's sleep and bed to call their own. CDBG funds will be used to eradicate furniture poverty among low-income, formerly homeless children who are moving into unfurnished apartments by providing them with new mattresses and bedframes. |
| 7 | Project Name | Boys and Girls Club Smart Moves Evening Youth Enrichment |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Public Services Assistance |
| | Needs Addressed | Public Services |
| | Funding | CDBG: \$45,000 |
| | Description | The SMART Moves Evening Youth Enrichment Camp, will offer a structured, supervised, safe and interactive experience for at risk and vulnerable youth ages 13-15, from low-income and marginalized inner-city communities. Operating Monday through Friday from 3:00-8:00 p.m. the program will provide a safe environment and educational opportunities at a vital time of day. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | The program aims to serve 30 youth |
| | Location Description | This program will take place at the Boys and Girls Club located at 21 Delaware Ave |

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| | Planned Activities | <table border="0" cellpadding="0" cellspacing="0" height="122" width="1390"><tr><td><table border="0" cellpadding="0" cellspacing="0" class="xl65" height="120" width="530">The SMART Moves Evening Youth Enrichment Camp, will offer a structured, supervised, safe and interactive experience for at risk and vulnerable youth ages 13-15, from low-income and marginalized inner-city communities. Operating Monday through Friday from 3:00-8:00 p.m. the program will provide a safe environment and educational opportunities at a vital time of day.</table></td></tr></table> | <table border="0" cellpadding="0" cellspacing="0" class="xl65" height="120" width="530">The SMART Moves Evening Youth Enrichment Camp, will offer a structured, supervised, safe and interactive experience for at risk and vulnerable youth ages 13-15, from low-income and marginalized inner-city communities. Operating Monday through Friday from 3:00-8:00 p.m. the program will provide a safe environment and educational opportunities at a vital time of day.</table> |
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| 8 | **Project Name** | Capital District Recovery Center |
| **Target Area** | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| **Goals Supported** | Public Services Assistance |
| **Needs Addressed** | Public Services |
| **Funding** | CDBG: \$20,000 |
| **Description** | The Family Living Improvement Program supports family members engaging the center for recovery support. Trauma informed care workshops and recovery coach training that allows addicts who stay clean to participate in workforce initiatives, addressing housing issues and factors that are connected to the effects of social determinants of health. |
| **Target Date** | 5/31/2025 |
| **Estimate the number and type of families that will benefit from the proposed activities** | this program will serve 75 people |
| **Location Description** | |

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| | Planned Activities | The Family Living Improvement Program supports family members engaging the center for recovery support. Trauma informed care workshops and recovery coach training that allows addicts who stay clean to participate in workforce initiatives, addressing housing issues and factors that are connected to the effects of social determinants of health. |
| 9 | Project Name | Albany Fund for Education Summer Weekend Food Delivery Program |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Public Services Assistance |
| | Needs Addressed | Public Services |
| | Funding | CDBG: \$23,000 |
| | Description | Hunger Doesn't Take a Summer Vacation is a weekend food delivery program that will pick up where AFE's school year Feed and Read Program leaves off, providing hungry children with deliveries of food each weekend to supplement weekday meal programs. School staff have identified students in need of nutritional assistance, and will coordinate with them to make sure that food packages are picked up at schools or delivered to student homes. This program will serve 400 of the most socioeconomically challenged students in the City School District of Albany and their families (est. 3 per household), for a total of 1,600 unduplicated individuals, providing a bag of food each Thursday for eight weeks with food to last the weekend, including staples such as rice, pasta, bread, and soup, along with fresh produce and weekly coupons redeemable for a gallon of milk and a dozen eggs at any Stewarts Shop. CDBG funds will be used primarily to pay for some staffing, food and milk/egg coupons purchased from the Regional Food Bank, with most labor, supplies, and transportation provided in-kind by the school district. |
| | Target Date | 5/31/2025 |

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| | Estimate the number and type of families that will benefit from the proposed activities | 400 unduplicated eligible youth will benefit from this program |
| | Location Description | Food deliveries will take place throughout the City of Albany |
| | Planned Activities | Hunger Doesn't Take a Summer Vacation is a weekend food delivery program that will pick up where AFE's school year Feed and Read Program leaves off, providing hungry children with deliveries of food each weekend to supplement weekday meal programs. School staff have identified students in need of nutritional assistance, and will coordinate with them to make sure that food packages are picked up at schools or delivered to student homes. This program will serve 400 of the most socioeconomically challenged students in the City School District of Albany and their families (est. 3 per household), for a total of 1,600 unduplicated individuals, providing a bag of food each Thursday for eight weeks with food to last the weekend, including staples such as rice, pasta, bread, and soup, along with fresh produce and weekly coupons redeemable for a gallon of milk and a dozen eggs at any Stewarts Shop. |
| 10 | Project Name | Wizards Wardrobe Tutoring Program |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Public Services Assistance |
| | Needs Addressed | Public Services |
| | Funding | CDBG: \$12,000 |
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| | Description | An all volunteer organization that offers free one-on-one literacy tutoring in Albany's South End neighborhood. CDBG funds will help with the expansion of the program to allow service to 40 children. In order to reach that goal the Wizard's Wardrobe will need 20 more tutors and the start of a Saturday enrichment program. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | this program will serve 40 youth |
| | Location Description | |
| | Planned Activities | An all volunteer organization that offers free one-on-one literacy tutoring in Albany's South End neighborhood. CDBG funds will help with the expansion of the program to allow service to 40 children. In order to reach that goal the Wizard's Wardrobe will need 20 more tutors and the start of a Saturday enrichment program. |
| 11 | Project Name | Albany Barn Professional Development of ABI residents |
| | Target Area | Arbor Hill |
| | Goals Supported | Public Services Assistance |
| | Needs Addressed | Public Services |
| | Funding | CDBG: \$15,000 |
| | Description | Albany Barn Inc partners with the Albany Housing Authority to provide 22 low-income rental apartments specifically for artists of the Capital Region located in the historic Arbor Hill neighborhood. Our full time Site Director regularly meets with all 22 residents to provide assistance in shaping and working towards their professional goals, helping them grow their artistic business and build sustainable revenue models through their work (which can include (but is not limited to) selling their artwork and products, and teaching through local libraries and school systems, reaching hundreds of community members of all ages on an annual basis. |

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| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | this program will serve 22 residents at the Albany Barn |
| | Location Description | |
| | Planned Activities | <table border="0" cellpadding="0" cellspacing="0" height="202" width="1519"><tr><td class="xl63" height="200" width="530">Albany Barn Inc partners with the Albany Housing Authority to provide 22 low-income rental apartments specifically for artists of the Capital Region located in the historic Arbor Hill neighborhood. Our full time Site Director regularly meets with all 22 residents to provide assistance in shaping and working towards their professional goals, helping them grow their artistic business and build sustainable revenue models through their work (which can include (but is not limited to) selling their artwork and products, and teaching through local libraries and school systems, reaching hundreds of community members of all ages on an annual basis.</td></tr></table> |
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| 12 | Project Name | Black Nurses Coalition Health & Community Access |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Public Services Assistance |
| | Needs Addressed | Public Services |
| | Funding | CDBG: \$25,000 |
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| | Description | Community Health Access continues the healthy equity work..Target population of the program are our underserved, minority communities such as public housing and impoverished neighborhoods. The program will provide access to primary care, cancer colon screening, std/sti screening and chronic disease management. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | This program will serve 40 individuals |
| | Location Description | |
| | Planned Activities | <table border="0" cellpadding="0" cellspacing="0" height="84" width="1485"><tbody><tr height="80"><td class="xl65" height="80" width="530">Community Health Access continues the healthy equity work. Target population of the program are our underserved, minority communities such as public housing and impoverished neighborhoods. The program will provide access to primary care, cancer colon screening, std/sti screening and chronic disease management.</td></tr></tbody></table> |
| Community Health Access continues the healthy equity work. Target population of the program are our underserved, minority communities such as public housing and impoverished neighborhoods. The program will provide access to primary care, cancer colon screening, std/sti screening and chronic disease management. | | |
| 13 | Project Name | ACAP Empowering Economic Independence |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Public Services Assistance |
| | Needs Addressed | Public Services |
| | Funding | CDBG: \$25,000 |
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| | Description | The Empowering Economic Independence (EEI) program is designed to help income-eligible City of Albany families access development opportunities and engage in upward economic mobility. This program will offer family case management services, barrier reduction supports, financial literacy workshops, and occupational training/certification in high demand fields. We will provide services to 35 unduplicated individuals, providing barrier reduction supports to 35 families, enrolling 15 individuals into a financial literacy workshop, and help 5 individuals obtain occupational training/certification. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | This program will serve 55 individuals |
| | Location Description | |
| | Planned Activities | The Empowering Economic Independence (EEI) program is designed to help income-eligible City of Albany families access development opportunities and engage in upward economic mobility. This program will offer family case management services, barrier reduction supports, financial literacy workshops, and occupational training/certification in high demand fields. We will provide services to 35 unduplicated individuals, providing barrier reduction supports to 35 families, enrolling 15 individuals into a financial literacy workshop, and help 5 individuals obtain occupational training/certification. |
| 14 | Project Name | Red Book Shelf Art of Story Telling |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Public Services Assistance |
| | Needs Addressed | Public Services |

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| | Funding | CDBG: \$20,000 |
| | Description | The Art of Storytelling (AOS) series, facilitated by The RED Bookshelf, is dedicated to fostering literacy and igniting a love for reading through innovative arts integration and engaging activities. From interactive superhero team-building exercises to monthly reading contests and career-focused workshops, AOS strives to make literacy accessible and enjoyable for all. Collaborating with local artists and partners, the program provides consistent opportunities for readers to explore the practical applications of literacy in their lives. Throughout the contract period, AOS aims to serve 2500 unduplicated individuals from diverse backgrounds. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | funding will enable the Red Book Shelf to serve 100 individuals |
| | Location Description | |
| | Planned Activities | The Art of Storytelling (AOS) series, facilitated by The RED Bookshelf, is dedicated to fostering literacy and igniting a love for reading through innovative arts integration and engaging activities. From interactive superhero team-building exercises to monthly reading contests and career-focused workshops, AOS strives to make literacy accessible and enjoyable for all. Collaborating with local artists and partners, the program provides consistent opportunities for readers to explore the practical applications of literacy in their lives. Throughout the contract period, AOS aims to serve 2500 unduplicated individuals from diverse backgrounds. |
| 15 | Project Name | Red Book Shelf Community Wellness Tour |
| | Target Area | North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Public Services Assistance |

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| | Needs Addressed | Public Services |
| | Funding | CDBG: \$10,000 |
| | Description | The proposed program seeks to empower communities and promote equality by collaborating with local entrepreneurs and utilizing community resources effectively. Through a series of workshops focused on wellness, economic mobility, soft skill development participants will acquire valuable skills and knowledge to enhance their lives. Targeting residents, including children and adults in the West Hill, Arbor Hill and South End neighborhoods of Albany. Funds will be used to cover contracted facilitators, supplies and overhead expenses to ensure the program's success. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | 40 individuals will benefit from this funding |
| | Location Description | |
| | Planned Activities | The proposed program seeks to empower communities and promote equality by collaborating with local entrepreneurs and utilizing community resources effectively. Through a series of workshops focused on wellness, economic mobility, soft skill development participants will acquire valuable skills and knowledge to enhance their lives. Targeting residents, including children and adults in the West Hill, Arbor Hill and South End neighborhoods of Albany. Funds will be used to cover contracted facilitators, supplies and overhead expenses to ensure the program's success |
| 16 | Project Name | Art Partners Youth Arts Workforce Opportunities |
| | Target Area | West Hill |
| | Goals Supported | Public Services Assistance |
| | Needs Addressed | Public Services |
| | Funding | CDBG: \$25,000 |

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| | Description | Youth Workforce Art Opportunities provides performing and technical training opportunities for low-income marginalized youth/young adults in crime ridden neighborhoods. Pre-professional technical classes provide onsite training leading to paid internships and ultimately employment. Strengthening 25-50 of Albany's youth/young adult workforce through academic tutoring, job readiness and mentoring |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | This program will serve 25 youth from the neighborhood surrounding the center |
| | Location Description | |
| | Planned Activities | Youth Workforce Art Opportunities provides performing and technical training opportunities for low-income marginalized youth/young adults in crime ridden neighborhoods. Pre-professional technical classes provide onsite training leading to paid internships and ultimately employment. Strengthening 25-50 of Albany's youth/young adult workforce through academic tutoring, job readiness and mentoring |
| 17 | Project Name | Albany DGS City Streets and Sidewalks |
| | Target Area | North Albany |
| | Goals Supported | Neighborhood Revitalization |
| | Needs Addressed | improve infrastructure |
| | Funding | CDBG: \$121,996 |

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| | Description | <p>Monies granted under this (CDBG) proposal will be utilized for the resurfacing of public streets and reconstruction of public sidewalks with handicap accessibility. Work shall include the cold milling of deteriorated pavements and resurfacing with new "hot mix" asphalt concrete, the excavation and removal of deteriorated sidewalks and curbs and construction of new cement concrete sidewalks with drop granite curbing and embedded detectable warning units for handicap accessibility. This project provides a structurally safe, durable and smooth road surface along with safe pedestrian walkways that meet federal ADA requirements for handicap accessibility.</p> |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | |
| | Location Description | |
| | Planned Activities | <p>Monies granted under this (CDBG) proposal will be utilized for the resurfacing of public streets and reconstruction of public sidewalks with handicap accessibility. Work shall include the cold milling of deteriorated pavements and resurfacing with new "hot mix" asphalt concrete, the excavation and removal of deteriorated sidewalks and curbs and construction of new cement concrete sidewalks with drop granite curbing and embedded detectable warning units for handicap accessibility. This project provides a structurally safe, durable and smooth road surface along with safe pedestrian walkways that meet federal ADA requirements for handicap accessibility.</p> |
| 18 | Project Name | Capitalize Albany Small Business Assistance |
| | Target Area | <p>North Albany West Hill SOUTH END Arbor Hill Capital Hill</p> |

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| | Goals Supported | Economic Development |
| | Needs Addressed | Economic development |
| | Funding | CDBG: \$165,843 |
| | Description | The Capitalize Albany Corporation will provide technical assistance to small businesses located in the identified target areas of the City of Albany |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | Capitalize Albany will provide Technical Assistance to at least 6 small businesses located within the City's target neighborhoods |
| | Location Description | Activities take place throughout ACDA's targeted neighborhoods |
| | Planned Activities | The Capitalize Albany Corporation will provide technical assistance to small businesses located in the identified target areas of the City of Albany |
| 19 | Project Name | City Youth and Workforce Svcs Youth Mentoring and Workforce Career Pathways |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Public Services Assistance |
| | Needs Addressed | Public Services |
| | Funding | CDBG: \$73,472 |

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| | Description | This program aims to provide opportunities for individuals aged 14-24 out of school, justice involved opportunity youth, females, and other minority groups that are typically underrepresented in demand industry career clusters. The program will offer opportunities to learn about emerging career fields. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | This program will service 45 out of school, justice involved youth between the ages of 14-24 |
| | Location Description | Program offices are located at 388 Clinton Ave and 75 Central Ave in Albany |
| | Planned Activities | This program aims to provide opportunities for individuals aged 14-24 out of school, justice involved opportunity youth, females, and other minority groups that are typically underrepresented in demand industry career clusters. The program will offer opportunities to learn about emerging career fields. |
| 20 | Project Name | 2024 Property Management |
| | Target Area | North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Neighborhood Revitalization |
| | Needs Addressed | improvement of existing structures |
| | Funding | CDBG: \$159,000 |
| | Description | 2024 funds will be used to maintain properties that are owned by ACDA - properties are acquired and sold according to ACDA's property acquisition and disposition policies |
| | Target Date | 5/31/2025 |

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| | Estimate the number and type of families that will benefit from the proposed activities | |
| | Location Description | ACDA will administer the funds for its properties at its office located at 200 Henry Johnson Blvd - properties are located throughout ACDA's target neighborhoods |
| | Planned Activities | 2024 funds will be used to maintain properties that are owned by ACDA - properties are acquired and sold according to ACDA's property acquisition and disposition policies |
| 21 | Project Name | Mercy House Emergency Shelter |
| | Target Area | North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing |
| | Needs Addressed | Homeless Services |
| | Funding | CDBG: \$50,000 |
| | Description | IPH recently reopened the Mercy House emergency shelter that had been operated previously by another organization and closed in June 2022, resulting in a loss of 19 emergency shelter beds in Albany County. IPH is operating Mercy House as a year-round co-ed shelter with 20 beds – 14 for men and 6 for women, and intends to serve about 150 different individuals annually. All shelter guests will receive individualized case management services focused on addressing needs related to physical health, behavioral health, income, employment, education, social supports, and anything else identified by guests during the assessment process, with an ultimate goal of supporting each person with finding permanent housing. |
| | Target Date | 5/31/2025 |

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| | Estimate the number and type of families that will benefit from the proposed activities | This program will provide emergency shelter for approximately 150 men and women |
| | Location Description | the shelter is located at 12 Saint Josephs terrace in Albany |
| | Planned Activities | IPH recently reopened the Mercy House emergency shelter that had been operated previously by another organization and closed in June 2022, resulting in a loss of 19 emergency shelter beds in Albany County. IPH is operating Mercy House as a year-round co-ed shelter with 20 beds – 14 for men and 6 for women, and intends to serve about 150 different individuals annually. All shelter guests will receive individualized case management services focused on addressing needs related to physical health, behavioral health, income, employment, education, social supports, and anything else identified by guests during the assessment process, with an ultimate goal of supporting each person with finding permanent housing. CDBG will support the Case Manager and Direct Support Staff positions at the shelter. |
| 22 | Project Name | 2024 CDBG In House Homeowner Assistance Programs |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing |
| | Needs Addressed | improvement of existing structures |
| | Funding | CDBG: \$576,088 |
| | Description | ACDA will utilize these funds to assist with rehabilitation needs of low to moderate income homeowners - programs will include Senior Rehab Program, Rehabilitation Assistance Program and Home Owner Assistance Program, and its homeowner assistance program (HAP) |

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|----|--|--|
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | It is estimated that ACDA will assist 15-20 families/homeowners with this funding. Numbers served fluctuates based on need and cost related to rehabilitation |
| | Location Description | Program is available to low to moderate income homeowners throughout the City- however funding is prioritized in the City's target neighborhoods |
| | Planned Activities | ACDA will utilize these funds to assist with rehabilitation needs of low to moderate income homeowners - programs will include Senior Rehab Program, Rehabilitation Assistance Program and Home Owner Assistance Program, and its homeowner assistance program (HAP) |
| 23 | Project Name | Neighborhood Revitalization/brownfields |
| | Target Area | Arbor Hill |
| | Goals Supported | Neighborhood Revitalization |
| | Needs Addressed | improve infrastructure |
| | Funding | CDBG: \$45,392 |
| | Description | Funding will be used for the continued monitoring and remediation of Brownfields sites on Northern Boulevard and Henry Johnson Boulevard |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | this project will remediate just under 1 acre of land |
| | Location Description | 130 and 132 Henry Johnson Blvd |

| | | |
|----|--|---|
| | Planned Activities | Funding will be used for the continued monitoring and remediation of Brownfields sites on Northern Boulevard and Henry Johnson Boulevard |
| 24 | Project Name | 2024 CDBG Administration |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing Public Services Assistance Neighborhood Revitalization Economic Development |
| | Needs Addressed | Affordable Housing Homeless Services improvement of existing structures Public Facilities improve infrastructure Public Services Economic development Code Enforcement |
| | Funding | CDBG: \$504,587 |
| | Description | Funding allocated to PY 2024 ACDA administration costs (personnel, OTPS) |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | |
| | Location Description | 200 Henry Johnson Blvd Albany, NY |
| | Planned Activities | Funding allocated to PY 2024 ACDA administration costs (personnel, OTPS) |
| | Project Name | 2024 CDBG Direct Delivery |

| | | |
|----|--|---|
| 25 | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing Public Services Assistance Neighborhood Revitalization |
| | Needs Addressed | Affordable Housing improvement of existing structures Public Services |
| | Funding | CDBG: \$1,041,910 |
| | Description | funding is utilized for the staff allocated to providing direct services to the community |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | |
| | Location Description | 200 Henry Johnson Blvd Albany, NY |
| | Planned Activities | funding is utilized for the staff allocated to providing direct services to the community |
| 26 | Project Name | HMIS |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing |

| | | |
|----|--|--|
| | Needs Addressed | Homeless Services |
| | Funding | CDBG: \$50,000 |
| | Description | The primary goal of CARES is to help with the HMIS and planning for ACDA. CARES provides an unduplicated count of homeless individuals and families in the City of Albany. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | |
| | Location Description | 5 Pine West Plaza Suite 503 Albany, NY |
| | Planned Activities | The primary goal of CARES is to help with the HMIS and planning for ACDA. CARES provides an unduplicated count of homeless individuals and families in the City of Albany. |
| 27 | Project Name | Albany Street CHDO |
| | Target Area | North Albany |
| | Goals Supported | Decent Housing |
| | Needs Addressed | Affordable Housing |
| | Funding | HOME: \$130,000 |
| | Description | HOME CHDO funds allocated to the creation of new affordable housing on Albany St which is in the North Albany strategic area |
| | Target Date | 5/31/2025 |

| | | |
|----|--|--|
| | Estimate the number and type of families that will benefit from the proposed activities | four income eligible families will benefit from this project |
| | Location Description | four new homes will be constructed at 30,32,34 and 38 Albany St, Albany NY |
| | Planned Activities | Habitat for Humanity Capital District (Habitat) requests \$160,000 in HOME funds from Albany Community Development Agency to build four, single-family homes at 30, 32, 34 and 38 Albany St, Albany. Habitat owns 38 Albany St and Albany County Land Bank currently owns the other three properties, with an MOU to transfer ownership to Habitat prior to construction. These homes are part of our joint goal to build 100 new homeownership units in the City of Albany. |
| 28 | Project Name | 2024 HOME in house homeowner programs |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing |
| | Needs Addressed | Affordable Housing improvement of existing structures |
| | Funding | HOME: \$571,423 |
| | Description | funding will be utilized to assist homeowners, landlords with rehabilitation of existing homes and new homeowners with down payment assistance |
| | Target Date | 5/31/2025 |

| | | |
|----|--|--|
| | Estimate the number and type of families that will benefit from the proposed activities | it is estimated that between 20 and 25 persons will be assisted |
| | Location Description | This program is open to low to moderate income residents of the City of Albany. The majority of the funds are allocated to the City's target neighborhoods |
| | Planned Activities | funds will be utilized to assist homeowners and landlords with the rehabilitation of existing homes and to provide down payment assistance to new homebuyers in Albany |
| 29 | Project Name | 2024 HOME Administration |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing |
| | Needs Addressed | Affordable Housing |
| | Funding | HOME: \$77,911 |
| | Description | 2024 HOME funds that will be utilized for the administration of the HOME program |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | |
| | | |

| | | |
|----|--|--|
| | Location Description | 200 Henry Johnson Blvd Albany, NY |
| | Planned Activities | 2024 funding allocated to program administration |
| 30 | Project Name | 2024 HESG Program admin, shelter and prevention |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing |
| | Needs Addressed | Homeless Services |
| | Funding | ESG: \$276,718 |
| | Description | \$20,74 is allocated to the administration of the City's ESG program. \$155,965 is allocated to shelters and \$100,000 to eviction prevention |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | |
| | Location Description | services will take place throughout the City of Albany |
| | Planned Activities | \$21,421 is allocated to the administration of the City's ESG program. \$160,000 is allocated to shelters and \$104,194 to eviction prevention |
| | Project Name | Alliance TBRA/STRMU |

| | | |
|----|--|---|
| 31 | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing |
| | Needs Addressed | Homeless Services |
| | Funding | HOPWA: \$119,456 |
| | Description | These funds will be used to provide tenant-based rental assistance (TBRA) and short-term rent/mortgage/utilities (STRMU) assistance. In addition, we will provide supportive services through this funding. Supportive housing case management, case management and permanent housing placement services will include, intake; information and referral services; biannual assessments and quarterly service plan goals; completion of housing inspections; assistance with landlord/tenant negotiations (e.g., if a person needs assistance securing a new/updated lease from a landlord), monitoring HIV viral suppression and connection to care, and assistance with completion and tracking of Section 8, and other subsidized housing program applications. Clients who have greater needs than can be addressed by our Housing Retention Specialists and will be referred to our care management services. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | This program anticipates being able to assist 14 individuals |
| | Location Description | |

| | | |
|----|--|---|
| | Planned Activities | These funds will be used to provide tenant-based rental assistance (TBRA) and short-term rent/mortgage/utilities (STRMU) assistance. In addition, we will provide supportive services through this funding. Supportive housing case management, case management and permanent housing placement services will include, intake; information and referral services; biannual assessments and quarterly service plan goals; completion of housing inspections; assistance with landlord/tenant negotiations (e.g., if a person needs assistance securing a new/updated lease from a landlord), monitoring HIV viral suppression and connection to care, and assistance with completion and tracking of Section 8, and other subsidized housing program applications. Clients who have greater needs than can be addressed by our Housing Retention Specialists and will be referred to our care management services. |
| 32 | Project Name | Albany Damien Center |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing |
| | Needs Addressed | Homeless Services |
| | Funding | HOPWA: \$100,000 |
| | Description | The services to be funded will include administrative work (3%), personnel costs, and longterm assistance. Personnel costs will include the Housing Advocate and Housing Program Manager to provide supportive services to ensure housing success. The long-term assistance will be in the form of rental assistance. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | The Damien Center will serve 10 individuals with this funding |

| | | |
|----|-----------------------------|--|
| | Location Description | |
| | Planned Activities | The services to be funded will include administrative work (3%), personnel costs, and longterm assistance. Personnel costs will include the Housing Advocate and Housing Program Manager to provide supportive services to ensure housing success. The long-term assistance will be in the form of rental assistance. |
| 33 | Project Name | Mohawk Opportunities |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing |
| | Needs Addressed | Affordable Housing |
| | Funding | HOPWA: \$135,000 |
| | Description | Services are individualized and responsive to each consumer's situation and needs; they are intended to help clients to live independently and remain stable within the community. In addition to offering safe and stable housing, staff help consumers locate medical care, access transportation services, access mental health and/or substance abuse treatment, counseling, apply for Public Assistance and/or SSA benefits, seek employment, and improve household management and parenting skills. The housing coordinator is educated on the criteria for assistance from the Department of Social Services and will advocate for Emergency Assistance for Adults (EAA), Emergency Assistance to Families (EFA), Home Energy Assistance Program (HEAP) and Emergency HEAP when appropriate. The housing coordinator also assists consumers to meet their basic needs such as food, clothing, household items, furniture, and transportation. |
| | Target Date | 5/31/2025 |

| | | |
|-----------|--|--|
| | Estimate the number and type of families that will benefit from the proposed activities | It is estimated that 13 individuals will benefit from this program |
| | Location Description | 201 Nott Terrace Schenectady NY |
| | Planned Activities | Services are individualized and responsive to each consumer's situation and needs; they are intended to help clients to live independently and remain stable within the community. In addition to offering safe and stable housing, staff help consumers locate medical care, access transportation services, access mental health and/or substance abuse treatment, counseling, apply for Public Assistance and/or SSA benefits, seek employment, and improve household management and parenting skills. The housing coordinator is educated on the criteria for assistance from the Department of Social Services and will advocate for Emergency Assistance for Adults (EAA), Emergency Assistance to Families (EFA), Home Energy Assistance Program (HEAP) and Emergency HEAP when appropriate. The housing coordinator also assists consumers to meet their basic needs such as food, clothing, household items, furniture, and transportation. |
| 34 | Project Name | Arvilla House/Project Help/Jim Perry House |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing |
| | Needs Addressed | Homeless Services |
| | Funding | HOPWA: \$107,098 |
| | | |

| | | |
|----|--|---|
| | Description | Operating costs for housing including maintenance, security, operation, insurance, utilities, furnishings, equipment, supplies, and other incidental costs; Repairs of facilities; Case Management; Payroll and fringe of staff providing operations, case management, and administration of programs. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | 15 people will benefit from these funds |
| | Location Description | Arvilla House: Project Help: Jim Perry House: |
| | Planned Activities | <div>Operating costs for housing including maintenance, security, operation, insurance,</div><div>utilities, furnishings, equipment, supplies, and other incidental costs; Repairs of facilities; Case Management; Payroll and fringe of staff providing operations, case management, and administration of programs.</div> |
| 35 | Project Name | Ahana House |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing |
| | Needs Addressed | Affordable Housing Homeless Services |
| | Funding | HOPWA: \$63,137 |
| | | |

| | | |
|--|--|--|
| | Description | The residents of Ahana House receive supportive case management services. These services include coordination of all outpatient medical care, outpatient behavioral health services, transportation services, and pharmacy services. Additionally, services focus on connectivity to community care coordination services, day program services, and home care services. Case Managers assist residents with navigating life skills focused on healthy coping skills, health, hygiene, budgeting, and social skills. The Case Manager and Program Administrator collaborate with a Contracted Dietician and Program Cook to address the residents' medical and dietary needs. Program employees also facilitate activities for the residents throughout each month to promote social skills and provide stimulating activities for cognitive development. On many occasions, the Case Manager and Program Administrator transport and accompany residents to appointments within the community, as well as transport to spend their Personal Needs Allowances. |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | 12 residents of Ahana House will benefit from these funds |
| | Location Description | Ahana House is located at: |

| | | |
|----|---------------------------|--|
| | Planned Activities | The residents of Ahana House receive supportive case management services. These services include coordination of all outpatient medical care, outpatient behavioral health services, transportation services, and pharmacy services. Additionally, services focus on connectivity to community care coordination services, day program services, and home care services. Case Managers assist residents with navigating life skills focused on healthy coping skills, health, hygiene, budgeting, and social skills. The Case Manager and Program Administrator collaborate with a Contracted Dietician and Program Cook to address the residents' medical and dietary needs. Program employees also facilitate activities for the residents throughout each month to promote social skills and provide stimulating activities for cognitive development. On many occasions, the Case Manager and Program Administrator transport and accompany residents to appointments within the community, as well as transport to spend their Personal Needs Allowances. |
| 36 | Project Name | Unity House |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing |
| | Needs Addressed | Affordable Housing |
| | Funding | HOPWA: \$230,000 |
| | Description | The main focus of HOPWA funding is to provide rental assistance. The program will also provide supportive services that are consumer-centered and tailored to meet individual needs to help consumers remain independent in the community. Service delivery model includes a harm reductive approach. HOPWA supportive services typically include, but are not limited to: Crisis Intervention Housing Case Management Transportation Life Skills Development |
| | Target Date | 5/31/2025 |

| | | |
|----|--|--|
| | Estimate the number and type of families that will benefit from the proposed activities | 24 individuals will benefit from TBRA |
| | Location Description | 2431 6th Ave Troy NY |
| | Planned Activities | <div>The main focus of HOPWA funding is to provide rental assistance. The program will also provide supportive services that are consumer-centered and tailored to meet individual needs to help consumers remain independent in the community. Service delivery model includes a harm reductive approach. HOPWA supportive services typically include, but are not limited to:</div> <div>Crisis Intervention</div> <div>Housing Case Management</div> <div>Transportation</div> <div>Life Skills Development</div> |
| 37 | Project Name | CARES 2024 HOPWA Admin |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing |
| | Needs Addressed | Affordable Housing |
| | Funding | HOPWA: \$32,460 |
| | Description | CARES admin activities to carry out the HOPWA programming |
| | Target Date | 5/31/2025 |

| | | |
|----|--|--|
| | Estimate the number and type of families that will benefit from the proposed activities | |
| | Location Description | 5 Pine West Plaza Suite 503 Albany, NY |
| | Planned Activities | CARES admin activities to carry out the HOPWA programming |
| 38 | Project Name | ACDA HOPWA Admin |
| | Target Area | ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill |
| | Goals Supported | Decent Housing |
| | Needs Addressed | Affordable Housing |
| | Funding | HOPWA: \$24,345 |
| | Description | Activities related to the City's administration of the HOPWA program |
| | Target Date | 5/31/2025 |
| | Estimate the number and type of families that will benefit from the proposed activities | |
| | Location Description | 200 Henry Johnson Blvd Albany, NY |

| | | |
|--|-------------------------------|---|
| | Planned Activities | Activities related to ACDA's administration of the City's HOPWA Program |
|--|-------------------------------|---|

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The city has identified five target areas for reinvestment for the next five-years. These target areas were identified through a community assessment process including, census and demographic data, community needs assessment, housing market analysis, and community participation. The City's target areas include, North Albany, West Hill/West End, Arbor Hill, South End and Capital Hill. These target areas represent the highest residential densities, highest concentration of low income residents, highest concentration of subsidized units, highest concentration of poverty, highest number of vacant building and surplus housing units, largest decline in assessed taxable property values and the largest outmigration of residents and family households. Funding will be distributed as equally as possible throughout the target neighborhoods.

Geographic Distribution

| Target Area | Percentage of Funds |
|--------------|---------------------|
| ALBANY | |
| North Albany | 20 |
| West Hill | 20 |
| SOUTH END | 20 |
| Arbor Hill | 20 |
| Capital Hill | 20 |

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

These target areas represent the highest residential densities, highest concentration of low income residents, highest concentration of subsidized units, highest concentration of poverty, highest number of vacant building and surplus housing units, largest decline in assessed taxable property values and the largest outmigration of residents and family households. Funding will be distributed as equally as possible throughout the target neighborhoods.

Discussion

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

| One Year Goals for the Number of Households to be Supported |
|---|
| Homeless |
| Non-Homeless |
| Special-Needs |
| Total |

Table 9 - One Year Goals for Affordable Housing by Support Requirement

| One Year Goals for the Number of Households Supported Through |
|---|
| Rental Assistance |
| The Production of New Units |
| Rehab of Existing Units |
| Acquisition of Existing Units |
| Total |

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

AP-60 Public Housing – 91.220(h)

Introduction

Currently AHA has a total of 13,639 families on the Public Housing and Section 8 waiting lists. 34% of the waiting list consists of families with children, 8% are elderly, and 24% are families with disabilities. AHA's Section 8 waiting list has been closed since 2019. The Public Housing waiting list has been closed to applicants looking for 3, 4 and 5 bedroom units since 2019. The combined Public Housing and Section 8 waiting lists show 84% of the persons on the waiting lists are under 30% of AMI.

Most AHA public housing developments are located in impacted census tracts. One of the largest needs that AHA can address on a limited basis is to provide apartments for the large family. AHA has 123 families on its waiting list who need a 4-bedroom or larger apartment. Affordable large units that meet minimum inspection standards are not readily available in the private sector.

Actions planned during the next year to address the needs to public housing

1. Steamboat Square Revitalization – Phase 1: Complete construction and occupancy of 88 affordable housing units in Albany's South End Neighborhood. Construction and occupancy expected to be completed summer 2024.
 2. South End Phase 2 RAD Conversion: Complete RAD conversion of 26 units from public housing rental assistance to RAD PBVs. Conversion expected to be completed June 2024.
 3. Steamboat Square Revitalization – Phase 2: Continue developing plans and financing applications for the RAD conversion of 300 existing apartments, creation of 32 new apartments in previously decommissioned areas of the high rises, and rehabilitation of existing commercial spaces. Construction expected to start mid-2025.
 4. Robert Whalen / Capital Woods: Initiate design and financing plans for the revitalization of the Robert Whalen and Capital Woods development. Construction expected to start in 2027.
 5. Lincoln Square Demolition: Prepare comprehensive hazardous materials report in anticipation of demolition. Continue to seek funding for the estimated \$6.5mm project. Investigate potential redevelopment opportunities.
 6. Patroon Street Apartments: Convey 23 units in 8 buildings on and in the vicinity of Clinton Avenue to Home Leasing as part of their Clinton Avenue Revitalization Phase 3 project. Expected closing and construction start in spring/summer 2025.
-
1. The Operation Department will continue to cycle paint units throughout our portfolio utilizing in house painting staff. Cycle painting has proved to reduce inspection work order counts which allows maintenance staff to turn around resident generated routine work orders quicker. We will also be working on various projects outlined in the properties approved budgets which focuses mostly on curb appeal of our sites. Lastly, so we can continue to provide high quality,

affordable & sustainable housing opportunities, management staff will be placing more of a focus on quality-of-life lease violations.

1. Continue to prepare for the end of initial compliance period and readiness for refinancing (LIHTC).
2. Explore RAD including Needs Assessment.
3. Continue to explore disposition of units for Homeownership.

1. Westview Homes Apply for any grants that become available to rehabilitate the building. Explore Phased 9% and 4% LIHTC projects with RAD including exterior makeover and retrofit designs of the tower, and renovations.

1. **General Planning and Development Initiatives** Conduct market studies, meet with prospective partners and watch for funding availability meet with the City zoning staff as necessary-AHA continues to work with a developer Partner on strategies for LIHTC projects along with RAD at the public housing sites. **AHA have successfully converted a gas fired boiler and domestic hot water system to a building that is now 100% electric with a geothermal system for 88 affordable apartments.** AHA continues working on ways to increase the use of renewable energy and work with the 2019 Governor's renewable energy goals which includes exploring solar for the Authority and tenant paid utilities.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

The second key innovation strategy will empower residents in neighborhoods of opportunity to acquire the education, awareness, and employment necessary to advocate for continued stability in their living conditions. Renters will receive education and empowerment through a Renter's Bill of Rights and the guidance of a Housing Services Advocate. Both renters and landlords will be given the opportunity to participate in a Good Neighbor School, with the goal of having City Court Judges mandate attendance for some individuals. Amendments to the ROP program will give the city a greater ability to ensure that all rental units are high quality rental units and build in incentives for the Good Neighbor School. Historically marginalized neighborhoods will receive an influx of city resources through a Deep Dive Block program.

AHA Program & Supportive Service staff have updated the AHA website with the latest Homeownership

information and are reaching out to inform Section 8 and Public Housing Residents.

Albany Housing Authority's Resident Participation

Residents of Covered Projects with assistance converted to Project Based Vouchers (PBVs) will have the right to establish and operate a resident organization for the purpose of addressing issues related to their living environment and be eligible for resident participation funding. Residents interested in forming a Resident Organization/Council in their development should contact ResourceCenter@albanyhousing.org or call 518-380-5600. There is a defined grievance procedure made available to the residents. For issues related to tenancy and termination of assistance, PBV program rules require the Project Owner to provide an opportunity for an informal hearing, as outlined in 24 CFR § 982.555. The Project Owner gives residents notice of their ability to request an informal hearing as outlined in 24 CFR § 982.555(c)(1) for informal hearings that will address circumstances that fall outside of the scope of 24 CFR § 982.555(a)(1)(i)-(vi).d. The Project Owner provides opportunity for an informal hearing before an lease termination proceedings.

Access to Records, Including Requests for Information Related to Evaluation of Demonstration. PHAs and the Project Owner must cooperate with any reasonable HUD request for data to support program evaluation, including but not limited to project financial statements, operating data, choice-mobility utilization, and rehabilitation work.

Choice-Mobility. One of the key features of the PBV program is the mobility component, which provides that if the family has elected to terminate the assisted lease at any time after the first year of occupancy in accordance with program requirements, the PHA must offer the family the opportunity for continued tenant-based rental assistance, in the form of either assistance under the voucher program or other comparable tenant-based rental assistance. If as a result of participation in RAD a significant percentage of the PHA's HCV program becomes PBV assistance, it is possible for most or all of a PHA's turnover vouchers to be used to assist those RAD PBV families who wish to exercise mobility.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

n/a

Discussion

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

To reduce the average length of emergency housing stays, the community plans to (1) apply for funds to increase the number of RRH beds, (2) coordinate with local housing providers to encourage Housing First policies, and (3) continue participation in the implementation of the Coordinated Entry system. In order to increase the amount of appropriate housing available, the community will again apply for State and HUD funding to support the increase of RRH beds within the district. The RRH program strives to house clients within 30 days and plays a vital role in reducing lengths of stay in emergency housing. In terms of implementing policies to reduce barriers to housing, the community will continue to engage and support the local Continuum of Care's focus to encourage Housing First policies within all programs. Additionally, the CoC will continue to implement the Coordinated Entry (CE) system. The CE system works to reduce length of time homeless by prioritizing those with the longest length of time homeless and connecting them to the most appropriate housing. Finally, the community will apply for State and HUD funding to increase homelessness prevention funding with the goal of preventing homelessness episodes to begin with.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

In the community, street outreach is conducted in a manner that allows for quick identification and engagement of all people experiencing unsheltered homelessness. Within the community there are several active Street Outreach teams: Joseph's House & Shelter (Outreach Van), the Rehabilitation Support Services SoS Critical Time Intervention Team, CARES' Health and Housing Navigation Program, and St Catherine's Center for Children (through Project Connect). These programs conduct outreach to unsheltered homeless individuals, often who are chronically homeless. Outreach is conducted daily with fluctuating day/evening hours. Outreach staff identify and engage households, obtain emergency housing, and conduct Coordinated Entry assessments. Street outreach services are tailored using a client-centered, trauma informed approach. Street outreach teams focus outreach activities to those that are least likely to request assistance by: hiring staff with lived experience to conduct outreach; determining locations most visited by the unsheltered and building trust over time through consistent engagement. In addition to the above-mentioned street outreach teams, the district also coordinates with local Veteran street outreach. The VA programs, Health Care for Homeless Veterans (HCHV) as well as Supportive Services for Veteran's Families (SSVF) help veterans in the community who are street homeless. Street Outreach teams and VA program staff connect those who are unsheltered with VA services, and drop-in centers/warming stations, such as the Interfaith Partnership for the Homeless Safe Haven. Street Outreach teams and VA program staff also have a relationship with County Mental Health who administers the Assertive Community Treatment program (ACT). The ACT team is a

multidisciplinary team that provides street outreach and individualized services to each client

Addressing the emergency shelter and transitional housing needs of homeless persons

Essential services provided at Albany County emergency shelters and transitional housing programs include case management, childcare, education services, employment assistance and job training, outpatient health services, legal services, life skills training, mental health services, substance abuse treatment services, transportation, and services for special populations. All persons in Albany County emergency shelters and transitional housing programs are offered the opportunity to complete a Coordinated Entry Assessment, effectively connecting the individual or family to supportive housing in the community.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The CoC developed strategies to increase the rate at which households in ES, TH, and RRH (and particularly chronically homeless households, families, Veterans, and unaccompanied youth) exit to permanent destinations. Current strategies are: 1) connect unsheltered persons and the most vulnerable in ES to RRH and PSH through an efficient and effective Coordinated Entry (CE) System 2) expand housing opportunities through CE by forging partnerships with non-CoC-funded options, such as OMH housing (i.e. Albany County Dept. of Mental Health) and affordable housing (i.e. DePaul) 3) connect households to housing subsidies (i.e. Section 8, EHV) 4) connect households to community support services and benefits & 5) connect households to education/employment training opportunities to improve income. Those experiencing chronic homelessness, Veterans, and youth are prioritized through the Coordinated Entry system for housing. These strategies together ensure households are linked to affordable housing options, have necessary income to access that housing, and have support services within the community to ensure ongoing housing stability. The Coordinated Entry Advisory Committee oversees these strategies. For persons not eligible for Permanent Supportive Housing which requires a HUD defined disabling condition, these persons are connected to Affordable Housing options and referrals are made by staff to the Albany Housing Authority (AHA) and other affordable housing providers serving the community. These other housing options may include the Community Builders, Catholic Charities Housing Organization, Home Leasing, Housing Visions, Beacon Communities, Norstar Development, and Winn Companies. Persons are also connected with needed support services such as health, mental health, vocational, employment and other client driven identified needs. Preventing homelessness means that families and individuals have the resources they need to maintain stable

housing. Prevention is successful when the number of households who become homeless for the first time and when the returns to homelessness decrease. Prevention is an important step in addressing homelessness, as it reduces the number of individuals and families who reach or who return to the homelessness system. It is also cost effective to focus on preventive solutions to addressing homelessness. As stated above, according to the Homeless and Travelers Aid Society, 30 days in an emergency homeless shelter for a single cost approximately \$135 a night on average (although it varies based on the shelter), which totals \$4,050 every 30 days. Prevention services frequently total \$1,000 or less. In addition, a prevention focus is supported by the County. The Albany County DSS Commissioner has placed a priority on utilizing available benefits and programs and working with community providers to divert people from homelessness, resulting in a reduction in the need for shelter placement. ACCH will work to prevent homelessness by increasing the amount of prevention funding homeless service providers have to support clients with court mediation, arrears, and utility payments. ACCH will also prevent homelessness by raising awareness of educational resources for providers and those at-risk of losing their housing, such as tenants' rights and responsibilities and financial management skills. During consumer focus groups it was made clear that one of the challenges households face when they first thought they may become homeless is knowing where to access resources. Families and individuals reported that it took the experience of being homeless to become aware of provider resources. ACCH would like to focus efforts on promoting prevention and homeless resources to community members at large to help those who are at-risk of becoming homeless access these early on.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

The Albany Continuum of Care (CoC) implements strategies from its Albany County Strategic Plan to Prevent, Reduce, and Combat Homelessness to prevent households from becoming homelessness. These strategies were selected by the community and are to (1) increase resources to prevent at-risk individuals and families from entering homelessness, (2) prevent discharges to homelessness from a variety of systems whenever possible, and (3) ensure the community is rich with quality affordable housing and employment opportunities, preventing additional need for homelessness resources.

Specifically, the CoC works to:

- Expand upon existing eviction prevention funding to serve additional households.
- Support community partners (i.e. food pantries, faith-based organizations, Health Homes) to identify households at-risk of becoming homeless and connect them with necessary funding and services, thereby reducing evictions.
- Improve coordination and discharge planning for clients leaving jails/prisons, mental health

facilities, hospitals, and the foster care system by increasing coordination with these systems. DSS has been committed to improving discharge planning from these systems by participating in ongoing workgroups and planning sessions led by the CoC.

- Support the development of affordable housing by building relationships with affordable housing developers and supporting applications for funding.
- Continue referral of homeless clients from DSS to the One-Stop Center for employment services; and participate in ongoing conversations with the Continuum of Care to identify how to better partner with workforce development programs, creating more direct links for homeless clients with employment programming.

Discussion

AP-70 HOPWA Goals— 91.220 (I)(3)

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

Various elements can create barriers to affordable housing including negative effects of public policy as well as national, regional, and local housing market conditions. The City of Albany will continue to re-evaluate policies to ensure they do not interfere with affordable housing efforts. The City will also update their Analysis of Impediments to Fair Housing Choice which will include a complete evaluation and analysis of administrative policies and zoning codes.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The City of Albany Housing Affordability Task Force was convened by Mayor Kathy M. Sheehan on April 20, 2016. Made up of landlords, tenants, community and government leaders, the Task Force's goal is to study the issue of affordable housing in the City of Albany, assess the demand for and supply of it, and explore ways the City of Albany can protect existing opportunities for quality, stable, and affordable housing for city residents and extend those opportunities to underserved communities. The Task Force is called upon to release a report twice each year containing recommendations for the Mayor and Common Council concerning affordable housing in our City. The task force provides an opportunity for landlords, tenants, housing organizations and city residents to participate in workshops, public meetings and to provide input as to the state of affordable housing in the City. Information on the task force and its reports can be found

here: <https://www.albanyny.gov/Government/MayorsOffice/HousingAffordabilityTaskForce.aspx>

In 2017, the City of Albany adopted the Unified Sustainable Development Ordinance (USDO) to regulate land use and development within the City and promote sustainable and equitable economic development. As part of the USDO, Section 375-4(A)(4)(b) states that: "each new residential or mixed-use development or redevelopment containing 50 or more new dwelling units shall sell or rent at least five percent of its new dwelling units at sales or prices affordable to persons earning no more than 100 percent of the area median household income for the City of Albany, as determined by affordability methods used by the U.S. Department of Housing and Urban Development."

In 2020, ACDA, through a grant for the NYS Attorney General's Office, created a new position called the Housing Services Advocate. This position was created in order to address issues between landlords and tenants before they got to the point of needed to be remediated in court.

In 2023, the City of Albany created a new department called Neighborhood and Community

Services. This department's mission is to bridge the gap between City residents and City offices by providing additional staff who will work in the community as Liaisons - these staff persons, along with the City's Housing Services Advocate, and a newly created Fair Housing Officer will provide additional support to Albany's most vulnerable residents. The City Common Council, in March of 2023, passed a new inclusionary housing ordinance requiring developers to provide affordable housing in all new development projects that contain over 19 housing units. The City's Housing Services Advocate and Fair Housing Office will work together with the Planning and Codes Department to ensure adherence to the new ordinance. In March of 2024, the City of Albany launched a vacancy study aimed at determining the vacancy rate in properties containing 6 or more units that were built prior to 1974. In accordance with NYS law, if the vacancy rate among these properties is less than 5%, the City can opt into the emergency tenant protection act to ensure that affordable housing remains affordable in these properties. In addition to the vacancy study, the City is conducting a housing study that will take an overall look at all of the housing available in the City and where resources are needed to provide additional affordable housing.

Discussion:

AP-85 Other Actions – 91.220(k)

Introduction:

There are various elements that produce obstacles to meeting need within the community. Addressing all housing, homeless, and community developments needs is a difficult task. The City utilizes all possible resources and continues to seek leveraging sources to meet as many underserved needs as possible. The current housing market and economic environment also serve as barriers to meeting needs.

Actions planned to address obstacles to meeting underserved needs

The City utilizes all possible resources and continues to seek leveraging sources to meet as many underserved needs as possible. In early 2024, the city was awarded two competitive grants - a HOME grant from NYSHCR and a Healthy Homes grant from U.S. Department of Housing and Urban Development. Both of these grants will be utilized to provide a more holistic approach to the City's homeowner rehabilitation programs.

Actions planned to foster and maintain affordable housing

The City of Albany will continue to administer its in house programs that will assist low/mod income homebuyers and homeowners with purchasing and maintaining their homes. These programs include:

Home Acquisition Program: The Home Acquisition Program (HAP) assists low-income households to purchase, and

Home Owner Assistance Program: The goal of the Home Owner Assistance Program (HOAP) is to provide assistance to low-income homeowners for the moderate rehabilitation of their owner-occupied 1 to 4 unit buildings to meet local housing quality standards and codes. Eligible applicants are low-income homeowners residing in the City of Albany and residing in the property to rehabilitate.

Senior Rehabilitation Program (SRP) and Rehabilitation Assistance Program: This program designed by the Albany Community Development Agency (ACDA) to provide substantial repair to housing units, owned by persons over the age of 62 or to low income homeowners, to eliminate conditions that pose a threat to the health, safety and welfare of their occupants. SRP, provides financial assistance in the form of a grant.

Actions planned to reduce lead-based paint hazards

The City of Albany is in its final year of a lead hazard reduction grant funded by the Department of Housing and Urban Development. When announced, the City will submit an application for another round of funding. It has exceeded all of its goals for this grant cycle and continues to aggressively

identify lead hazards in all of its rehabilitation projects and to provide control in compliance with HUD regulations. With its most recent lead hazard control grant, the City of Albany will provide 120 units of lead safe housing units. The program serves privately owned housing, mostly 1-4 dwelling units that are available and affordable to low income households. The first priority for assistance is for dwellings occupied by children under the age of six years old.

Actions planned to reduce the number of poverty-level families

The City of Albany is committed to eliminating the effects of poverty among its residents for those who fall on hard times, those who through no fault of their own find it difficult to care for themselves, and those especially at risk; single mothers, children, disabled, low income and the elderly. City officials recognize that it may not be possible to end poverty all together, but is dedicated none the less to better understanding its causes and finding a way to reverse the cycle of privation. Moreover, the limited funding available is eclipsed by the need for affordable housing, daycare, job training, life skills, health care, nutrition, education, stable neighborhoods, and access to basic services. Even more, what is discouraging is that poverty rates have remained stagnant or in many instances have increased at all levels of poverty, suggesting that programs aimed at the low-income populations have failed to substantially reduce income inadequacy. What this suggests is that the city needs to look at more effective ways to diminish the effects of poverty. Programs and projects that have a broader impact on beneficiaries and their environment as a whole will be the City's anti-poverty strategy. Programs and projects that connect beneficiaries to their neighborhood and community through targeted physical neighborhood infrastructure improvements will have a greater impact on upward mobility than direct benefit programs. The City will also aim to eliminate effects of unstable neighborhoods where isolation among its residents exist. A great deal of research indicates that various forms of social isolation have damaging effects on health and social development. Projects and programs that create and promote social connectedness will allow residents, families, and children to interact within public spaces, recreational areas, and among other homeowners. These programs will help to build self-esteem, self-control, confidence, good decision making, and general social well-being among residents in targeted neighborhoods. These outcomes will help to eliminate poverty at a higher level by allowing low income people better opportunities in education and jobs.

The City will continue to carry out goals and objectives established within the Consolidated Plan to assist in reducing poverty. The overarching goal of the plan to benefit the greatest number of people to the greatest extent possible will resonate throughout the anti-poverty strategy. A comprehensive plan of infrastructure improvements and social design will include sidewalk replacement, street paving, public safety improvements, streetscape improvements, vacant building demolition, park and public space improvements, homebuyer incentive programs, and neighborhood resident awareness outreach initiatives. These improvements will provide the basic public goods that neighborhoods and its residents need in order to increase their opportunity for upward mobility, access to public services,

transportation, job and social connectedness.

Actions planned to develop institutional structure

The City of Albany is the local administrator of CDBG, HOME, HOPWA and ESG entitlement grant funding. The agency contracts with CARES Inc., to administer HOPWA funding and starting in year 44, ACDA began to contract with CARES to administer its ESG funding. Each year, the ACDA awards entitlement grant funding to local non-profit service providers through a competitive request for proposals process. Applications are reviewed for compliance with HUD regulations, for consistency with needs identified in the Consolidated Plan, past performance with entitlement awards (if applicable), capacity and proposed performance goals.

Actions planned to enhance coordination between public and private housing and social service agencies

As designated by the Mayor and authorized by the Common Council of The City of Albany; the Albany Community Development Agency (ACDA) is the Lead Agency responsible for development of the Consolidated Plan. The Agency has administered the Community Development Block Grant (CDBG) for the past 45 Years. The Agency is a public agency under the Department of Development and Planning. The governing board of the Agency consists of the Mayor, City Treasurer, City Clerk, Commissioner of Administrative Services, President of the Common Council, the City's Corporation Counsel and the Agency Director.

Discussion:

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction:

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

| | |
|--|----------|
| 1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed | 0 |
| 2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan. | 0 |
| 3. The amount of surplus funds from urban renewal settlements | 0 |
| 4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan | 0 |
| 5. The amount of income from float-funded activities | 0 |
| Total Program Income: | 0 |

Other CDBG Requirements

| | |
|---|--------|
| 1. The amount of urgent need activities | 0 |
| 2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan. | 96.68% |

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.220(l)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is

as follows:

n/a

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

To ensure affordability and secure HUD HOME Program funds invested in a homebuyer unit, the Albany Community Development Agency (ACDA) will impose recapture requirements. The ACDA and HOME assisted CHDO's funded by the ACDA that produce homebuyer units will establish the recapture requirements that comply with HUD HOME program regulations at 24 CFR § 92.254 Qualification as Affordable Housing: Homeownership, specifically 24 CFR 92.254(a) (5), for each program budgeted with HOME funds.

Resale

Resale provisions must ensure, if the housing does not continue to be the principal residence of the family for the duration of the period of affordability that the housing is made available for subsequent purchase only to a buyer whose family qualifies as a low-income family and will use the property as its principal residence. The resale requirement must also ensure that the price at resale provides the original HOME assisted owner a fair return on investment (inc. homeowner's investment and any capital improvement) and ensure that the housing will remain affordable to a reasonable range of low-income homebuyers. The period of affordability is based on the total amount of HOME funds invested in the housing.

The City of Albany CHDO's may use deed restrictions, covenants running with the land, or other similar mechanisms to impose the resale requirements. The affordability restrictions may terminate upon occurrence of any of the following termination events: foreclosure, transfer in lieu of foreclosure or assignment of an FHA insured mortgage to HUD. CHDO's may also use purchase options, rights of first refusal or other preemptive rights to purchase the housing before foreclosure to preserve affordability. The affordability restrictions shall be revived according to the original terms if, during the original affordability period, the owner of record before the termination event, obtains an ownership interest in the housing

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Recapture provisions must ensure that ACDA and CHDO's recoup all or a portion of the HOME assistance that was given to the homebuyers, if the housing does not continue to be the principal

residence of the family for the duration of the period of affordability. ACDA and CHDO's may structure their recapture provisions based on their specific program design and market conditions. The period of affordability is based upon the total amount of HOME funds subject to recapture described in 24 CFR 92.254 (a)(5)(ii)(A)(5). ACDA and CHDO's may adopt, modify or develop their own recapture requirements for HUD approval. ACDA and CHDO's are subject to the limitation that when the recapture requirement is triggered by a sale (voluntary or involuntary) of the housing unit, and there are no net proceeds or the net proceeds are insufficient to repay the HOME investment due, they can only recapture the net proceeds, if any. The net proceeds are the sales price minus superior loan repayment (other than HOME funds) and any closing costs.

Amount Subject to Recapture

The HOME investment that is subject to recapture for each respective program is based on the amount of HOME assistance that enabled the homebuyer to buy the dwelling unit. This includes any HOME assistance that reduced the purchase price from fair market value to an affordable price, but excludes the amount between the cost of producing the unit and the market value of the property (i.e., the development subsidy). ACDA and CHDO's will re-allocate the recaptured funds to carry out HOME-eligible activities. If the HOME assistance is allocated only for the development subsidy, this is not subject to recapture and the resale provision must be used

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

n/a

5. If applicable to a planned HOME TBRA activity, a description of the preference for persons with special needs or disabilities. (See 24 CFR 92.209(c)(2)(i) and CFR 91.220(l)(2)(vii)).

n/a

6. If applicable to a planned HOME TBRA activity, a description of how the preference for a specific category of individuals with disabilities (e.g. persons with HIV/AIDS or chronic mental illness) will narrow the gap in benefits and the preference is needed to narrow the gap in benefits and services received by such persons. (See 24 CFR 92.209(c)(2)(ii) and 91.220(l)(2)(vii)).

n/a

7. If applicable, a description of any preference or limitation for rental housing projects. (See 24 CFR 92.253(d)(3) and CFR 91.220(l)(2)(vii)). Note: Preferences cannot be administered in a manner that limits the opportunities of persons on any basis prohibited by the laws listed under 24 CFR 5.105(a).

n/a

**Emergency Solutions Grant (ESG)
Reference 91.220(l)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)

All agencies providing a service (i.e. prevention) will use the same eligibility determination form and will be required to collect the same supporting documentation to prove eligibility. Below is a summary of the eligibility criteria for Homelessness Prevention and Rapid Rehousing. The applicant must fully cooperate in an initial determination of basic program eligibility at which time the following must be verified:

1. The household is a resident of the City of Albany
2. The household has a combined income below 30% of area median income (50% area median income for ESG-CV funded support)
3. The household lacks identifiable financial resources and/or support networks
4. The household has documented proof of housing status as outlined below

Required Documentation for Homelessness Prevention Assistance—

- Copy of eviction notice from landlord/property manager of unit or court order based on eviction action that notifies the applicant they must leave AND of lease naming applicant as leaser
 - Copy of notice indicating building in which applicant is renting or otherwise residing is being foreclosed on AND copy of lease naming applicant as leaseholder
 - Copy of eviction letter from host family or friend who owns or rents the housing that notifies the applicant that they must leave AND copy of lease naming host family/friend as leaseholder
 - Copy of utility shut off notice from utility company or notice of service denial.
 - Copy of notice from landlord/property manager, public health, code enforcement, fire marshal, child welfare or other government entity that housing is condemned and copy of lease naming applicant as leaseholder
 - Copy of written statement from hospital or other institution
- Required Documentation for Rapid Rehousing Assistance—*
- Client must show proof of literal homelessness including but not limited to: A verifiable shelter

letter; Self-declaration of street homelessness. Additional Criteria for Financial Assistance for Homelessness Prevention and Rapid Rehousing Applicants for short- or medium-term rental assistance must be assessed as having reasonable potential to maintain housing independently upon termination of the rental assistance, by meeting one or more of the following criteria.

- Are currently employed full or part-time and reasonably expected to maintain or improve their income level.
 - Have a recent attachment to the workforce, defined as having worked full-time at least six months in the last two years prior to the application for rental assistance.
 - Have specific job or vocational skills that are immediately marketable and reasonably expected to result in obtaining employment.
 - Are currently in receipt of or potentially eligible and willing to apply for SSI/SSDI, Veterans Administration or other similar benefits, that upon receipt would improve their income level.
 - Are on a waiting list for and reasonably expected to obtain, within the program time-limits, subsidized housing under another program.
 - Are in receipt of temporary assistance benefits through Albany County, are actively engaged in a specific job or skill training program, and are in full-compliance with their Department-mandated employment plan. In addition, all applicants must apply for all financial benefit programs for which they appear eligible (ex. Temporary Assistance, Medicaid, Food Stamps, SSI/SSDI, veterans benefits etc.)
2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

Since May 2014 the Albany CoC has worked to design and establish a coordinated assessment process that prioritizes applicants for homeless services. The Albany CoC Systems committee was tasked with the development of the coordinated assessment system. The system is open and promotes efficient communication among service providers within the community. The committee chose a “no wrong door” system which means all participating homeless service providers are an access point for services. Households that enter any of the access points will complete initial assessments. Initial assessments are conducted by agency front line staff (i.e. intake specialists, case managers, social workers, etc.) using a standardized assessment tool. This assessment tool is designed to assess housing needs and vulnerability to identify eligibility and prioritize those most vulnerable. Each household is assessed based on specified criteria that the Albany CoC met and agreed upon.

Coordination of client centered service referrals and assignments is conducted by front line staff and the Coordinated Assessment Coordinator. The Coordinated Assessment Coordinator guides the system and tracks each household’s movement through the system. The Coordinator is primarily responsible for: organizing and reporting vacancies and anticipated vacancies, reporting the number of homeless households that enter the homeless system, reporting the number of homeless households eligible for permanent supportive housing, reporting permanent supportive housing

referral options by client, reporting acceptance/denials by permanent supportive housing providers, and reporting eligible households whom become inactive. Agency front line staff meet bi-weekly to conduct case conferencing for those on the Coordinated Entry waitlist.

The Albany coordinated assessment system includes: Department of Social Services, the ESG Shelters, CoC funded and non-funded entities.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The following allocation parameters were utilized by the City of Albany in the process for making sub-awards for ESG funding: no more than 60 percent of the ESG funding less Administrative Costs and subsequently HMIS costs, were obligated for emergency shelter and/or outreach services. Of the remaining funds a minimum of 50 percent were obligated to services for homeless prevention. Of the remaining funds a maximum of 50 percent was obligated for rapid rehousing. The City worked closely with the Continuum of Care (CoC) NOFA Committee to outline the parameters above as well as to collaborate on a review process in order for funding recommendations to be made. Together the CoC and City enlisted knowledgeable and objective community representatives to review the applications received by the City. The reviews' recommendations were adopted by the committee on behalf of the CoC and presented to the City. Like-minded recommendations were made by the City and funding allocations aligned with the collaborative recommendations were made.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

n/a

5. Describe performance standards for evaluating ESG.

The performance standards for evaluating ESG are based on the intended purpose of the service type and the expected outcome of fulfilling that purpose. The City of Albany collaborates with the Albany County Continuum of Care to set and evaluate required performance standards per ESG activity. Emergency shelter services are intended to reduce the rates of homelessness with the expected outcome that a minimum of 30% of households exit to permanent housing destinations. Street outreach activities are intended to expand outreach and services to homeless persons and expected to provide services to at least 10% more households than were reached the previous year. Homelessness prevention services seek to reduce the number of homeless households that seek emergency shelter. This is evaluated by the expected outcomes that there will be a minimum 20% increase in diversions for homeless households within the City of Albany and that at least 80% of

households served will maintain permanent housing for 90 days after discharge. Rapid Rehousing services are intended to reduce the length of homelessness with the outcome expected that at least 80% of households served will be placed in permanent housing within 60 days and at least 80% of households served will maintain permanent housing for 90 days after discharge. The Homeless Management Information System is intended to increase and maintain the data quality of the ESG programs within HMIS with the expectation that no more than 5% miss or null data is required for all required fields.


Attachments

Grantee SF-424's and Certification(s)

OMB Number: 4040-0004
Expiration Date: 11/30/2025

| Application for Federal Assistance SF-424 | | |
|--|--|--|
| <p>* 1. Type of Submission:</p> <p><input type="checkbox"/> Pre-application</p> <p><input checked="" type="checkbox"/> Application</p> <p><input type="checkbox"/> Changed/Corrected Application</p> | | |
| <p>* 2. Type of Application:</p> <p><input checked="" type="checkbox"/> New</p> <p><input type="checkbox"/> Continuation</p> <p><input type="checkbox"/> Revision</p> | | |
| <p>* 3. Federal Agency/Office:</p> <p><input type="text"/></p> | | |
| <p>* 4. Applicant Identifier:</p> <p><input type="text"/></p> | | |
| <p>* 5. Date Received:</p> <p><input type="text"/></p> | | |
| <p>* 6. Federal Agency/Office:</p> <p><input type="text"/></p> | | |
| <p>* 7. Federal Agency Identifier:</p> <p><input type="text"/></p> | | |
| <p>State Use Only:</p> | | |
| <p>* 8. Date received by State:</p> <p><input type="text"/></p> | | |
| <p>* 9. State Application Identifier:</p> <p><input type="text"/></p> | | |
| <p>B. APPLICANT INFORMATION:</p> | | |
| <p>* a. Legal Name:</p> <p><input type="text" value="City of Albany"/></p> | | |
| <p>* b. Employer/Taxpayer Identification Number (EIN/TIN):</p> <p><input type="text" value="14-5032053"/></p> | | |
| <p>* c. UEI:</p> <p><input type="text" value="KASTED055805"/></p> | | |
| <p>d. Address:</p> | | |
| <p>* Street:</p> <p><input type="text" value="24 Lehigh St."/></p> | | |
| <p>* Street2:</p> <p><input type="text"/></p> | | |
| <p>* City:</p> <p><input type="text" value="Albany"/></p> | | |
| <p>* Country/Parish:</p> <p><input type="text"/></p> | | |
| <p>* State:</p> <p><input type="text" value="NY: New York"/></p> | | |
| <p>* Province:</p> <p><input type="text"/></p> | | |
| <p>* Country:</p> <p><input type="text" value="USA: UNITED STATES"/></p> | | |
| <p>* Zip / Postal Code:</p> <p><input type="text" value="12237-1607"/></p> | | |
| <p>e. Organizational Unit:</p> | | |
| <p>Department Name:</p> <p><input type="text"/></p> | | |
| <p>Division Name:</p> <p><input type="text"/></p> | | |
| <p>f. Name and contact information of person to be contacted on matters involving this application:</p> | | |
| <p>* First Name:</p> <p><input type="text" value="Michael"/></p> | | |
| <p>* Middle Name:</p> <p><input type="text"/></p> | | |
| <p>* Last Name:</p> <p><input type="text" value="Biley"/></p> | | |
| <p>* Title:</p> <p><input type="text" value="Director"/></p> | | |
| <p>Organizational Address:</p> | | |
| <p>City of Albany</p> | | |
| <p>* Telephone Number:</p> <p><input type="text" value="518-435-2455"/></p> | | |
| <p>* Fax Number:</p> <p><input type="text"/></p> | | |
| <p>* Email:</p> <p><input type="text" value="m.biley@albanyny.gov"/></p> | | |

| | | |
|--|--------------------|-------------------|
| Application for Federal Assistance SF-424 | | |
| * 5. Type of Applicant 1: Select Applicant Type: | | |
| C: City or Township Government | | |
| Type of Applicant 2: Select Applicant Type: | | |
| Type of Applicant 3: Select Applicant Type: | | |
| * Other (Specify): | | |
| * 10. Name of Federal Agency: | | |
| Housing and Urban Development | | |
| 11. Catalog of Federal Domestic Assistance Number: | | |
| 15.213 | | |
| CFDA Title: | | |
| Community Development Block Grant | | |
| * 12. Funding Opportunity Number: | | |
| * 13a: | | |
| | | |
| 13. Competition Identification Number: | | |
| Title: | | |
| | | |
| 14. Areas Affected by Project (Cities, Counties, States, etc.): | | |
| | Add Attachment | Delete Attachment |
| View Attachment | | |
| * 16. Descriptive Title of Applicant's Project: | | |
| City of Albany Food Program | | |
| Attach supporting documents as specified in agency instructions. | | |
| Add Attachments | Delete Attachments | View Attachments |

| | |
|--|--|
| Application for Federal Assistance SF-424 | |
| 16. Congressional Districts Of: | |
| * a. Applicant: <input type="text" value="20"/> | * b. Program/Project: <input type="text"/> |
| Attach an additional list of Program/Project Congressional Districts if needed. <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> | |
| 17. Proposed Project: | |
| * a. Start Date: <input type="text" value="05/01/2021"/> | * b. End Date: <input type="text" value="05/31/2022"/> |
| 18. Estimated Funding (\$): | |
| * a. Federal | <input type="text" value="3,000,000.00"/> |
| * a. Applicant | <input type="text" value="20,000.00"/> |
| * c. State | <input type="text" value="0.00"/> |
| * d. Local | <input type="text" value="0.00"/> |
| * e. Other | <input type="text" value="0.00"/> |
| * f. Program Income | <input type="text" value="0.00"/> |
| * g. TOTAL | <input type="text" value="3,020,000.00"/> |
| * 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> . <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372. | |
| * 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," provide explanation and attach: <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> | |
| 21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001) <input checked="" type="checkbox"/> I AGREE <small>"The list of certifications and assurances, or an internal one where you may omit this list, is contained in the announcement or agency specific instructions"</small> | |
| Authorized Representative: | |
| Prefix: <input type="text"/> | * First Name: <input type="text" value="Ruthanne"/> |
| Middle Name: <input type="text"/> | |
| * Last Name: <input type="text" value="Dawson"/> | |
| Suffix: <input type="text"/> | |
| * Title: <input type="text" value="Mayor"/> | |
| * Telephone Number: <input type="text" value="513 434 5100"/> | Fax Number: <input type="text"/> |
| * Email: <input type="text" value="rdawson@livermore.gov"/> | |
| * Signature of Authorized Representative:  | * Date Signed: <input type="text" value="6/5/21"/> |

CD 86

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4340-0046
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

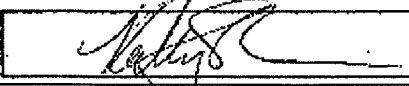
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Controller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4720-4735) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1686-1688), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§280a-3 and 280a-5), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §3601 et seq.) as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-97)
Prescribed by GME Circular A-102

11. Will comply or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§ 1501-1508 and 7324-7325) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§ 276a to 276a-7), the Copeland Act (40 U.S.C. § 276c and 15 U.S.C. § 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-333) regarding labor standards for Federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11735; (c) protection of wetlands pursuant to EO 11980; (d) evaluation of flood hazards in floodplains in accordance with EO 11588; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1963, as amended (42 U.S.C. §§ 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-203).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. § 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (15 U.S.C. §§ 459a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subaward under the award.

| | | | |
|---|--|----------------|--|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | | TITLE | |
|  | | Mayor | |
| APPLICANT NAME | | DATE SUBMITTED | |
| Katherine H. Shawhan | | 6/17/24 | |

SF-424D (Rev. 7-97) Back

C786

OMB Number: 4040-0007
Expiration Date: 02-28-2025

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

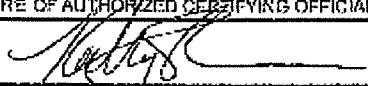
- Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
- Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
- Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4733) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of GPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900 Subpart F).
- Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-205), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290d-3 and 290d-8), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VII of the Civil Rights Act of 1968 (42 U.S.C. §§2001 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
- Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 1724-1728) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

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Standard Form 6240 (Rev. 7-97)
Prescribed by OMB Circular A-402


9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 40 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11890; (d) evaluation of flood hazards in floodplains in accordance with EO 11888; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 175(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470) EO 11593 (identification and protection of historic properties), and the Antiquities and Historic Preservation Act of 1974 (16 U.S.C. §§160a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|--|---------------------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL  | TITLE COOPER |
| APPLICANT NAME Katherine Brennan | DATE SUBMITTED 6/17/24 |

Standard Form 424B (Rev. 7-87) Back

| Application for Federal Assistance SF-424 | | |
|---|--|-------------------------------------|
| * 1. Type of Submission: <input type="checkbox"/> Proposal/Option <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | | |
| * 2. Type of Applicant: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision | | |
| * If Revision, select appropriate criteria: <input type="checkbox"/> Other (Specify): _____ | | |
| * 3. FPMR Related: <input type="checkbox"/> | | 4. Applicant Identifier: _____ |
| 5a. Federal Entity Identifier: _____ | | 5b. Federal Award Identifier: _____ |
| State Use Only: 6. Date Received by State: _____ 7. State Application Identifier: _____ | | |
| 8. APPLICANT INFORMATION: | | |
| * a. Legal Name: City of Albany | | |
| * b. Employs/Taxpayer Identifier Number (E/TIN): 14-0000000 | | * c. UFI: 000/000000 |
| d. Address: | | |
| * Street: 21 Eagle St 51807 | | |
| * City: Albany | | |
| Country/Parish: _____ | | |
| * State: NY; New York | | |
| Province: _____ | | |
| * Country: USA; UNITED STATES | | |
| * Zip/Postal Code: 12207-1907 | | |
| e. Organizational Unit: | | |
| Department Name: _____ | | Division Name: _____ |
| f. Name and contact information of person to be contacted on matters involving this application: | | |
| Prefix: _____ | | * First Name: Michael |
| Middle Name: _____ | | |
| * Last Name: Foley | | |
| Suffix: _____ | | |
| Title: Mayor | | |
| Organizational Affiliation: _____ | | |
| City of Albany | | |
| * Telephone Number: 518-463-2335 | | Fax Number: _____ |
| * Email: mfoley@albanyny.gov | | |

| | | |
|--|---|--|
| Application for Federal Assistance SF-424 | | |
| * 9. Type of Applicant 1: Select Applicant Type: | | |
| <input type="text" value="City or Township Government"/> | | |
| Type of Applicant 2: Select Applicant Type: | | |
| <input type="text"/> | | |
| Type of Applicant 3: Select Applicant Type: | | |
| <input type="text"/> | | |
| * Other (Specify): | | |
| <input type="text"/> | | |
| * 10. Name of Federal Agency: | | |
| <input type="text" value="Housing and Urban Development"/> | | |
| 11. Catalog of Federal Domestic Assistance Number: | | |
| <input type="text" value="14.235"/> | | |
| CFDA Title: | | |
| <input type="text" value="HOME"/> | | |
| * 12. Funding Opportunity Number: | | |
| <input type="text"/> | | |
| Title: | | |
| <input type="text"/> | | |
| 13. Competition Identification Number: | | |
| <input type="text"/> | | |
| Title: | | |
| <input type="text"/> | | |
| 14. Areas Affected by Project (Cities, Counties, States, etc.): | | |
| <input type="text"/> | <input type="button" value="Add Attachment"/> | <input type="button" value="Delete Attachment"/> |
| <input type="button" value="View Attachments"/> | | |
| * 15. Descriptive Title of Applicant's Project: | | |
| <input type="text" value="CITY of Albany HOME Program"/> | | |
| Attach supporting documents as specified in agency instructions. | | |
| <input type="button" value="Add Attachments"/> | <input type="button" value="Delete Attachments"/> | <input type="button" value="View Attachments"/> |

| | |
|--|--|
| Application for Federal Assistance SF-424 | |
| 16. Congressional Districts Of: | |
| * a. Applicant <input type="text" value="23"/> | * b. Program/Project <input type="text"/> |
| Attach an additional list of Program/Project Congressional Districts if needed: <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="Cancel Attachment"/> | |
| 17. Proposed Project: | |
| * a. Start Date: <input type="text" value="06/01/2021"/> | * b. End Date: <input type="text" value="06/30/2022"/> |
| 18. Estimated Funding (\$): | |
| * a. Federal | <input type="text" value="778,234.00"/> |
| * b. Applicant | <input type="text" value="1,250.00"/> |
| * c. State | <input type="text" value="0.00"/> |
| * d. Local | <input type="text" value="0.00"/> |
| * e. Other | <input type="text" value="0.00"/> |
| * f. Program Income | <input type="text" value="0.00"/> |
| * g. TOTAL | <input type="text" value="779,484.00"/> |
| * 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> . <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372 | |
| * 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," provide explanation and attach <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="Cancel Attachment"/> | |
| 21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001) <input checked="" type="checkbox"/> I AGREE <small>* The list of certifications and assurances, or an internal file where you may obtain this list, is contained in the accompanying or agency specific instructions.</small> | |
| Authorized Representative: | |
| Prefix <input type="text"/> | * First Name: <input type="text" value="Zachariah"/> |
| Middle Name <input type="text"/> | |
| * Last Name: <input type="text" value="Scherer"/> | |
| Suffix <input type="text"/> | |
| * Title <input type="text" value="Mayor"/> | |
| * Telephone Number <input type="text" value="518-634-5100"/> | * Fax Number <input type="text"/> |
| * Email <input type="text" value="schereza@bergov.gov"/> | |
| * Signature of Authorized Representative:  | * Date Signed: <input type="text" value="6/5/21"/> |

HOME

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4340-0088
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042) Washington, DC 20503

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As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial, and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management, and completion of project described in this application.
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7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 500, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §3401 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 85-552) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1985-1988) which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§280-5d-3 and 250-ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.) as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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11. Will comply or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 48 U.S.C. §574), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11550; (d) evaluation of flood hazards in floodplains in accordance with EO 11550; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 175(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-203).
16. Will comply with the Wild and Scenic Rivers Act of 1955 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 100 of the National Historic Preservation Act of 1983, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §540a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7101) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

| | | | |
|---|--|----------------|--|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | | TITLE | |
|  | | Mayor | |
| APPLICANT NAME | | DATE SUBMITTED | |
| Katherine M. Sheehan | | 6/17/24 | |

SF-424D (Rev. 7-87) Back

HOME

OMB Number: 4240-0047
Expiration Date: 02/28/2025

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0345-0047), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

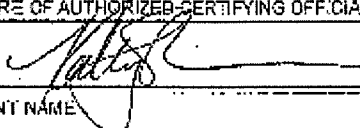
1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§1728-1763) relating to prescribed standards for merit systems for programs funded under one of the 10 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. §50, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1686-1689), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Offense and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1942 (42 U.S.C. §§280a-3 and 290a-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

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Prescribed by GSA Circular A-102

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874) and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-204) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11735; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11908; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State Clean Air Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523), and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-203).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966 as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.)
14. Will comply with P.L. 95-548 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1993 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 103(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|--|--------------------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL  | TITLE NAME _____ |
| APPLICANT NAME Educational Foundation | DATE SUBMITTED 6/7/24 |

Standard Form 424B (Rev. 7-87) Back

| Application for Federal Assistance SF-424 | | |
|--|--|--|
| 1. Type of Submission <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | | |
| 2. Type of Application <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision | | |
| 3. If Revision, select appropriate box(es): <input type="checkbox"/> Other (Specify): _____ | | |
| 3. Date Received: _____ | | |
| 4. Applicant Identifier: _____ | | |
| 5a. Federal Entity Identifier: _____ | | |
| 5b. Federal Award Location: _____ | | |
| State Use Only: | | |
| 6. Data Received by State: _____ | | |
| 7. State Application Identifier: _____ | | |
| 8. APPLICANT INFORMATION: | | |
| a. Legal Name: City of Albany | | |
| b. Employer/Taxpayer Identification Number (EIN/TIN): 14-8002038 | | |
| c. UEI: H3D7C6L069Q8 | | |
| d. Address: | | |
| * Street1: 24 Eagle St | | |
| Street2: _____ | | |
| * City: Albany | | |
| County/Parish: _____ | | |
| * State: NY: New York | | |
| Province: _____ | | |
| * Country: USA: UNITED STATES | | |
| * Zip / Postal Code: 12207-1907 | | |
| e. Organizational Unit: | | |
| Department Name: _____ | | |
| Division Name: _____ | | |
| f. Name and contact information of person to be contacted on matters involving this application: | | |
| Prefix: _____ | | |
| * First Name: Michael | | |
| Middle Name: _____ | | |
| * Last Name: Foley | | |
| Suffix: _____ | | |
| Title: Director | | |
| Organizational Affiliation: | | |
| City of Albany | | |
| * Telephone Number: 518-434-2488 | | |
| Fax Number: _____ | | |
| * Email: mfoley@albanyny.gov | | |

| Application for Federal Assistance SF-424 | |
|---|--|
| * 8. Type of Applicant to Select Applicant Type: Of City or Township Government Type of Applicant 2 Select Applicant Type: Type of Applicant 3 Select Applicant Type: * Other (specify): | |
| * 10. Name of Federal Agency: Housing and Urban Development | |
| 11. Catalog of Federal Domestic Assistance Number: 14.231 CFDA Title: HHS | |
| * 12. Funding Opportunity Number: * Title: | |
| 13. Competition Identification Number: ID#: | |
| 14. Areas Affected by Project (Cities, Counties, States, etc.): <div> <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> </div> | |
| * 15. Descriptive Title of Applicant's Project: City of Milwaukee HHS Program | |
| Attach supporting documents as specified in agency instructions. <div> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </div> | |

| Application for Federal Assistance SF-424 | | | | | | | | | | | | | | | |
|---|-------------------|--------------|------------|----------------|------|------------|------|------------|------|------------|------|---------------------|------|-------------------|-------------------|
| 16. Congressional Districts Of: <div style="display: flex; justify-content: space-between;"> * a. Applicant: <input type="text" value="20"/> * b. Program/Project: <input type="text"/> </div> | | | | | | | | | | | | | | | |
| Attach an additional list of Program/Project Congressional Districts if needed. <div style="display: flex; justify-content: space-between; align-items: center;"> <input type="text"/> <div> <input type="button" value="Add Attachment"/> <input type="button" value="Cancel Attachment"/> <input type="button" value="View Attachments"/> </div> </div> | | | | | | | | | | | | | | | |
| 17. Proposed Project: <div style="display: flex; justify-content: space-between;"> * a. Start Date: <input type="text" value="06/01/2021"/> * b. End Date: <input type="text" value="06/30/2022"/> </div> | | | | | | | | | | | | | | | |
| 18. Estimated Funding (\$): <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>* a. Federal</td> <td style="text-align: right;">275,718.00</td> </tr> <tr> <td>* b. Applicant</td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>* c. State</td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>* d. Local</td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>* e. Other</td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>* f. Program Income</td> <td style="text-align: right;">0.00</td> </tr> <tr> <td>* g. TOTAL</td> <td style="text-align: right;">275,718.00</td> </tr> </table> | | * a. Federal | 275,718.00 | * b. Applicant | 0.00 | * c. State | 0.00 | * d. Local | 0.00 | * e. Other | 0.00 | * f. Program Income | 0.00 | * g. TOTAL | 275,718.00 |
| * a. Federal | 275,718.00 | | | | | | | | | | | | | | |
| * b. Applicant | 0.00 | | | | | | | | | | | | | | |
| * c. State | 0.00 | | | | | | | | | | | | | | |
| * d. Local | 0.00 | | | | | | | | | | | | | | |
| * e. Other | 0.00 | | | | | | | | | | | | | | |
| * f. Program Income | 0.00 | | | | | | | | | | | | | | |
| * g. TOTAL | 275,718.00 | | | | | | | | | | | | | | |
| * 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <div style="display: flex; align-items: flex-start;"> <div style="flex: 1;"> <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>. <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372. </div> <div style="flex: 0.2; border: 1px solid black; width: 50px; height: 20px; margin-left: 10px;"></div> </div> | | | | | | | | | | | | | | | |
| * 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <div style="display: flex; align-items: flex-start;"> <div style="flex: 1;"> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach: <input type="text"/> </div> <div style="flex: 0.2; border: 1px solid black; width: 50px; height: 20px; margin-left: 10px;"></div> </div> <div style="display: flex; justify-content: space-between; align-items: center; margin-top: 5px;"> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/> </div> | | | | | | | | | | | | | | | |
| 21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001) <input checked="" type="checkbox"/> I AGREE <small>"The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the supplementary or agency specific instructions"</small> | | | | | | | | | | | | | | | |
| Authorized Representative: <div style="display: flex; justify-content: space-between;"> <div> Prefix: <input type="text"/> Middle Name: <input type="text"/> Last Name: <input type="text" value="Shreehan"/> Suffix: <input type="text"/> </div> <div> First Name: <input type="text" value="Katherine"/> </div> </div> | | | | | | | | | | | | | | | |
| <div style="display: flex; justify-content: space-between;"> * Title: <input type="text" value="Mayor"/> </div> | | | | | | | | | | | | | | | |
| <div style="display: flex; justify-content: space-between;"> * Telephone Number: <input type="text" value="516-454-5100"/> * Fax Number: <input type="text"/> </div> | | | | | | | | | | | | | | | |
| <div style="display: flex; justify-content: space-between;"> * Email: <input type="text" value="kshreehan@shreehan.org"/> </div> | | | | | | | | | | | | | | | |
| <div style="display: flex; justify-content: space-between; align-items: center;"> * Signature of Authorized Representative: * Date Signed: <input type="text" value="6/7/24"/> </div> | | | | | | | | | | | | | | | |

HESG

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4340-0024
Expiration Date: 09/30/2025

Public reporting burden for this collection of information is estimated to average 75 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

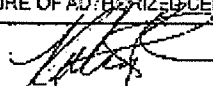
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4729-4763) relating to prescribed standards of merit systems for programs funded under one of the 18 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 263, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1686-1688), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VII of the Civil Rights Act of 1964 (42 U.S.C. §§5501 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by GSA Circular A-102

11. Will comply or has already complied with the requirements of Titles I and II of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§ 1501-1508 and 7324-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§ 278a to 278a-7), the Copeland Act (40 U.S.C. § 276c and 18 U.S.C. § 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-333) regarding labor standards for federally-assisted construction agreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-160) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11890; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1966, as amended (42 U.S.C. §§ 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-203).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. § 470), EO 11663 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§ 459a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1989 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(c) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|--|---------------------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL  | TITLE _____ |
| APPLICANT NAME Baltimore 21st Station | DATE SUBMITTED 6/17/24 |

SF-424D (Rev. 7-87) Back

HESG

OMB Number: 4040-0607
Excluded Date: 02-26-2025

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application;
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives;
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain;
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency;
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4753) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F);
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1581-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-253), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§260 c0-3 and 260 c0-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

Previous Edition Usable

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Standard Form 424B (Rev. 7-87)
Prescribed by GMB Circular A-102

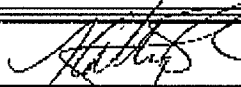
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §574), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§227-233), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-525); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-203).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-4 et seq.).
14. Will comply with P.L. 93-349 regarding the protection of human subjects involved in research, development and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1955 (P.L. 84-644, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4901 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1998 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with or applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 109(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|----------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
|  | Mayor |
| APPLICANT NAME | DATE SUBMITTED |
| Katherine Strahan | 6/17/24 |

Standard Form 424B (Rev. 7-97) Back

| Application for Federal Assistance SF-424 | | |
|--|--|---|
| *1. Type of Submission: <input type="checkbox"/> Preproposal <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application | | *2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision * If Revision, select appropriate item(s): <input type="text"/> * Other (Specify): <input type="text"/> |
| *3. Date Received: <input type="text"/> | | *4. Applicant Identifier: <input type="text"/> |
| *5. Federal Entry Identifier: <input type="text"/> | | *6. Federal Award Identifier: <input type="text"/> |
| State Use Only: | | |
| *7. Date Received by State: <input type="text"/> | *8. State Application Identifier: <input type="text"/> | |
| 8. APPLICANT INFORMATION: | | |
| *a. Legal Name: <input type="text" value="City of Albany"/> | | |
| *b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="14-8012053"/> | | *c. JEL: <input type="text" value="E020000000"/> |
| *d. Address: | | |
| * Street1: <input type="text" value="24 Euclid St"/> | | |
| * Street2: <input type="text"/> | | |
| * City: <input type="text" value="Albany"/> | | |
| * County/Parish: <input type="text"/> | | |
| * State: <input type="text" value="NY: New York"/> | | |
| * Province: <input type="text"/> | | |
| * Country: <input type="text" value="USA: UNITED STATES"/> | | |
| * Zip/Postal Code: <input type="text" value="12207-1901"/> | | |
| *e. Organizational Unit: | | |
| Department Name: <input type="text"/> | | Division Name: <input type="text"/> |
| *f. Name and contact information of person to be contacted on matters involving this application: | | |
| * Title: <input type="text"/> | | * First Name: <input type="text" value="Michael"/> |
| * Middle Name: <input type="text"/> | | |
| * Last Name: <input type="text" value="Foley"/> | | |
| * Suffix: <input type="text"/> | | |
| * Title: <input type="text" value="Director"/> | | |
| * Organizational Address: <input type="text" value="City of Albany"/> | | |
| * Telephone Number: <input type="text" value="518-434-2485"/> | | * Fax Number: <input type="text"/> |
| * Email: <input type="text" value="mfoley@albanyny.gov"/> | | |

| | | |
|---|--|--|
| Application for Federal Assistance SF-424 | | |
| * 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City or Township Government"/> | | |
| Type of Applicant 2: Select Applicant Type: <input type="text"/> | | |
| Type of Applicant 3: Select Applicant Type: <input type="text"/> | | |
| * Other (specify): <input type="text"/> | | |
| * 10. Name of Federal Agency: <input type="text" value="Housing and Urban Development"/> | | |
| 11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.241"/> CFDA Title: <input type="text" value="HOMA"/> | | |
| * 12. Funding Opportunity Number: <input type="text"/> Title: <input type="text"/> | | |
| 13. Competition Identification Number: <input type="text"/> Title: <input type="text"/> | | |
| 14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/> | | |
| * 15. Descriptive Title of Applicant's Project: <input type="text" value="City of Miami HOMA Program"/> | | |
| Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> | | |

| Application for Federal Assistance SF-424 | |
|--|---|
| 16. Congressional Districts Of: | |
| *a. Applicant: <input type="text" value="20"/> | *b. Program/Project: <input type="text"/> |
| Attach additional list of Program/Project Congressional Districts (needed) <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/> </div> | |
| 17. Proposed Project: | |
| *a. Start Date: <input type="text" value="06/01/2024"/> | *b. End Date: <input type="text" value="06/30/2025"/> |
| 18. Estimated Funding (\$): | |
| *a. Federal | \$ 1,455,000 |
| *b. Applicant | \$ 0.00 |
| *c. State | \$ 0.00 |
| *d. Local | \$ 0.00 |
| *e. Other | \$ 0.00 |
| *f. Program Income | \$ 0.00 |
| *g. TOTAL | \$ 1,455,000 |
| * 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <div style="margin-top: 5px;"> <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. </div> <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372. | |
| * 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <div style="margin-top: 5px;"> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No </div> If "Yes," provide explanation and attach <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/> </div> | |
| 21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001) <div style="margin-top: 5px;"> <input checked="" type="checkbox"/> ** I AGREE </div> ** The list of certifications and assurances, or an example also where you may obtain this list, is contained in the announcements or agency specific instructions. | |
| Authorized Representative: | |
| Prefix: <input type="text"/> | * First Name: <input type="text" value="Katherine"/> |
| Middle Name: <input type="text"/> | |
| * Last Name: <input type="text" value="Sheehan"/> | |
| Suffix: <input type="text"/> | |
| * Title: <input type="text" value="Mayor"/> | |
| * Telephone Number: <input type="text" value="508 434-5100"/> | Fax Number: <input type="text"/> |
| * Email: <input type="text" value="katherine@cityofnewbury.gov"/> | |
| * Signature of Authorized Representative:  | * Date Signed: <input type="text" value="6/5/24"/> |

HORWA

OMB Number: 4940-0037
Expiration Date: 03/28/2025

ASSURANCES - NON-CONSTRUCTION PROGRAMS

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As the duly authorized representative of the applicant, I certify that the applicant:

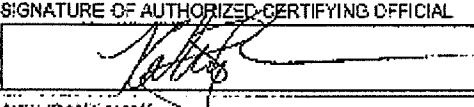
1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4783) relating to prescribed standards for merit systems for programs funded under one of the 18 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-362) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1688), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§9101-9107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3501, et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

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Prescribed by GMB Circular A-102

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 132(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-224) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(a) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-203).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 103 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11583 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§488a-1 et seq.).
14. Will comply with P.L. 95-345 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4601 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|----------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
|  | Mayor |
| APPLICANT NAME | DATE SUBMITTED |
| Eschscholtz, Katherine | 9/17/24 |

Standard Form 424B (Rev. 7-97) Back

HOPWA

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4045-0009
Expiration Date: 02-28-2025

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As the duly authorized representative of the applicant, I certify that the applicant:

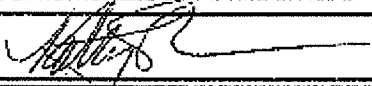
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial, and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management, and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4723-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of GPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1601-1683, and 1685-1688), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-576), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§260 dc-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by GMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons if specified or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1506 and 1324-1328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276b-7), the Copeland Act (40 U.S.C. §276c and 42 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable annual repair and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11980; (d) evaluation of flood hazards in floodplains in accordance with EO 11980; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-203).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in ensuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§409a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipients from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Perpetrating a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

| | |
|---|----------------|
| SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL | TITLE |
|  | Mayor |
| APPLICANT NAME | DATE SUBMITTED |
| Katherine C. Stebbins | 6/17/24 |

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CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement,
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L.I., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.


Signature of Authorized Official


Date


Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifics both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2024, 2025 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

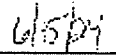
Compliance with Anti-discrimination laws - The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint - Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

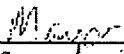
Compliance with Laws - It will comply with applicable laws.



Signature of Authorized Official



Date

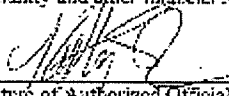


Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

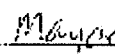
The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.



Signature of Authorized Official



Date



Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.


Signature of Authorized Official


Date


Title

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 5 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

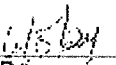
Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

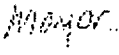
Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Signature of Authorized Official



Date

Title 

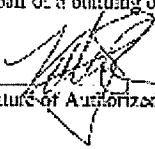
Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.



Signature of Authorized Official

6/5/24

Date



Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.