

Executive Summary

ES-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City of Albany receives four entitlement grants from the U.S. Department of Housing and Urban Development (HUD) each year. These grants are secured and managed by Albany Community Development Agency (ACDA). ACDA allocates the HUD funds to sub-recipients through strategic grants or to the community through housing programs and City-wide neighborhood development or public improvement projects. The primary purpose of these programs is to create viable communities by providing decent housing, a suitable living environment, and economic opportunities principally for low and moderate income people. The decisions for allocating funds are made based on a data-driven needs assessment, extensive consultation and citizen participation. These determinations are defined and summarized in the City's five-year Consolidated Plan FY 2020 – 2024 in a very transparent process involving multiple reviews and feedback sessions from the community. ACDA is committed to providing all members of the community with opportunities to participate in an advisory role in the planning, implementation and evaluation of the City's Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). The process included both formal and informal opportunities for participation. The CPP is designed to encourage participation by low- and moderate-income persons, particularly residents of blighted areas and low- and moderate-income neighborhoods. Participation is also encouraged by non-English speaking persons, persons with disabilities and residents of public and assisted housing developments, residents of targeted revitalization areas and other special populations

2. Summary of the objectives and outcomes identified in the Plan Needs Assessment Overview

As an entitlement city, the City of Albany receives funding through the U.S. Department of Housing and Urban Development (HUD) in the form of the Community Development Block Grant (CDBG), the HOME Investment Partnerships (HOME) program, and Emergency Shelter Grant (ESG) Grant, and Housing Opportunities for Persons with AIDS (HOPWA). These funds are combined with several local funding sources and private funds to support housing and community development initiatives. The City of Albany has prepared a Five Year Consolidated Plan covering fiscal years 2020-2024 to guide the development and implementation as well as a tool to leverage federal, private, and state funding to accomplish and maximize results of defined goals and objectives on a yearly basis.

The City prepares an Annual Action Plan yearly based on the goals and strategies as outlined in the Five-Year Consolidated Plan and to carry out HUD's national objectives, to provide decent housing, provide suitable living environment and expand economic opportunities. With this plan, the city has a number of local partners, and the citizens to assist with the implementation of the plan. This plan identified activities for Housing, neighborhood revitalization, homeless prevention and programs, housing for people with AIDS and public services. These activities are focused in the City's Neighborhood Strategy Area, but emphasize the importance of working towards improving the City of Albany.

Objectives and needs have been identified through needs assessments and public input include the following:

Priority Housing Needs

- Target available resources into Albany's neighborhoods to spur private investment
- Increase quality homeownership and rental options
- Rehabilitate existing rental and owner-occupied housing
- Address the blighting influence of vacant properties
- Increase cooperation between public and private sectors
- Increase the number of minority homeowners
- Provide expanded public improvements and facilities
- Fund public services that support housing initiatives identified in this consolidated plan and other neighborhood planning initiatives

Priority Homeless Needs

- Emergency Shelter for:
- Chronically homeless individuals who are not able to comply with admission requirements of the existing emergency shelters;
- Emergency shelter for families; and
- Supportive housing for populations with disabilitiesPermanent supportive housing for chronically homeless persons

Priority Special Needs

- Additional housing and services forpersons with mental illnesspersons with substance abuse problemspersons with HIV/AIDSpersons with developmental disabilities
- Supportive services for elderly persons

3. Evaluation of past performance

Previous activities implemented met the goals established in the previous 2015-2019 Consolidated Plan. Overall the activities have been very successful and in many cases have exceeded expectations. Funds are being spent in a timely manner with most funds being spent prior to the end of each program year.

4. Summary of citizen participation process and consultation process

The Citizen Participation Plan (CPP) is a description of the public participation process envisioned for the development of the 5- year Consolidated Plan, including but not limited to public hearings and public comment periods. The CPP sets forth the City's policies and procedures for citizen involvement. It establishes the City's roadmap to encourage citizens and entitlement grant beneficiaries to participate in the planning, execution, and evaluation of the five-year Consolidated Plan (ConPlan), the Annual Action Plan (AAP), and the Consolidated Annual Performance and Evaluation Report (CAPER).

Citizen input is crucial in shaping the allocation of HUD entitlement resources and evaluation of the strategic planning process for Program Years 2020-24. This document provides information about the various opportunities available for involvement of affected persons and other concerned citizens in the planning process. The City will ensure that there is complete transparency in the planning process and complete access to the draft Plans. There are detailed instructions in this document to guide the public's submission of comments and redresses. In an effort to ensure continuity of participation throughout all stages of the Plan's development, this document provides the timelines of the public hearings and meetings, along with the locations where physical copies of the survey and draft plans will be made available.

5. Summary of public comments

ACDA received several insightful comments from the residents, partnering organizations, and other stakeholders. The comments and responses are attached

6. Summary of comments or views not accepted and the reasons for not accepting them

none

7. Summary

The Process

PR-05 Lead & Responsible Agencies 24 CFR 91.200(b)

1. Describe agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	ALBANY	Albany Housing and Community Development
HOPWA Administrator	ALBANY	Albany Housing and Community Development
HOME Administrator	ALBANY	Albany Housing and Community Development
ESG Administrator	ALBANY	Albany Housing and Community Development

Table 1 – Responsible Agencies

Narrative

As designated by the Mayor and authorized by the Common Council of The City of Albany; the Albany Community Development Agency (ACDA) is the Lead Agency responsible for development of the Consolidated Plan. ACDA secures and manages the U.S. Department of Housing and Urban Development (HUD) funds each year, which are allocated through strategic grants to the community through housing programs or to citywide neighborhood development or public improvement projects. The Agency has administered the Community Development Block Grant (CDBG) for the past 35 Years. ACDA operates under the Department of Development and Planning and ACDA's governing board consists of the Mayor, City Treasurer, City Clerk, President of the Common Council, the City's Corporation Counsel and the Agency Director. Staffing of the ACDA is provided by the Department of Housing and Community Development.

Consolidated Plan Public Contact Information

Faye Andrews

Director - Albany Community Development Agency

200 Henry Johnson Blvd

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Second Floor | Suite #1

Albany, NY 12210

Phone: (518) 434-5265

Fax: (518) 434-5294

Email: communitydevelopment@albanyny.gov

Office Hours: Monday-Friday 8:30 a.m. - 5 p.m.

PR-10 Consultation – 91.100, 91.110, 91.200(b), 91.300(b), 91.215(I) and 91.315(I)

1. Introduction

By the Mayors initiative, the consolidated plan and each consecutive annual action plan were developed with the goals and mission of the Albany 2030 plan in mind.

The plan was developed with full collaboration and input from all City departments. Additionally, the Agency consulted with state and county agencies as well as non-profit groups. Several not for profit service providers were encouraged to participate in the consolidated plan process. These groups are the "front-line" in many aspects of community development in the City.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I)).

As designated by the Mayor and authorized by the Common Council of The City of Albany; the Albany Community Development Agency (ACDA) is the Lead Agency responsible for development of the Consolidated Plan and annual action plan. The Agency has administered the Community Development Block Grant (CDBG) for the past 42 Years. The Agency is a public agency under the Department of Development and Planning. The governing board of the Agency consists of the Mayor, City Comptroller, City Clerk, Commissioner of Administrative Services, President of the Common Council, the City's Corporation Counsel and the Agency Director.

Public Sector

The City's Housing and Community Development Department has evolved into a key housing agency, and it is essential that the City foster and maintain partnerships with other public agencies for the successful delivery of its housing and community development programs. The City will coordinate with the following public agencies when carrying out its Consolidated Plan.

- Department of General Services;
- City of Albany Planning Department
- Department of Youth and Workforce Services
- Department of codes and regulatory compliance
- Albany County Department of Social Services
- Albany County Health Department
- Albany Housing Authority

The City continues to support several not for profit organizations throughout the City that are instrumental in meeting the needs of Albany's residents. These organizations play a key role in delivering services to the public and providing programs essential to the community such as homeless services, youth programs, domestic violence assistance, and special needs services. Not for profit organizations that have played a key role in developing and carrying out the mission of the City's plan include:

- Capitalize Albany Corporation
- Habitat for Humanity
- South End Improvement Corp
- Arbor Hill Development Corp
- CARES

With the development and active participation of City staff within the Continuum of Care Board, coordination between public and assisted housing providers and private and governmental health and mental health service agencies has increased.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness

The City of Albany plays a major role in the Continuum of Care (CoC) coordinating body. Specifically, the City of Albany is a CoC member and the Development Agency Director participates as a current member of the Continuum's Strategic Planning Committee. The CoC works diligently with the City of Albany, Albany Department of Social Services, and New York State Office of Temporary and Disability Assistance to most effectively utilize CoC, local and State ESG, and private funding to prevent homelessness within the CoC geographic area. Specifically, the CoC works with systems partners including the City Mission, the Veterans Administration, and the Albany Housing Authority to ensure a no wrong door entry for households seeking assistance. Utilizing funds from the City and State, the CoC has developed financial assistance programs, legal assistance programs, including eviction prevention and representation, and has improved client participation within mainstream benefits and case management. The CoC supports over 960 beds of permanent supportive housing with 98 beds designated for chronically homeless persons.

As a member of the CoC Strategic Planning Committee, the City of Albany supports identification of homelessness needs in the community and creation of strategies to collaboratively address those needs. The Committee also oversees implementation of the CoC Strategic Plan, ensuring progress towards preventing and reducing homelessness in the community.

The Continuum of Care coordinates with other federal, state, local, and private entities serving the homeless and the at-risk population in the planning and operation of projects in order to ensure a full continuum of care including HOPWA, TANF, RHY, Head Start and other entities. An example of this

coordination includes the Alliance for Positive Health (formerly known as the AIDS Council of Northeastern New York) administration of HOPWA funds and participation in the CoC. Through the CoC Systems subcommittee, representatives from the CoC, ESG, private and government funded housing services, including emergency shelter, transitional housing, rapid re-housing, prevention services, and permanent supportive housing programs, work together to ensure that services are coordinated in an appropriate and efficient fashion for clients. The subcommittee meets on a monthly basis and reports to the Board and Membership on a quarterly basis.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards and evaluate outcomes, and develop funding, policies and procedures for the administration of HMIS

The City of Albany fully consults with the Continuum of Care (CoC) as an active partner in determining the allocation of ESG funds and evaluation of the performance of ESG program sub-recipients. Each year the CoC, through the CoC Systems Committee, proposes updates to the City of Albany regarding the ESG policies and procedures manual, funding allocations, application, and evaluation tool to reflect HUD requirements and best practices, as well as local needs. The City of Albany utilizes

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdictions consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	CARES OF NY , INC.
	Agency/Group/Organization Type	Services-Persons with HIV/AIDS Services-homeless
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs HOPWA Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
2	Agency/Group/Organization	Albany Housing AUthority
	Agency/Group/Organization Type	Housing PHA
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
3	Agency/Group/Organization	Albany Police Dept
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	

4	Agency/Group/Organization	CITY OF ALBANY DEPARTMENT OF RECREATION
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Economic Development Anti-poverty Strategy
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
5	Agency/Group/Organization	Capitalize Albany Corporation
	Agency/Group/Organization Type	Business Leaders
	What section of the Plan was addressed by Consultation?	Economic Development
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
6	Agency/Group/Organization	CITY OF ALBANY DEPARTMENT OF GENERAL SERVICES
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	infrastructure
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
7	Agency/Group/Organization	Albany Housing Coalition
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Homelessness Needs - Veterans
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
8	Agency/Group/Organization	EQUINOX, INC.
	Agency/Group/Organization Type	Services-Children Services-Victims of Domestic Violence

	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
9	Agency/Group/Organization	Interfaith Partnership for the Homeless
	Agency/Group/Organization Type	Services-homeless
	What section of the Plan was addressed by Consultation?	Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	
10	Agency/Group/Organization	CAPITAL DISTRICT HABITAT FOR HUMANITY, INC.
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment
	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?	

Identify any Agency Types not consulted and provide rationale for not consulting

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	CARES	The City of Albany is a member of the CoC and works with CARES through its ESG programs to administer its ESG program

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
various neighborhood plans	City of Albany	The goals of the consolidated plan were developed with the needs and priorities outline in the neighborhood plans
Albany 2030	City of Albany	The goals of the consolidated plan were based on community needs and those needs identified in the Albany 2030 Plan

Table 3 – Other local / regional / federal planning efforts

Describe cooperation and coordination with other public entities, including the State and any adjacent units of general local government, in the implementation of the Consolidated Plan (91.215(l))

Narrative (optional):

PR-15 Citizen Participation – 91.105, 91.115, 91.200(c) and 91.300(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

Albany Community Development Agency Citizen Participation Plan. The document was made available on the City's website for public comment on January 30th. Citizens were encouraged to review the document and provide comments.

As the lead agency and administrator of these funds for the City, the Albany Community Development Agency (ACDA) defined a clear and transparent Citizen Participation Plan (CPP) that outlined the process that will be followed to engage with the community and provide residents, organizations, and other stakeholders an opportunity to help shape the Consolidated Plan and comment on a draft prior to the submission to HUD. The process included both formal and informal opportunities for participation.

The CPP was designed specially to encourage participation by low- and moderate-income persons, particularly residents of blighted areas and low- and moderate-income neighborhoods. Participation by non-English speaking persons, persons with disabilities and residents of public and assisted housing developments, residents of targeted revitalization areas and other special populations was encouraged. The CPP detailed the special accommodations and translation services that were available to ensure equal participation by all residents.

Both an online survey as well as a paper survey were made available to the community. Neighborhood Associations were given the opportunity to invite ACDA staff to their meeting to discuss the Consolidated Plan. Information flyers were circulated in the community to help residents understand the planning process. Public notices and flyers were distributed to ensure that the residents are cognizant of the public participation opportunity and comment period.

ACDA held a public hearing on February 19th to enable residents to highlight and identify their needs and priorities. ACDA also held 2 public hearings on February 25th and on March 17th with the City's common Council. ACDA continued to accept comments and feedback till April 14th.

Demo

Citizen Participation Outreach

Demo

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
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Demo

1	Public Meeting	<p>Minorities</p> <p>Non-English Speaking - Specify other language :</p> <p>Spanish, Swahili, Urdu</p> <p>Persons with disabilities</p> <p>Non-targeted /broad community</p> <p>Residents of Public and</p>	12 members of the community attended	all comments have been consolidated and attached	n/a	
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Demo

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
		Assisted Housing				
2	Newspaper Ad	Minorities Persons with disabilities Residents of Public and Assisted Housing	The purpose was to ensure that we were able to inform the entire community about the Consolidated Plan, Citizens participation plan, public hearings and common council deliberation	all comments have been consolidated and attached	n/a	

Demo

3	Internet Outreach	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted /broad community</p> <p>Residents of Public and Assisted Housing</p>	<p>Information flyers were circulated in the community to help residents understand the planning process. Public notices and flyers were distributed to ensure that the residents are cognizant of the public participation opportunity and comment</p>	<p>any comments have been consolidated and attached</p>	n/a	https://www.surveymonkey.com/r/FYR7VZ7
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Demo

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
			period. Online surveys were used to gather public needs and priorities. We received approx. 130 online responders.			

Demo

4	Internet Outreach	<p>Minorities</p> <p>Non-English Speaking - Specify other language : chinese, spanish, pashto, arabic</p> <p>Persons with disabilities</p> <p>Non-targeted /broad community</p> <p>Residents of Public and</p>	<p>When the stimulus funding allocation was made, ACDA created a community wide survey to gain public input as to the priorities in the community related to COVID-19 and the impact it has had on the City of Albany residents</p>	<p>survey responses have been attached</p>	n/a	<p>https://www.surveymonkey.com/survey-closed/?sm=vMBTP6aNqmGUEEDeMojC7ZrHZyXL31M2brYRhS7T86QP0j3yRCE_2BrwbjowMaMev31xlw0wcJ4klX4Vex3o46TloC2udux2WwBg_d_2</p>
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Demo

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
		Assisted Housing				

Demo

5	Public Hearing	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted /broad community</p> <p>Residents of Public and Assisted Housing</p>	<p>a virtual meeting with the Housing and Community Development Common council committee was held on May 11th. the meeting was noticed via the council's website and a public comment period was provided via email, as well as during the</p>	<p>the council members provided input as to what they felt were priorities for the funding</p>	n/a	
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Demo

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
			meeting as it was live streamed on the Council's facebook page			

Demo

6	substantial amendment was posted on ACDA's website	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted /broad community</p> <p>Residents of Public and Assisted Housing</p>	<p>The substantial amendment to The City's 2020 action plan was posted on ACDA's website for public comment according to the City's amended citizen participation plan. Opportunity to mail comments or provide comment directly via the website</p>			
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Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
			was provided			

Table 4 – Citizen Participation Outreach

Needs Assessment

NA-05 Overview

Needs Assessment Overview

NA-10 Housing Needs Assessment - 24 CFR 91.205 (a,b,c)

Summary of Housing Needs

Demographics	Base Year: 2009	Most Recent Year: 2015	% Change
Population	97,856	98,470	1%
Households	40,193	40,285	0%
Median Income	\$38,642.00	\$40,949.00	6%

Table 5 - Housing Needs Assessment Demographics

Data Source: 2005-2009 ACS (Base Year), 2011-2015 ACS (Most Recent Year)

Number of Households Table

	0-30% HAMFI	>30-50% HAMFI	>50-80% HAMFI	>80-100% HAMFI	>100% HAMFI
Total Households	10,555	5,960	7,350	3,845	12,570
Small Family Households	2,255	1,405	1,835	1,060	4,785
Large Family Households	495	240	235	170	480
Household contains at least one person 62-74 years of age	1,590	1,125	1,175	615	2,595
Household contains at least one person age 75 or older	845	885	820	280	920
Households with one or more children 6 years old or younger	1,125	759	569	375	800

Table 6 - Total Households Table

Data Source: 2011-2015 CHAS

Housing Needs Summary Tables

1. Housing Problems (Households with one of the listed needs)

	Renter					Owner				
	0-30% AMI	>30- 50% AMI	>50- 80% AMI	>80- 100% AMI	Total	0-30% AMI	>30- 50% AMI	>50- 80% AMI	>80- 100% AMI	Total
NUMBER OF HOUSEHOLDS										
Substandard Housing - Lacking complete plumbing or kitchen facilities	150	35	60	15	260	0	0	0	10	10
Severely Overcrowded - With >1.51 people per room (and complete kitchen and plumbing)	40	20	60	10	130	0	0	0	0	0
Overcrowded - With 1.01-1.5 people per room (and none of the above problems)	200	70	55	20	345	0	45	20	20	85
Housing cost burden greater than 50% of income (and none of the above problems)	6,125	895	85	15	7,120	595	425	210	35	1,265

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
Housing cost burden greater than 30% of income (and none of the above problems)	1,075	2,430	1,435	55	4,995	250	430	650	415	1,745
Zero/negative Income (and none of the above problems)	705	0	0	0	705	75	0	0	0	75

Table 7 – Housing Problems Table

Data 2011-2015 CHAS
Source:

2. Housing Problems 2 (Households with one or more Severe Housing Problems: Lacks kitchen or complete plumbing, severe overcrowding, severe cost burden)

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
NUMBER OF HOUSEHOLDS										
Having 1 or more of four housing problems	6,515	1,020	255	65	7,855	595	475	230	65	1,365
Having none of four housing problems	2,310	3,405	4,580	2,050	12,345	355	1,065	2,285	1,665	5,370
Household has negative income, but none of the other housing problems	705	0	0	0	705	75	0	0	0	75

Table 8 – Housing Problems 2

Data 2011-2015 CHAS
Source:

3. Cost Burden > 30%

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
NUMBER OF HOUSEHOLDS								
Small Related	1,725	880	390	2,995	125	140	195	460
Large Related	410	90	30	530	55	60	45	160
Elderly	1,130	385	130	1,645	465	565	360	1,390
Other	4,295	2,015	1,020	7,330	190	135	265	590
Total need by income	7,560	3,370	1,570	12,500	835	900	865	2,600

Table 9 – Cost Burden > 30%

Data 2011-2015 CHAS
Source:

4. Cost Burden > 50%

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
NUMBER OF HOUSEHOLDS								
Small Related	1,460	185	10	1,655	90	85	60	235
Large Related	315	10	0	325	45	60	15	120
Elderly	775	180	25	980	305	235	105	645
Other	3,855	520	60	4,435	155	85	35	275
Total need by income	6,405	895	95	7,395	595	465	215	1,275

Table 10 – Cost Burden > 50%

Data 2011-2015 CHAS
Source:

5. Crowding (More than one person per room)

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
NUMBER OF HOUSEHOLDS										
Single family households	200	70	65	10	345	0	40	20	10	70

Demo

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
Multiple, unrelated family households	15	15	25	10	65	0	4	0	20	24
Other, non-family households	25	10	25	10	70	0	0	0	0	0
Total need by income	240	95	115	30	480	0	44	20	30	94

Table 11 – Crowding Information – 1/2

Data Source: 2011-2015 CHAS

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
Households with Children Present	0	0	0	0	0	0	0	0

Table 12 – Crowding Information – 2/2

Data Source
Comments:

Describe the number and type of single person households in need of housing assistance.

Estimate the number and type of families in need of housing assistance who are disabled or victims of domestic violence, dating violence, sexual assault and stalking.

What are the most common housing problems?

Are any populations/household types more affected than others by these problems?

Describe the characteristics and needs of Low-income individuals and families with children (especially extremely low-income) who are currently housed but are at imminent risk of

either residing in shelters or becoming unsheltered 91.205(c)/91.305(c)). Also discuss the needs of formerly homeless families and individuals who are receiving rapid re-housing assistance and are nearing the termination of that assistance

If a jurisdiction provides estimates of the at-risk population(s), it should also include a description of the operational definition of the at-risk group and the methodology used to generate the estimates:

Specify particular housing characteristics that have been linked with instability and an increased risk of homelessness

Discussion

NA-15 Disproportionately Greater Need: Housing Problems – 91.205 (b)(2)

Assess the need of any racial or ethnic group that has disproportionately greater need in comparison to the needs of that category of need as a whole.

Introduction

0%-30% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	8,435	1,345	780
White	3,400	575	225
Black / African American	2,840	620	130
Asian	670	20	244
American Indian, Alaska Native	4	30	10
Pacific Islander	0	0	0
Hispanic	1,275	95	145

Table 13 - Disproportionally Greater Need 0 - 30% AMI

Data 2011-2015 CHAS
Source:

*The four housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4. Cost Burden greater than 30%

30%-50% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	4,355	1,605	0
White	2,435	720	0
Black / African American	1,405	700	0
Asian	200	45	0
American Indian, Alaska Native	25	0	0
Pacific Islander	0	0	0
Hispanic	215	105	0

Table 14 - Disproportionally Greater Need 30 - 50% AMI

Data 2011-2015 CHAS
Source:

*The four housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4. Cost Burden greater than 30%

50%-80% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	2,575	4,775	0
White	1,530	2,880	0
Black / African American	585	1,505	0
Asian	95	155	0
American Indian, Alaska Native	4	4	0
Pacific Islander	0	0	0
Hispanic	285	190	0

Table 15 - Disproportionally Greater Need 50 - 80% AMI

Data 2011-2015 CHAS
Source:

*The four housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4. Cost Burden greater than 30%

80%-100% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	595	3,245	0
White	370	2,110	0
Black / African American	65	720	0
Asian	75	70	0
American Indian, Alaska Native	10	0	0
Pacific Islander	0	0	0
Hispanic	50	320	0

Table 16 - Disproportionally Greater Need 80 - 100% AMI

Demo

Data 2011-2015 CHAS
Source:

*The four housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4. Cost Burden greater than 30%

Discussion

NA-20 Disproportionately Greater Need: Severe Housing Problems – 91.205 (b)(2)

Assess the need of any racial or ethnic group that has disproportionately greater need in comparison to the needs of that category of need as a whole.

Introduction

0%-30% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	7,110	2,665	780
White	2,870	1,105	225
Black / African American	2,285	1,180	130
Asian	615	75	244
American Indian, Alaska Native	0	35	10
Pacific Islander	0	0	0
Hispanic	1,100	270	145

Table 17 – Severe Housing Problems 0 - 30% AMI

Data 2011-2015 CHAS
Source:

*The four severe housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4. Cost Burden over 50%

30%-50% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	1,495	4,470	0
White	940	2,210	0
Black / African American	360	1,745	0
Asian	55	185	0
American Indian, Alaska Native	15	10	0

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Pacific Islander	0	0	0
Hispanic	105	215	0

Table 18 – Severe Housing Problems 30 - 50% AMI

Data 2011-2015 CHAS
Source:

*The four severe housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4. Cost Burden over 50%

50%-80% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	485	6,865	0
White	325	4,090	0
Black / African American	90	2,005	0
Asian	15	235	0
American Indian, Alaska Native	0	8	0
Pacific Islander	0	0	0
Hispanic	45	430	0

Table 19 – Severe Housing Problems 50 - 80% AMI

Data 2011-2015 CHAS
Source:

*The four severe housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4. Cost Burden over 50%

80%-100% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	130	3,715	0

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
White	65	2,415	0
Black / African American	0	785	0
Asian	40	100	0
American Indian, Alaska Native	0	10	0
Pacific Islander	0	0	0
Hispanic	30	340	0

Table 20 – Severe Housing Problems 80 - 100% AMI

Data 2011-2015 CHAS
Source:

*The four severe housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4. Cost Burden over 50%

Discussion

NA-25 Disproportionately Greater Need: Housing Cost Burdens – 91.205 (b)(2)

Assess the need of any racial or ethnic group that has disproportionately greater need in comparison to the needs of that category of need as a whole.

Introduction:

Housing Cost Burden

Housing Cost Burden	<=30%	30-50%	>50%	No / negative income (not computed)
Jurisdiction as a whole	23,325	7,425	8,745	795
White	15,445	3,955	4,020	240
Black / African American	5,520	2,290	2,650	130
Asian	805	410	575	244
American Indian, Alaska Native	59	30	0	10
Pacific Islander	0	0	0	0
Hispanic	1,120	570	1,255	145

Table 21 – Greater Need: Housing Cost Burdens AMI

Data Source: 2011-2015 CHAS

Discussion:

NA-30 Disproportionately Greater Need: Discussion – 91.205(b)(2)

Are there any Income categories in which a racial or ethnic group has disproportionately greater need than the needs of that income category as a whole?

If they have needs not identified above, what are those needs?

n/a

Are any of those racial or ethnic groups located in specific areas or neighborhoods in your community?

The City defines areas of low-income concentration as those where over 51% of the geographic areas (census tract and block boundaries) residents make less than 80% of the Albany-Schenectady-Troy Metropolitan Statistical Area's Median Family Income. Areas of "minority concentration" are geographic areas where over 40% of the population consists of minority residents.

NA-35 Public Housing – 91.205(b)

Introduction

Albany Community Development Agency works collaboratively with Albany Housing Authority to ensure that high quality, affordable and sustainable housing opportunities are available for low-income, very low-income, and extremely low-income families in the jurisdiction for the next five years while continuing to promote economic independence and stability for residents

Totals in Use

	Program Type								
	Certificate	Mod-Rehab	Public Housing	Vouchers			Special Purpose Voucher		
				Total	Project - based	Tenant - based	Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
# of units vouchers in use	0	29	1,781	2,153	366	1,650	41	0	66

Table 22 - Public Housing by Program Type

*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition

Data Source: PIC (PIH Information Center)

Characteristics of Residents

	Program Type								
	Certificate	Mod-Rehab	Public Housing	Vouchers			Special Purpose Voucher		
				Total	Project - based	Tenant - based	Veterans Affairs Supportive Housing	Family Unification Program	
Average Annual Income	0	2,790	15,150	13,520	11,544	13,797	14,282		0

Consolidated Plan

ALBANY

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Demo

Program Type								
	Certificate	Mod-Rehab	Public Housing	Vouchers				
				Total	Project - based	Tenant - based	Special Purpose Voucher	
							Veterans Affairs Supportive Housing	Family Unification Program
Average length of stay	0	3	5	5	2	6	0	0
Average Household size	0	1	1	2	1	2	1	0
# Homeless at admission	0	3	0	34	17	11	6	0
# of Elderly Program Participants (>62)	0	3	434	343	141	182	3	0
# of Disabled Families	0	10	465	742	114	541	21	0
# of Families requesting accessibility features	0	29	1,781	2,153	366	1,650	41	0
# of HIV/AIDS program participants	0	0	0	0	0	0	0	0
# of DV victims	0	0	0	0	0	0	0	0

Table 23 – Characteristics of Public Housing Residents by Program Type

Data Source: PIC (PIH Information Center)

Race of Residents

Program Type									
Race	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project - based	Tenant - based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
White	0	26	410	621	139	424	21	0	26

Consolidated Plan

ALBANY

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Demo

Program Type									
Race	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project - based	Tenant - based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
Black/African American	0	3	1,347	1,508	219	1,212	19	0	39
Asian	0	0	22	13	3	8	1	0	1
American Indian/Alaska Native	0	0	2	5	3	2	0	0	0
Pacific Islander	0	0	0	6	2	4	0	0	0
Other	0	0	0	0	0	0	0	0	0
*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition									

Table 24 – Race of Public Housing Residents by Program Type

Data Source: PIC (PIH Information Center)

Ethnicity of Residents

Program Type									
Ethnicity	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project - based	Tenant - based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
Hispanic	0	2	223	268	48	206	6	0	4
Not Hispanic	0	27	1,558	1,885	318	1,444	35	0	62
*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition									

Table 25 – Ethnicity of Public Housing Residents by Program Type

Data Source: PIC (PIH Information Center)

Section 504 Needs Assessment: Describe the needs of public housing tenants and applicants on the waiting list for accessible units:

Currently, AHA has a total of 5,761 families on the Section 8 waiting list. Of the Section 8 waiting list, 7.5% are elderly, and 18% are families with disabilities. Section 8 waiting lists show a majority of the persons on the waiting lists (77%) are extremely low income (under 30% of AMI). We currently have 21 homeownership vouchers issued and 16 of them are currently in use. We continue to provide information in our Section 8 briefings on the benefits of homeownership along with the path on how to achieve that goal. Albany Housing Authority continues to participate in new landlord workshops and provide information as it pertains to partnering with AHA, thus increasing opportunities for our participant families to obtain adequate, affordable housing. Many of these landlords are purchasing properties in zip codes with lower poverty levels. We encourage voucher holders to secure housing in these areas and provide a higher Voucher Payment Standard in areas with a lower poverty level.

Most immediate needs of residents of Public Housing and Housing Choice voucher holders

Currently, AHA has 5,661 applicants for public housing and 3,900 applicants for Section 8 Housing Choice vouchers. Of those, 15 applicants require wheelchair accessible units. Currently, AHA manages approximately 2,260 residential units; within those, 22 residents have requested transfers to wheelchair-accessible units. AHA manages approximately 2,500 Section 8 Housing Choice vouchers.

How do these needs compare to the housing needs of the population at large

Currently Albany Housing Authority has 21 Homeownership vouchers, 16 of which are active.

AHA has 5,661 applicants for public housing and 3,900 applicants for Section 8 Housing Choice vouchers. Of those, 15 applicants require wheelchair accessible units. Currently AHA manages approximately 2,260 residential units; within those, 22 residents have requested transfers to wheelchair-accessible units. AHA manages approximately 2,500 Section 8 Housing Choice vouchers.

Discussion

All of the apartments in AHA's inventory meet or exceed federal Uniform Physical Conditions Standards (UPCS) or Housing Quality Standard (HQS) depending on the program under which they are operated. Both sets of standards afford decent, safe and sanitary living conditions

NA-40 Homeless Needs Assessment – 91.205(c)

Introduction:

The Albany County Continuum of Care (CoC) is the organizing body responsible for addressing homelessness in Albany County. Members of the Albany County CoC include homeless housing and service providers, behavioral health and healthcare providers, affordable housing developers and advocates, Albany County Departments of Social Services and Mental Health, Albany Housing Authority, Albany Police Department, municipal leaders, faith-based organizations, and community members.

CARES of NY, Inc. (CARES) is the HUD-designated Collaborative Applicant (CA) and Unified Funding Agent (UFA) for the CoC, and, thus, is charged with coordinating planning efforts the CoC carries out. For example, CARES provides ongoing technical assistance and knowledge of best practices to CoC Committees such as the Strategic Planning, Operations, Coordinated Entry, and Systems Committees. CARES' role as both the CA and UFA for the Albany County CoC ensures an astute knowledge of the needs presenting in the community.

Homeless Needs Assessment

Population	Estimate the # of persons experiencing homelessness on a given night		Estimate the # experiencing homelessness each year	Estimate the # becoming homeless each year	Estimate the # exiting homelessness each year	Estimate the # of days persons experience homelessness
	Sheltered	Unsheltered				
Persons in Households with Adult(s) and Child(ren)	34	716	0	0	0	0
Persons in Households with Only Children	0	7	0	0	0	0
Persons in Households with Only Adults	34	502	0	0	0	0
Chronically Homeless Individuals	27	64	0	0	0	0
Chronically Homeless Families	0	0	0	0	0	0

Demo

Population	Estimate the # of persons experiencing homelessness on a given night		Estimate the # experiencing homelessness each year	Estimate the # becoming homeless each year	Estimate the # exiting homelessness each year	Estimate the # of days persons experience homelessness
	Sheltered	Unsheltered				
Veterans	0	88	0	0	0	0
Unaccompanied Child	3	37	0	0	0	0
Persons with HIV	0	0	0	0	0	0

Table 26 - Homeless Needs Assessment

Data Source Comments:

Indicate if the homeless population is: Has No Rural Homeless

If data is not available for the categories "number of persons becoming and exiting homelessness each year," and "number of days that persons experience homelessness," describe these categories for each homeless population type (including chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth):

n/a

Nature and Extent of Homelessness: (Optional)

Race:	Sheltered:	Unsheltered (optional)
White	266	28
Black or African American	383	5
Asian	8	0
American Indian or Alaska Native	7	0
Pacific Islander	2	0
Ethnicity:	Sheltered:	Unsheltered (optional)
Hispanic	621	22
Not Hispanic	95	12

Data Source

Comments:

Estimate the number and type of families in need of housing assistance for families with children and the families of veterans.

Between 10/1/2018 and 9/30/2019, 3243 households without children (containing 3313 individuals), 443 households with both adults and children (containing 545 adults and 858 children), and 200 households of only unaccompanied minors (under 18 years of age), containing 234 minors.

1 out of every 7 adults receiving services this year was a veteran (14.2%). Out of the 526 veterans served, 398 (75.7%) reported a disabling condition and 42 (8.0%) met the criteria for chronic homelessness at admission. Zero (0) families of veterans were among homeless families. At admission, 438 clients (11.4%) of all clients were considered youth (18-24 years of age.)

Describe the Nature and Extent of Homelessness by Racial and Ethnic Group.

American Indian or Alaskan Native, and 231 (4.4%) Multiple Races. Regardless of race, 644 (12.2%) individuals identified as Hispanic/Latino in ethnicity.

Describe the Nature and Extent of Unsheltered and Sheltered Homelessness.

Mission, for a thirty-day stay. Partnerships (but not contracts) also exist with Safe Haven (Code Blue only). If necessary and no other option is available, the district secures and funds housing in a hotel/motel.

After hours (between the hours of 4:00pm and 8:30am), Albany County DSS contracts with the Homeless and Travelers Aid Society (HATAS) to take calls/referrals for emergency housing. HATAS conducts an intake and assists clients in placement into a contracted shelter or, if a bed is unavailable at a contracted shelter, a hotel/motel for the night. The following day, HATAS transfers the intake form to DSS, who follows up with the household to solidify the shelter placement.

Discussion:

NA-45 Non-Homeless Special Needs Assessment - 91.205 (b,d)

Introduction:

Furthermore, on Jan 30th 2019, the Albany County Coalition on Homelessness conducted its annual “Point in Time” count for both the sheltered and unsheltered. Joseph’s House and Shelter served as the lead for the unsheltered count, while CARES served as the lead for the sheltered count. Working with service providers, the county and City were sectioned areas and assignments given to service providers in an effort to ensure all unsheltered homeless throughout the county would be counted. With the assistance of current and former consumers, places where the unsheltered homeless congregate were identified. These include abandoned buildings, encampments, hallways of buildings, railroad underpasses, and parks. Joseph’s House and Shelter outreach workers and other service providers completed a count of the homeless in their pre-assigned areas.

As part of the street count, service providers contacted police departments, food pantries, libraries, and hospitals to acquire information on the homeless population encountered on Jan 30th 2019. The service providers involved with the count estimated the number of chronically homeless persons based on their encounters with the homeless persons, as well as through previous interactions with the individuals.

HOPWA

Current HOPWA formula use:	
Cumulative cases of AIDS reported	2,627
Area incidence of AIDS	56
Rate per population	6
Number of new cases prior year (3 years of data)	237
Rate per population (3 years of data)	9
Current HIV surveillance data:	
Number of Persons living with HIV (PLWH)	2,066
Area Prevalence (PLWH per population)	236
Number of new HIV cases reported last year	0

Table 27 – HOPWA Data

Data Source Comments:

HIV Housing Need (HOPWA Grantees Only)

Type of HOPWA Assistance	Estimates of Unmet Need
Tenant based rental assistance	0
Short-term Rent, Mortgage, and Utility	0

Type of HOPWA Assistance	Estimates of Unmet Need
Facility Based Housing (Permanent, short-term or transitional)	0

Table 28 – HIV Housing Need

Data HOPWA CAPER and HOPWA Beneficiary Verification Worksheet
Source:

Describe the characteristics of special needs populations in your community:

The individuals and families served with the City of Albany HOPWA funds are living with HIV/AIDS. Most of the HOPWA recipient's households are very low and extremely low income as defined by HUD guidelines. The income source for these recipients is from SSI/SSD, DSS or employment. Those individuals who are employed are often working at unstable minimum wage jobs or seasonal jobs with frequent incidents of unemployment. In addition to living with HIV/AIDS, most HOPWA recipients are living with other disabilities such as mental health and substance abuse diagnosis in which they often struggle with relapse.

What are the housing and supportive service needs of these populations and how are these needs determined?

Discuss the size and characteristics of the population with HIV/AIDS and their families within the Eligible Metropolitan Statistical Area:

The Albany County EMSA serves 96 individuals who are living with HIV/AIDS and an additional 36 family members who reside with the HOPWA eligible individuals. Of the HOPWA eligible individuals 59 are male, 36 are female and one is transgender. The HOPWA recipient's households are very low and extremely low income as defined by HUD guidelines. The income source for these recipients is from SSI/SSD, DSS or employment. Those individuals who are employed are often working at unstable minimum wage jobs or seasonal jobs with frequent incidents of unemployment. In addition to living with HIV/AIDS, most HOPWA recipients are living with other disabilities such as mental health and substance abuse diagnosis in which they often struggle with relapse.

Discussion:

NA-50 Non-Housing Community Development Needs – 91.215 (f)

Describe the jurisdiction's need for Public Facilities:

How were these needs determined?

Through public input, collaboration with public and private organizations

Describe the jurisdiction's need for Public Improvements:

How were these needs determined?

Describe the jurisdiction's need for Public Services:

How were these needs determined?

Through community input, public meetings and collaboration

Housing Market Analysis

MA-05 Overview

Housing Market Analysis Overview:

The ability to obtain affordable housing for low-and moderate-income individuals and families continues to be a challenge nationwide. In the current market, household income levels have not remained balanced with the cost of housing resulting in an affordability gap, or inability to afford the cost of housing. The housing market has changed slightly since the City's previous Consolidated Plan and the housing analysis section of this plan will identify housing market characteristics specific to the City of Troy including supply and demand, condition and cost of housing, and housing available to persons with disabilities and persons with HIV/AIDS and their families

MA-10 Number of Housing Units – 91.210(a)&(b)(2)

Introduction

All residential properties by number of units

Property Type	Number	%
1-unit detached structure	12,855	27%
1-unit, attached structure	2,275	5%
2-4 units	21,500	46%
5-19 units	5,555	12%
20 or more units	4,905	10%
Mobile Home, boat, RV, van, etc	105	0%
Total	47,195	100%

Table 29 – Residential Properties by Unit Number

Data Source: 2011-2015 ACS

Unit Size by Tenure

	Owners		Renters	
	Number	%	Number	%
No bedroom	30	0%	1,325	5%
1 bedroom	420	3%	8,180	32%
2 bedrooms	3,155	21%	8,985	36%
3 or more bedrooms	11,490	76%	6,705	27%
Total	15,095	100%	25,195	100%

Table 30 – Unit Size by Tenure

Data Source: 2011-2015 ACS

Describe the number and targeting (income level/type of family served) of units assisted with federal, state, and local programs.

- AHA has approximately 1,800 public housing units (out of 2,260 total units), of which approximately 5% or 90 are 504 compliant. Of those, approximately 1,315 are public housing-only with income eligibility at or below 80%AMI with 40% required to be below 30%AMI. Approximately 485 of the total are public housing subsidized tax credit units with income eligibility at or below 60%AMI and income tiering at 50%AMI (124 units) and 30%AMI (133 units).
- AHA administers approximately 2,500 Section 8 Housing Choice vouchers with income eligibility at 50%AMI, with 75% required to be below 30%AMI.

Provide an assessment of units expected to be lost from the affordable housing inventory for any reason, such as expiration of Section 8 contracts.

Does the availability of housing units meet the needs of the population?

Describe the need for specific types of housing:

Discussion

MA-15 Housing Market Analysis: Cost of Housing - 91.210(a)

Introduction

Cost of Housing

	Base Year: 2009	Most Recent Year: 2015	% Change
Median Home Value	165,400	171,400	4%
Median Contract Rent	658	750	14%

Table 31 – Cost of Housing

Data Source: 2005-2009 ACS (Base Year), 2011-2015 ACS (Most Recent Year)

Rent Paid	Number	%
Less than \$500	4,900	19.5%
\$500-999	16,210	64.4%
\$1,000-1,499	3,450	13.7%
\$1,500-1,999	370	1.5%
\$2,000 or more	255	1.0%
Total	25,185	100.0%

Table 32 - Rent Paid

Data Source: 2011-2015 ACS

Housing Affordability

% Units affordable to Households earning	Renter	Owner
30% HAMFI	3,250	No Data
50% HAMFI	11,060	1,390
80% HAMFI	19,805	4,760
100% HAMFI	No Data	6,790
Total	34,115	12,940

Table 33 – Housing Affordability

Data Source: 2011-2015 CHAS

Monthly Rent

Monthly Rent (\$)	Efficiency (no bedroom)	1 Bedroom	2 Bedroom	3 Bedroom	4 Bedroom
Fair Market Rent	700	837	1,032	1,294	1,406
High HOME Rent	700	837	1,032	1,294	1,406

Monthly Rent (\$)	Efficiency (no bedroom)	1 Bedroom	2 Bedroom	3 Bedroom	4 Bedroom
Low HOME Rent	700	810	972	1,123	1,253

Table 34 – Monthly Rent

Data Source: HUD FMR and HOME Rents

Is there sufficient housing for households at all income levels?

No. There are over 9,000 applicants on AHA’s waiting list, including 15 who require an accessible unit. AHA’s units are 99+% occupied, of which 22 residents are requesting transfer to an accessible unit.

How is affordability of housing likely to change considering changes to home values and/or rents?

Home values decreased during the recession making it more affordable to purchase homes. However, lending practices will continue to impede the possibility of low-income persons being able to purchase homes due to credit and down payment requirements. Some banks do offer FHA and USDA loans, but even those programs require a credit rating of 640 or above to qualify. Albany does offer purchase assistance through their HOME program to lessen down-payment costs, but again, qualifying with a lender is a challenge for many low-income families. Affordability is also driven more by high taxes and the lack of quality affordable housing.

How do HOME rents / Fair Market Rent compare to Area Median Rent? How might this impact your strategy to produce or preserve affordable housing?

Discussion

MA-20 Housing Market Analysis: Condition of Housing – 91.210(a)

Introduction

Definitions

Condition of Units

Condition of Units	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
With one selected Condition	3,535	23%	12,530	50%
With two selected Conditions	40	0%	480	2%
With three selected Conditions	8	0%	0	0%
With four selected Conditions	0	0%	0	0%
No selected Conditions	11,510	76%	12,185	48%
Total	15,093	99%	25,195	100%

Table 35 - Condition of Units

Data Source: 2011-2015 ACS

Year Unit Built

Year Unit Built	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
2000 or later	300	2%	890	4%
1980-1999	1,420	9%	2,205	9%
1950-1979	4,615	31%	7,315	29%
Before 1950	8,750	58%	14,775	59%
Total	15,085	100%	25,185	101%

Table 36 – Year Unit Built

Data Source: 2011-2015 CHAS

Risk of Lead-Based Paint Hazard

Risk of Lead-Based Paint Hazard	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
Total Number of Units Built Before 1980	13,365	89%	22,090	88%
Housing Units build before 1980 with children present	373	2%	153	1%

Table 37 – Risk of Lead-Based Paint

Data Source: 2011-2015 ACS (Total Units) 2011-2015 CHAS (Units with Children present)

Vacant Units

	Suitable for Rehabilitation	Not Suitable for Rehabilitation	Total
Vacant Units	0	0	0
Abandoned Vacant Units	0	0	0
REO Properties	0	0	0
Abandoned REO Properties	0	0	0

Table 38 - Vacant Units

Data Source: 2005-2009 CHAS

Need for Owner and Rental Rehabilitation

Estimated Number of Housing Units Occupied by Low or Moderate Income Families with LBP Hazards

Discussion

MA-25 Public and Assisted Housing – 91.210(b)

Introduction

Low-income residents largely depend on local housing authorities for access to affordable housing and related services due to credit and other issues that may keep them out of other rent assisted and market rental units. The purpose of public housing authorities (PHA) is to ensure safe, decent, affordable housing and to create opportunities for resident's self-sufficiency and economic independence.

The Albany Authority (AHA) is currently the only public housing authority in Albany.

The Albany Housing Authority is the only agency in the City to receive Section 8, housing assistance program funding. The purpose of the Housing Choice Voucher Program is to promote adequate and affordable housing, economic opportunity, and a suitable living environment free from discrimination. Rents associated with the federal developments are in accordance with HUD regulations and are AHA's extensive public housing waiting list. The need for additional affordable housing units is a priority for Albany residents, but the lack of developable land and contractors willing to develop affordable housing is a barrier

Totals Number of Units

	Program Type								
	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project -based	Tenant -based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
# of units vouchers available	0	29	1,834	2,631	432	2,199	808	0	1,735
# of accessible units									
*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition									

Table 39 – Total Number of Units by Program Type

Data Source: PIC (PIH Information Center)

Describe the supply of public housing developments:

Describe the number and physical condition of public housing units in the jurisdiction, including those that are participating in an approved Public Housing Agency Plan:

Public Housing Condition

Public Housing Development	Average Inspection Score
Arbor Hill 3B	96
Arbor Hill Homes	94
Ezra Prentice	98
Ida Yarbrough	92
Lincoln Park	87
North Albany	96
Nut grove	92
South End Phase II	95
steamboat square	90
westview	95

Table 40 - Public Housing Condition

Describe the restoration and revitalization needs of public housing units in the jurisdiction:

AHA's Physical Needs Assessment estimates that \$83.3 million for maintenance and modernization is required over the next 20 years at all sites, except South End Revitalization Phases 2 and 3, Capital Woods and Creighton Storey Homes. Demolition of Lincoln is a placeholder in the budget with no funds assigned or included in that dollar amount. AHA receives approximately \$2.2 million per year, or about \$44 million over 20 years, which will decline when old units are demolished and new units are brought on line at a fraction of the modernization funding of the old.

Describe the public housing agency's strategy for improving the living environment of low- and moderate-income families residing in public housing:

AHA will conduct activities to affirmatively further fair housing by counseling Section 8 tenants as to location of units outside of areas of poverty or minority concentration. We have implemented higher Voucher Payment Standards (VPS) in areas with low poverty rates to encourage this. This will also be done by marketing the Section 8 program to owners with property outside of areas of poverty/minority concentration.

Progress in Meeting Mission and Goals:

- AHA continues to work towards expanding the supply of assisted housing through various initiatives

- AHA continues to improve the quality of assisted housing. AHA would dispose of entire development at Amp 5, Ida Yarbrough to a wholly owned subsidiary of the Albany Housing Authority in order to make financing eligible that is not otherwise available under current ownership structure and funding sources

Substantial Deviation:

A substantial change in a goal(s) is identified in the Five Year Plan (for example, checking or unchecking a Public Housing Agency (PHA) goal box). A substantial deviation does not include any changes in HUD rules and regulations which require or prohibit changes to activities listed herein.

As part of the Rental Assistance Demonstration (RAD), Albany Housing Authority is redefining the definition of a substantial deviation from the PHA Plan to exclude the following RAD-specific items:

1. a. The decision to convert to either Project Based Rental Assistance or Project Based Voucher Assistance
2. b. Changes to the Capital Fund Budget produced as a result of each approved RAD Conversion, regardless of whether the proposed conversion will include use of additional Capital Funds
3. c. Changes to the construction and rehabilitation plan for each approved RAD conversion
4. d. Changes to the financing structure for each approved RAD conversion

Discussion:

MA-30 Homeless Facilities and Services – 91.210(c)

Introduction

Facilities and Housing Targeted to Homeless Households

	Emergency Shelter Beds		Transitional Housing Beds	Permanent Supportive Housing Beds	
	Year Round Beds (Current & New)	Voucher / Seasonal / Overflow Beds	Current & New	Current & New	Under Development
Households with Adult(s) and Child(ren)	0	0	0	0	0
Households with Only Adults	0	0	0	0	0
Chronically Homeless Households	0	0	0	0	0
Veterans	0	0	0	0	0
Unaccompanied Youth	0	0	0	0	0

Table 41 - Facilities and Housing Targeted to Homeless Households

Data Source Comments:

Describe mainstream services, such as health, mental health, and employment services to the extent those services are used to complement services targeted to homeless persons

been addressed, with care for this purpose limited to a maximum of sixty days. Childcare is provided on-site at the Marillac Residence, which is Albany County's family emergency shelter.

Transportation: The Capital District Transportation Authority (CDTA) provides public transportation. It also provides door-to-door transportation services through its STAR program for persons with physical disabilities. A CDTA Trip Planner is co-located at the Albany One Stop Center and the Albany County Department of Social Services to assist individuals in using the public transportation system. Most service providers can provide CDTA bus tokens for travel to essential appointments, but due to funding constraints, services are limited. Medicaid transportation services are provided to Medicaid recipients through an Albany County Department of Social Services contracted brokerage service or as a benefit under a Medicaid Managed Care Organization. Transportation services, generally in the form of bus tokens and bus passes, are provided to temporary assistance recipients who are engaged with employment programs and/or work activities. Rehabilitation Support Services can provide transportation to day treatment and other services for persons with mental illness. The KENDAL HOUSE van transports homeless and chronically homeless persons when needed. HATAS provides transportation to emergency shelter, treatment, and job interviews, when needed.

Legal Services: Legal Aid Society of Northeastern New York administers two Continuum of Care-funded projects, the Legal Aid Society Homelessness (LASH) Unit, and the Domestic Violence Legal Assistance Project (DVLAP). LASH Unit staff provide telephone and on-site access to legal services for Albany's homeless population. On-site intake is provided at local emergency shelters. LASH staff provide a broad range of legal aid from public assistance and other advocacy to accessing mainstream resources to assistance with obtaining and maintaining permanent housing. Legal Aid staff are particularly skilled at qualifying homeless clients for mainstream programs such as TANF, Medicaid, and Social Security benefits. The Legal Aid Society's Continuum of Care funded Domestic Violence Legal Assistance Project (DVLAP) provides holistic civil legal services to victims of domestic violence. Services include advice, brief legal services, representation, and community legal education. Continuum of Care funding for the DVLAP has made it possible for Legal Aid Society staff to interview clients at Equinox's domestic violence shelter. The Capital District Women's Bar Association provides free legal services to victims of domestic violence as does Albany Law School. Despite these resources, the need for legal services for victims of domestic violence far exceeds the current capacity.

List and describe services and facilities that meet the needs of homeless persons, particularly chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth. If the services and facilities are listed on screen SP-40

Institutional Delivery Structure or screen MA-35 Special Needs Facilities and Services, describe how these facilities and services specifically address the needs of these populations.

The City of Albany, working in conjunction with the Albany County Coalition on Homelessness (ACCH), has developed a comprehensive continuum of housing and services for all homeless individuals and families. Using Emergency solutions grant funding administered by the State of New York as well as funding from the City of Albany, a very effective homelessness prevention strategy has been developed. A variety of outreach methods targeted to specific subpopulations, including homeless veterans, runaway and homeless youth, victims of domestic violence, persons with mental illness, persons with chronic substance abuse problems, and persons living with HIV/AIDS, have been employed. A street outreach program has been created in order to engage chronically homeless adults in services and housing.

The City of Albany has worked closely with ACCH in order to create a homeless housing system that moves homeless individuals and families off the street and into permanent housing as rapidly as possible. As indicated in the Continuum of Care Housing Activity Chart, a continuum of housing programs, including emergency shelter, transitional housing, and permanent supportive housing, is already in place. The emergency shelter system consists of shelters for homeless single adults, many of whom are chronically homeless, as well as a large family shelter. There is also an emergency shelter for victims of domestic violence as well as one for runaway and homeless youth.

In addition to providing a full continuum of housing services for homeless individuals and families, agencies within the City of Albany also provide essential supportive services, including, but not limited to: case management, life skills training, alcohol and substance abuse treatment, mental health treatment, HIV/AIDS related treatment and other health care, educational programming, job training and placement, childcare, transportation, legal services, emergency financial assistance, and linkage to mainstream programs such as Temporary Assistance to Needy Families (TANF), Supplemental Social Security (SSI), Medicaid, Food Stamps, Child Health Plus, Family Health Plus, the Veterans Health Care program, and programs funded under the Workforce Investment Act.

MA-35 Special Needs Facilities and Services – 91.210(d)

Introduction

HOPWA Assistance Baseline Table

Type of HOWA Assistance	Number of Units Designated or Available for People with HIV/AIDS and their families
TBRA	0
PH in facilities	0
STRMU	0
ST or TH facilities	0
PH placement	0

Table 42– HOPWA Assistance Baseline

Data Source: HOPWA CAPER and HOPWA Beneficiary Verification Worksheet

Including the elderly, frail elderly, persons with disabilities (mental, physical, developmental), persons with alcohol or other drug addictions, persons with HIV/AIDS and their families, public housing residents and any other categories the jurisdiction may specify, and describe their supportive housing needs

The City of Albany has numerous programs that serve the elderly, frail elderly, persons with disabilities, and persons with histories of chemical dependency. There are a number of treatment facilities, such as Albany Medical Center (HIV/AIDS and mental health treatment, as well as chronic and acute medical care), the Veterans Administration Medical Center (mental health and substance abuse services as well as chronic and acute care) and the Capital District Psychiatric Center (mental health in-patient and outpatient services), that draw residents of surrounding counties for treatment. In order to remain in close proximity to these treatment facilities, many persons with special needs choose to relocate their housing to the City of Albany.

Describe programs for ensuring that persons returning from mental and physical health institutions receive appropriate supportive housing

The City of Albany has a wealth of housing and services for special needs populations as indicated below:

Persons with Mental Illness

Albany County Department of Mental Health uses a Single Point of Access (SPOA) system to link persons with mental illness to housing programs. The SPOA system allows each individual's housing needs to be carefully considered in order to make the best possible housing referral. While the SPOA system has

done much to coordinate housing services in Albany County, there is still insufficient capacity to meet the demand. The mental health housing system includes community residences, supportive housing programs with intensive supports, and "supported" housing, which is a model of permanent housing that has a less intensive level of supportive services. Additional housing for persons with mental illness is a high priority need.

Specify the activities that the jurisdiction plans to undertake during the next year to address the housing and supportive services needs identified in accordance with 91.215(e) with respect to persons who are not homeless but have other special needs. Link to one-year goals. 91.315(e)

The City of Albany has numerous programs that serve the elderly, frail elderly, persons with disabilities, and persons with histories of chemical dependency. There are a number of treatment facilities, such as Albany Medical Center (HIV/AIDS and mental health treatment, as well as chronic and acute medical care), the Veterans Administration Medical Center (mental health and substance abuse services as well as chronic and acute care) and the Capital District Psychiatric Center (mental health in-patient and outpatient services), that draw residents of surrounding counties for treatment. In order to remain in close proximity to these treatment facilities, many persons with special needs choose to relocate their housing to the City of Albany.

For entitlement/consortia grantees: Specify the activities that the jurisdiction plans to undertake during the next year to address the housing and supportive services needs identified in accordance with 91.215(e) with respect to persons who are not homeless but have other special needs. Link to one-year goals. (91.220(2))

Persons with Developmental Disabilities

The State of New York has a very extensive housing and service system for persons with developmental disabilities. Under the New York CARES initiative, there has been a commitment made by the State of New York to provide housing for all persons with developmental disabilities. This system seems to work best for those with the most severe disabilities who do not have dual diagnoses of mental illness and/or substance abuse.

MA-40 Barriers to Affordable Housing – 91.210(e)

Negative Effects of Public Policies on Affordable Housing and Residential Investment

Albany faces challenges around safe housing and neighborhood stabilization. Historically redlined neighborhoods—what we will refer to as “Opportunity Neighborhoods”—continue to experience the consequences of decades of divestment, with disproportionate concentrations of vacant buildings & lots, substandard housing conditions, low economic mobility, and exploitative rental practices.

Neighborhood Stabilization Challenges:

Residents distrust code enforcement for fear of retaliation and lack of faith in getting results. Many residents are rent-burdened, feel they aren’t getting a fair value for what they’re paying, and lack a pathway to home ownership. Renters struggle with the existing means of holding landlords accountable. Those that do own homes are financially stuck due to falling property values, low demand from prospective buyers, and little interest from potential heirs. When a resident does get ahead, they often leave for more economically advantaged neighborhoods and higher quality housing stock elsewhere in the City and region.

Safe Housing Challenges:

Code enforcement cases that go to court often result in a judgment in the City’s favor with fines that mostly go uncollected. The older, low-value housing stock is expensive to maintain, which incentivizes landlords to act more like day traders than long-term investors by renting out properties that are not up to code, delaying building maintenance, and evicting tenants who complain of code violations. The cost to rehabilitate a vacant building is often greater than the resale value of the fully rehabilitated building.

The “bad economics of repair” and the City’s struggle with imposing true costs on bad actors significantly contribute to the already extensive vacant property inventory in Albany. This results in considerable time and costs in tracking owners down and holding them accountable in court for non-

compliance. Vacant and abandoned properties are linked to declining property values and increased rates of crime and fire.

MA-45 Non-Housing Community Development Assets – 91.215 (f)

Introduction

Economic Development Market Analysis

Business Activity

Business by Sector	Number of Workers	Number of Jobs	Share of Workers %	Share of Jobs %	Jobs less workers %
Agriculture, Mining, Oil & Gas Extraction	43	0	0	0	0
Arts, Entertainment, Accommodations	3,761	4,367	12	6	-6
Construction	806	2,544	3	4	1
Education and Health Care Services	10,620	27,777	35	41	6
Finance, Insurance, and Real Estate	1,923	7,081	6	10	4
Information	809	2,090	3	3	0
Manufacturing	1,249	1,889	4	3	-1
Other Services	1,544	3,617	5	5	0
Professional, Scientific, Management Services	3,262	9,732	11	14	3
Public Administration	0	0	0	0	0
Retail Trade	4,029	5,888	13	9	-4
Transportation and Warehousing	1,093	1,660	4	2	-2
Wholesale Trade	996	1,902	3	3	0
Total	30,135	68,547	--	--	--

Table 43 - Business Activity

Data Source: 2011-2015 ACS (Workers), 2015 Longitudinal Employer-Household Dynamics (Jobs)

Labor Force

Total Population in the Civilian Labor Force	50,770
Civilian Employed Population 16 years and over	46,505
Unemployment Rate	8.39
Unemployment Rate for Ages 16-24	20.60
Unemployment Rate for Ages 25-65	5.05

Table 44 - Labor Force

Data Source: 2011-2015 ACS

Occupations by Sector	Number of People
Management, business and financial	11,270
Farming, fisheries and forestry occupations	2,795
Service	6,290
Sales and office	12,065
Construction, extraction, maintenance and repair	1,915
Production, transportation and material moving	1,220

Table 45 – Occupations by Sector

Data Source: 2011-2015 ACS

Travel Time

Travel Time	Number	Percentage
< 30 Minutes	36,370	81%
30-59 Minutes	6,835	15%
60 or More Minutes	1,435	3%
Total	44,640	100%

Table 46 - Travel Time

Data Source: 2011-2015 ACS

Education:

Educational Attainment by Employment Status (Population 16 and Older)

Educational Attainment	In Labor Force		Not in Labor Force
	Civilian Employed	Unemployed	
Less than high school graduate	1,670	505	2,190

Educational Attainment	In Labor Force		Not in Labor Force
	Civilian Employed	Unemployed	
High school graduate (includes equivalency)	7,460	635	3,865
Some college or Associate's degree	9,730	820	2,795
Bachelor's degree or higher	15,580	445	1,960

Table 47 - Educational Attainment by Employment Status

Data Source: 2011-2015 ACS

Educational Attainment by Age

	Age				
	18–24 yrs	25–34 yrs	35–44 yrs	45–65 yrs	65+ yrs
Less than 9th grade	170	165	225	905	1,005
9th to 12th grade, no diploma	1,465	680	635	1,765	880
High school graduate, GED, or alternative	4,615	2,635	2,950	6,370	4,300
Some college, no degree	10,630	3,725	1,810	3,620	1,560
Associate's degree	1,295	1,165	985	2,060	665
Bachelor's degree	3,115	4,745	2,250	3,090	1,675
Graduate or professional degree	450	3,110	1,535	3,305	2,095

Table 48 - Educational Attainment by Age

Data Source: 2011-2015 ACS

Educational Attainment – Median Earnings in the Past 12 Months

Educational Attainment	Median Earnings in the Past 12 Months
Less than high school graduate	16,933
High school graduate (includes equivalency)	26,242
Some college or Associate's degree	33,619
Bachelor's degree	42,248
Graduate or professional degree	54,345

Table 49 – Median Earnings in the Past 12 Months

Data Source: 2011-2015 ACS

Based on the Business Activity table above, what are the major employment sectors within your jurisdiction?

Describe the workforce and infrastructure needs of the business community:

Describe any major changes that may have an economic impact, such as planned local or regional public or private sector investments or initiatives that have affected or may affect job and business growth opportunities during the planning period. Describe any needs for workforce development, business support or infrastructure these changes may create.

How do the skills and education of the current workforce correspond to employment opportunities in the jurisdiction?

Describe any current workforce training initiatives, including those supported by Workforce Investment Boards, community colleges and other organizations. Describe how these efforts will support the jurisdiction's Consolidated Plan.

Does your jurisdiction participate in a Comprehensive Economic Development Strategy (CEDS)?

If so, what economic development initiatives are you undertaking that may be coordinated with the Consolidated Plan? If not, describe other local/regional plans or initiatives that impact economic growth.

Discussion

MA-50 Needs and Market Analysis Discussion

**Are there areas where households with multiple housing problems are concentrated?
(include a definition of "concentration")**

Are there any areas in the jurisdiction where racial or ethnic minorities or low-income families are concentrated? (include a definition of "concentration")

What are the characteristics of the market in these areas/neighborhoods?

Are there any community assets in these areas/neighborhoods?

The majority of the City's lows to moderate-income residents live in the older urban core areas of the City that have the oldest housing stock. These low/mod neighborhoods have the greatest concentration of cost burdened households and housing stock in greatest need of assistance. Additionally, the City funds NSA based Community Housing Development Organizations(CHDO's) and Neighborhood Improvement Incorporations, both working to increase homeownership and rehabilitation opportunities.

Are there other strategic opportunities in any of these areas?

MA-60 Broadband Needs of Housing occupied by Low- and Moderate-Income Households - 91.210(a)(4), 91.310(a)(2)

Describe the need for broadband wiring and connections for households, including low- and moderate-income households and neighborhoods.

The City of Albany conducted a 'Broadband Assessment' in 2017. The City contracted with Millennium Strategies to do extensive research, interview related government and industry experts, and survey the public. Their report indicated that there is a compelling need for a high-speed broadband network to bridge the digital divide experienced by the residents of the City of Albany.

The study estimated that as many as 35% of the City's population did not have access to high-speed broadband (25–100 mbps) and that a higher percentage of this population belonged to low-income neighborhoods. The study cites research that shows that the lack of internet access may exacerbate the urban problems of low educational achievement, unemployment and chronic poverty.

The study found that both (1) cost and (2) affordability that impacted the availability and feasibility of using high-speed broadband by low-income groups. The report indicated that even with discounts, only 6% of eligible low-income subscribers are estimated to have accessed the discounted bandwidth programs.

The Center for Technology in Government at the University at Albany surveyed over 3,000 New York households and determined that 42.6% of households that do not subscribe to Broadband Services site affordability of services as a major reason for abstaining from subscribing. An additional 18.6% of respondents expressed affordability as a minor reason, meaning that affordability is a factor for over 60% of New York State residents who do not subscribe to high speed internet access.

Describe the need for increased competition by having more than one broadband Internet service provider serve the jurisdiction.

The 'Broadband Assessment' report highlights that Verizon is the primary carrier in the City. Their decision to not offer FIOS, their high-speed broadband service, to the residents of the City, despite the Mayor's and other elected officials' request for Verizon's consideration to do so, impacts broadband availability to the community.

MA-65 Hazard Mitigation - 91.210(a)(5), 91.310(a)(3)

Describe the jurisdiction's increased natural hazard risks associated with climate change.

Describe the vulnerability to these risks of housing occupied by low- and moderate-income households based on an analysis of data, findings, and methods.

In 2017, the City of Albany's zoning ordinance was replaced with a new ordinance meant to keep in the intent

of the comprehensive plan known as Albany 2030. Part of the rezoning involved identifying areas of the city that held inherent risks for natural hazards. The identified risks for natural hazards are flooding, either from heavy precipitation or as surge from hurricanes. This resulted in the development of special overlay zones that identify the increased natural hazard.

The objective of zones like the Floodplain-Overlay (FP-O), is to protect human life and health while minimizing expenditure of public money for costly flood-control projects; minimize prolonged business interruptions and damage to public facilities.

Strategic Plan

SP-05 Overview

Strategic Plan Overview

The City of Albany's Strategic Plan will serve as a guide for addressing needs throughout the community for 2020-2024. Utilizing data gathered from the Housing and Homeless Needs Assessment, Housing Market Analysis, citizen participation process, and agency consultation the City has identified its priorities for allocating funds for the next five years. The Strategic Plan outlines goals and objectives for addressing need and indicates proposed accomplishments expected during the Consolidated Plan period.

SP-10 Geographic Priorities – 91.215 (a)(1)

Geographic Area

Table 50 - Geographic Priority Areas

1	Area Name:	ALBANY
	Area Type:	Strategy area
	Other Target Area Description:	
	HUD Approval Date:	9/6/1996
	% of Low/ Mod:	
	Revital Type:	Comprehensive
	Other Revital Description:	
	Identify the neighborhood boundaries for this target area.	
	Include specific housing and commercial characteristics of this target area.	
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	
	Identify the needs in this target area.	
	What are the opportunities for improvement in this target area?	
	Are there barriers to improvement in this target area?	
2	Area Name:	Arbor Hill
	Area Type:	Local Target area
	Other Target Area Description:	
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	Comprehensive
	Other Revital Description:	
	Identify the neighborhood boundaries for this target area.	

	Include specific housing and commercial characteristics of this target area.	Located in census tracts 2 and 11, there are 2,914 housing units in Arbor Hill. 475 are owner occupied, 1800 are rental units and 639 are vacant. More than 25% of housing units in Arbor Hill are vacant and almost 38% of households have an estimated annual income of \$15,000 or less. Almost 23% of individuals in CT 11 live below the poverty level as do more than 41% of individuals in CT 2. Of the 3,770 individuals in CT 11 and 2 over the age of 25, almost 24% lack a high school diploma.
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	Consultation with community members and organizations identified this area as low-income concentration with older housing stock and many vacant and abandoned buildings
	Identify the needs in this target area.	Neighborhood revitalization opportunities and improvement to infrastructure and a plan to eliminate blighted and abandoned buildings
	What are the opportunities for improvement in this target area?	Opportunity to improve infrastructure to provide a suitable living environment and access to affordable housing
	Are there barriers to improvement in this target area?	
3	Area Name:	Capital Hill
	Area Type:	Local Target area
	Other Target Area Description:	
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	Comprehensive
	Other Revital Description:	
	Identify the neighborhood boundaries for this target area.	Capital Hill is in census tracts 15, 16, 5.02 and 5.01
	Include specific housing and commercial characteristics of this target area.	

	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	Consultation with community members and organizations identified this area as low-income concentration with older housing stock and many vacant and abandoned buildings.
	Identify the needs in this target area.	Neighborhood revitalization opportunities and improvement to infrastructure and a plan to eliminate blighted and abandoned buildings
	What are the opportunities for improvement in this target area?	Opportunity to improve infrastructure to provide a suitable living environment and access to affordable housing.
	Are there barriers to improvement in this target area?	
4	Area Name:	North Albany
	Area Type:	Local Target area
	Other Target Area Description:	
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	Comprehensive
	Other Revital Description:	
	Identify the neighborhood boundaries for this target area.	North Albany is in census tracts 2, 7, and 3
	Include specific housing and commercial characteristics of this target area.	High concentration of low-income housing, abandoned buildings and very limited commercial opportunity.
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	Consultation with community members and organizations identified this area as low-income concentration with older housing stock and many vacant and abandoned buildings.
	Identify the needs in this target area.	Neighborhood revitalization opportunities and improvement to infrastructure and a plan to eliminate blighted and abandoned buildings
	What are the opportunities for improvement in this target area?	Opportunity to improve infrastructure to provide a suitable living environment and access to affordable housing.

	Are there barriers to improvement in this target area?	
5	Area Name:	SOUTH END
	Area Type:	Local Target area
	Other Target Area Description:	
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	Comprehensive
	Other Revital Description:	
	Identify the neighborhood boundaries for this target area.	The South End of Albany – Census Tracts (CT) 21-25 – is bounded by the southern reaches of the city limit and stretches northward to Madison Avenue. On the East it is bounded by the Hudson River and stretches west to the main cross street of Delaware Avenue
	Include specific housing and commercial characteristics of this target area.	<p>The South End includes a diverse community with a mix of residential neighborhoods, public and private investments, as well as commercial and industrial areas, such as the Port of Albany.</p> <p>The neighborhood has a high percentage of vacant buildings (24.4% of tax parcels) and vacant lots (13.9% of tax parcels). In CT 23 only 29.27% of residents over age 25 have a bachelor's degree and in CT 25, the percentage is even lower (11.01%). An extremely high 47.17% of households in CT 25 have below \$20,000 in annual income, as do 31.12% of households in CT 23. Public housing projects have largely replaced large portions of the original City neighborhoods as a result of urban renewal in the 1970s and the lower owner-occupied housing rates (16.5% in CT 23 and 9% in CT 25) contribute to higher rates of vagrancy and disassociation in the impoverished community.</p>
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	Consultation with community members and organizations identified this area as low-income concentration with older housing stock and many vacant and abandoned buildings

	Identify the needs in this target area.	Neighborhood revitalization opportunities and improvement to infrastructure and a plan to eliminate blighted and abandoned buildings
	What are the opportunities for improvement in this target area?	Opportunity to improve infrastructure to provide a suitable living environment and access to affordable housing.
	Are there barriers to improvement in this target area?	
6	Area Name:	West Hill
	Area Type:	Local Target area
	Other Target Area Description:	
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	Comprehensive
	Other Revital Description:	
	Identify the neighborhood boundaries for this target area.	The West Hill (CT 6-8) neighborhood is bounded on the east by Henry Johnson Boulevard and stretches westward to Everett Road. Livingston Avenue provides the northern boundary and Clinton and Central Avenues wrap around to the south. Economic recession in recent decades has left vacant properties (19.1% of lots) and a lack of economic opportunity. Almost 40% of individuals in West Hill are under the age of 19, yet 20.71% of individuals 25 and over have less than a high school education, so the opportunities for the larger-than-average young community are few. More than one-third (35.16%) of households in CT 7 have an annual income of below \$20,000 per year.
	Include specific housing and commercial characteristics of this target area.	High concentration of low-income housing, abandoned buildings and very limited commercial opportunity.
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	Consultation with community members and organizations identified this area as low-income concentration with older housing stock and many vacant and abandoned buildings

	Identify the needs in this target area.	Neighborhood revitalization opportunities and improvement to infrastructure and a plan to eliminate blighted and abandoned buildings.
	What are the opportunities for improvement in this target area?	Opportunity to improve infrastructure to provide a suitable living environment and access to affordable housing.
	Are there barriers to improvement in this target area?	

General Allocation Priorities

Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA)

The city has identified five target areas for reinvestment for the next five-years. These target areas were identified through a community assessment process including, census and demographic data, community needs assessment, housing market analysis, and community participation. The five target areas include the South End neighborhood, North Albany, Arbor Hill, West Hill/West End and Capital Hill. The neighborhoods identified struggle with issues of education, employment, income and access to affordable housing.

SP-25 Priority Needs - 91.215(a)(2)

Priority Needs

Table 51 – Priority Needs Summary

1	Priority Need Name	Affordable Housing
	Priority Level	High
	Population	Extremely Low Low Moderate Middle Large Families Families with Children Elderly Public Housing Residents Rural Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence Non-housing Community Development
	Geographic Areas Affected	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill

	Associated Goals	Decent Housing Neighborhood Revitalization
	Description	ACDA would like to increase the amount of and access to affordable housing.
	Basis for Relative Priority	The lack of access to affordable housing was an overwhelming topic of all public meetings, surveys and input from stakeholders throughout the citizen participation planning process.
2	Priority Need Name	Homeless Services
	Priority Level	High
	Population	Extremely Low Low Moderate Large Families Families with Children Elderly Public Housing Residents Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence
	Geographic Areas Affected	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill

	Associated Goals	Decent Housing
	Description	Provide funding to organizations that can offer services to individuals who are either homeless or at risk of being homeless by providing emergency shelters, homeless prevention programs and rapid rehousing services. Specific and increased attention should be paid to members of the transgendered community as there currently are not enough shelter opportunities to serve them.
	Basis for Relative Priority	Feedback given during community events, public meetings and via surveys provided to the community, service providers and stakeholders. Through consultation with the Albany County Coalition on Homelessness, the City of Albany has established the following homeless needs as its priorities: Addressing Family Homelessness, Homelessness Prevention, Homeless Veterans, and the Chronically Homeless.
3	Priority Need Name	improvement of existing structures
	Priority Level	High

	Population	Extremely Low Low Moderate Large Families Families with Children Elderly Public Housing Residents Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence
	Geographic Areas Affected	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Associated Goals	Decent Housing Public Facilities Neighborhood Revitalization
	Description	An emphasis will be placed on assisting low to moderate income homeowners to be able to stay in their homes by providing funds to address code related and emergency repairs to their homes. A particular emphasis will be placed on identifying and developing programs that will address the needs of the community living with disabilities and also addressing the number of vacant buildings throughout the City.

	Basis for Relative Priority	Based on input from the community, service providers, and stakeholders during the citizen participation process.
4	Priority Need Name	Public Facilities
	Priority Level	High
	Population	Extremely Low Low Moderate Large Families Families with Children Elderly Public Housing Residents Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence
	Geographic Areas Affected	North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Associated Goals	Public Facilities

	Description	provide organizations with an opportunity to access funds to make improvement to their facilities so that programming can continue to be available in target communities
	Basis for Relative Priority	This need was identified during community input during the citizen participation process
5	Priority Need Name	improve infrastructure
	Priority Level	High
	Population	Extremely Low Low Moderate Middle Large Families Families with Children Elderly Public Housing Residents Rural Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence Non-housing Community Development

	Geographic Areas Affected	North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Associated Goals	Neighborhood Revitalization
	Description	create or improve infrastructure in the target neighborhoods through revitalization activities such as street improvements, curbs, sidewalks, ramps, lighting, sewer/water repair, tree planting
	Basis for Relative Priority	This need was identified during public meetings, neighborhood association meetins, and via meetings with stakeholders
6	Priority Need Name	Public Services
	Priority Level	High

	Population	Extremely Low Low Moderate Large Families Families with Children Elderly Public Housing Residents Rural Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence Non-housing Community Development
	Geographic Areas Affected	North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Associated Goals	Public Services Assistance
	Description	provide funding to not for profit organizations that offer various and much needed programming in the target neighborhoods. Activities that revolve around job training (specifically in the trades), after school programming, programs for the elderly, summer camp opportunities, education, violence prevention, and recreational opportunities.

	Basis for Relative Priority	Needs are identified through community, stakeholder and partner feedback
7	Priority Need Name	Economic development
	Priority Level	High
	Population	Extremely Low Low Moderate Middle Large Families Families with Children Elderly Public Housing Residents Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence Non-housing Community Development
	Geographic Areas Affected	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill

	Associated Goals	Economic Development
	Description	Opportunities for economic development through small business assistance and job training/development
	Basis for Relative Priority	Input provided during neighborhood association meetings, community events, and public meetings
8	Priority Need Name	Code Enforcement
	Priority Level	High
	Population	Extremely Low Low Moderate Middle Large Families Families with Children Elderly Public Housing Residents Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence

	Geographic Areas Affected	North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Associated Goals	Decent Housing Neighborhood Revitalization
	Description	provide suitable living environment and maintain existing affordable housing stock through code enforcement
	Basis for Relative Priority	Through input gathered at community events, neighborhood association meetings, public meetings and from stakeholders

Narrative (Optional)

SP-30 Influence of Market Conditions – 91.215 (b)

Influence of Market Conditions

Affordable Housing Type	Market Characteristics that will influence the use of funds available for housing type
Tenant Based Rental Assistance (TBRA)	
TBRA for Non-Homeless Special Needs	
New Unit Production	
Rehabilitation	
Acquisition, including preservation	

Table 52 – Influence of Market Conditions

SP-35 Anticipated Resources - 91.215(a)(4), 91.220(c)(1,2)

Introduction

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	3,421,173	90,000	0	3,511,173	0	expected amount available is averaged with anticipated funding remaining level
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	961,158	1,000	0	962,158	0	expected amount available is averaged with anticipated funding remaining level

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	693,147	0	0	693,147	0	expected amount available is averaged with anticipated funding remaining level
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	293,359	0	0	293,359	0	expected amount available is averaged with anticipated funding remaining level
Other	public - federal	Other	3,125,056	0	0	3,125,056	0	HOPWA funds used to prevent, prepare for and respond to COVID-19 pandemic

Table 53 - Anticipated Resources

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

1. Created in year 39, the City of Albany HOME Investment Partnership Program to support LIHTC programs in order to assist low income housing for individuals and families in Albany. ACDA will continue to program additional funds through and RFP process in order to award funds to housing development organizations to fund projects that will leverage significant state, local, and federal resources
2. The creation of the Albany County Landbank will create additional opportunities to leverage state funds to assist with the rehabilitation of vacant buildings throughout the city of Albany. ACDA and the Land Bank have entered into an agreement to release a co RFQ for properties owned by each entity and located in a cluster area within the City.
3. In February of 2016, ACDA created a new program to address vacant buildings in the City. Using non federal funds as gap funding, ACDA will be able to leverage HOME/CDBG funds to assist with the gut rehab of several vacant and abandoned buildings in Albany's distressed neighborhoods. To date, 18 vacant buildings have been approved by ACDA and will create a number of homeowner occupied and rental units in blighted neighborhoods.
4. Matching requirements for ESG funds will be provided by each of the sub recipients. all ESG subs are required to submit match documentation during the application process and with their final voucher.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

ACDA owns a number of properties in its NSAs that will be used for neighborhood revitalization throughout the course of the 5 year plan. Plans are in process to transfer buildings owned by ACDA to Habitat for Hummanity In addition, ACDA is in the negotiation stage of a purchase and sale contract for 25 Delaware Ave. If approved, the buyer will provide 52 units of affordable housing on this property and three adjacent properties located at 16, 18 and 20 Morris Street.

ACDA has transferred several properties over the course of the past few years that will be rehabilitated and will provide housing for homeless veterans, families and low to mod income individuals in the City.

Discussion

SP-40 Institutional Delivery Structure – 91.215(k)

Explain the institutional structure through which the jurisdiction will carry out its consolidated plan including private industry, non-profit organizations, and public institutions.

Responsible Entity	Responsible Entity Type	Role	Geographic Area Served
CAPITAL DISTRICT HABITAT FOR HUMANITY, INC.	Government	Economic Development Ownership neighborhood improvements public facilities public services	Jurisdiction
Albany Community Development Agency		Economic Development Homelessness Non-homeless special needs Ownership Planning Rental neighborhood improvements public facilities public services	Jurisdiction
CARES	Non-profit organizations	Homelessness	Jurisdiction
Albany Housing AAuthority	PHA	Public Housing	Jurisdiction
Capitalize Albany Corporation	Subrecipient	Economic Development	Jurisdiction
Not for Profit Organizations	Non-profit organizations	Homelessness Non-homeless special needs Ownership Public Housing Rental neighborhood improvements public facilities public services	Jurisdiction

Table 54 - Institutional Delivery Structure
Assess of Strengths and Gaps in the Institutional Delivery System

The City of Albany has worked closely with the Albany County Coalition on Homelessness (ACCH) to create a strong infrastructure for implementing its homelessness strategy. ACCH is comprised of homeless service providers, veterans' organizations; providers representing persons living with disabilities such as mental illness, chronic substance abuse and/or HIV/AIDS; agencies serving victims of domestic violence; providers of services to homeless and runaway youth; emergency shelters; support service providers; faith-based organizations; City, county, and state governmental agencies; the Albany Housing Authority; housing developers; foundations; local businesses; law enforcement; and formerly homeless persons. The convener of the Albany County Coalition on Homelessness is CARES, Inc., an agency whose mission is fostering collaboration and coordination among not-for-profit organizations, local communities, state and local government, and the private sector. The Coalition is Co-Chaired agency Directors whose agencies play an integral role in the delivery and coordination of services to homeless individuals and families in Albany County. The City of Albany is engaged with ACCH in the implementation of the Albany County Strategic Plan to Prevent, Reduce and Combat Homelessness, which was released in January 2017 for implementation 2018 - 2022. The Strategic Plan is implemented through ACCH Committees, including Governance, Operations, Systems Collaboration, NOFA, Coordinated Entry, Consumer Advisory, and Shelter Providers Best Practices Committees. Quarterly, the chairs of these committees and CARES meet to review progress against the plan. Annually, committee chairs compile updates into a report on progress to the ACCH Board, which is charged with analysis of plan progress.

Availability of services targeted to homeless persons and persons with HIV and mainstream services

Homelessness Prevention Services	Available in the Community	Targeted to Homeless	Targeted to People with HIV
Homelessness Prevention Services			
Counseling/Advocacy	X	X	X
Legal Assistance	X	X	X
Mortgage Assistance	X		
Rental Assistance	X	X	X
Utilities Assistance	X	X	X
Street Outreach Services			
Law Enforcement	X		
Mobile Clinics			
Other Street Outreach Services	X	X	X
Supportive Services			
Alcohol & Drug Abuse	X	X	X
Child Care	X		
Education	X		

Employment and Employment Training	X	X	X
Healthcare	X	X	X
HIV/AIDS	X	X	X
Life Skills	X	X	X
Mental Health Counseling	X	X	X
Transportation	X	X	X
Other			

Table 55 - Homeless Prevention Services Summary

Describe how the service delivery system including, but not limited to, the services listed above meet the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth)

The City of Albany's participation in The Albany County Continuum of Care and relationship with CARES Inc., allows for a coordinated system for the delivery of services to meet homeless needs. Providing funding for the lead agency of the CoC to administer ESG activities such as homeless prevention, emergency shelter, and rapid re-housing activities ensures that the homeless and special needs populations are receiving the services needed through a structures delivery system.

United Way of the Greater Capital Region has partnered with regional United Ways covering 12 counties, multiple service providers, and state and local government to make 2-1-1 a reality in the Capital Region. The Regional 2-1-1 Collaborative has engaged local and state governments, agencies, business leaders, information and referral specialists and community volunteers to create a system that matches a caller's need with the right agency to assist. The partnership is known as United Way 2-1-1 Northeast Region. This coordination of effort not only reduces costs to provide this service but also allows the City of Troy to provide a much greater level of service to include; use of "211" for ease of dialing, 24-7 service, text and chat services and a fully integrated data base for maintaining information on services available in the local area and greater reporting capability.

Describe the strengths and gaps of the service delivery system for special needs population and persons experiencing homelessness, including, but not limited to, the services listed above

centralized emergency shelter intake system, and Albany County Department of Social Services. Some youth access services through calls to runaway hotlines. Housing referrals are also made through the ACCH Coordinated Entry Program customized for this population.

Provide a summary of the strategy for overcoming gaps in the institutional structure and service delivery system for carrying out a strategy to address priority needs

- Addressing Youth Homelessness
- Workforce and Homeless Service Systems Collaboration
- The Rising Crisis of Aged Homelessness
- Changes to Landlord/Tenant Rights
- Domestic Violence & Safety Planning
- Elevate Your Financial Literacy Workshop
- A National Housing Crisis: how sex offender status impacts provision of services
- The State of Youth Homelessness in the Capital Region
- Challenging ourselves to confront discrimination: a review of policies & best practices for homeless services providers

SP-45 Goals Summary – 91.215(a)(4)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Decent Housing	2020	2024	Affordable Housing Homeless Non-Homeless Special Needs	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill	Affordable Housing Homeless Services improvement of existing structures Code Enforcement	CDBG: \$2,436,881 HOPWA: \$693,147 HOME: \$962,158 ESG: \$293,359	Rental units constructed: 50 Household Housing Unit Rental units rehabilitated: 30 Household Housing Unit Homeowner Housing Rehabilitated: 150 Household Housing Unit Direct Financial Assistance to Homebuyers: 75 Households Assisted Homeless Person Overnight Shelter: 1000 Persons Assisted Homelessness Prevention: 750 Persons Assisted Housing Code Enforcement/Foreclosed Property Care: 500 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
2	Public Facilities	2020	2024	Non-Housing Community Development	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill	improvement of existing structures Public Facilities		Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 1000 Persons Assisted
3	Public Services Assistance	2020	2024	Affordable Housing Public Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill	Public Services	CDBG: \$412,132	Public service activities other than Low/Moderate Income Housing Benefit: 20000 Persons Assisted
4	Neighborhood Revitalization	2020	2024	Non-Housing Community Development	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill	Affordable Housing improvement of existing structures improve infrastructure Code Enforcement	CDBG: \$496,317	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 10000 Persons Assisted Housing Code Enforcement/Foreclosed Property Care: 500 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	Economic Development	2020	2024	Non-Housing Community Development	North Albany West Hill SOUTH END Arbor Hill Capital Hill	Economic development	CDBG: \$165,843	Businesses assisted: 25 Businesses Assisted

Table 56 – Goals Summary

Goal Descriptions

1	Goal Name	Decent Housing
	Goal Description	To support the HUD goal of providing decent affordable housing through homeowner and developer rehabilitation, down payment assistance, new construction, and activities that support homeless prevention, rapid rehousing, emergency shelter and housing opportunities for persons with HIV/AIDS
2	Goal Name	Public Facilities
	Goal Description	Provide funding to not for profit organizations for the improvement of their buildings
3	Goal Name	Public Services Assistance
	Goal Description	Provide operating assistance to not for profit organizations that serve low/mod income families and individuals by providing educational, recreational, case management, senior services, after school programming, job training programs throughout the City

4	Goal Name	Neighborhood Revitalization
	Goal Description	to support the HUD goal of providing a suitable living environment through code enforcement, neighborhood revitalization and public improvements
5	Goal Name	Economic Development
	Goal Description	to assist not for profit organizations and small businesses located in target areas to create economic development opportunities for NSAs through job development, technical assistance and/or financial assistance

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.315(b)(2)

The City of Albany will provide affordable housing opportunities for over 100 families or households through new construction, purchase assistance, and homeowner rehab. In addition, through its ESG program, the City will assist approximately 1500 at-risk individuals, families, or households by funding homeless prevention activities, emergency shelters, and rapid re-housing activities. Decent and affordable housing activities are targeted towards extremely low, low, and moderate-income individuals, families, or households

SP-50 Public Housing Accessibility and Involvement – 91.215(c)

Need to Increase the Number of Accessible Units (if Required by a Section 504 Voluntary Compliance Agreement)

Currently, AHA has a total of 5,761 families on the Section 8 waiting list. Of the Section 8 waiting list, 7.5% are elderly, and 18% are families with disabilities. Section 8 waiting lists show that 77% of the persons on the waiting lists are extremely low income, under 30% of AMI. AHA currently issued 21 homeownership vouchers and 16 of them are currently in use. In response to the identified need, Albany Housing Authority participates in new landlord workshops to provide information as pertains to partnering with AHA, thus increasing opportunities for participating families to obtain adequate, affordable housing. Many of these landlords purchase properties in low-income neighborhoods. AHA encourages these landlords to participate in the Section 8 programs and assist voucher holders to secure housing in these areas. This provides a higher Voucher Payment Standard in areas with a lower poverty level. In addition, existing tenants are provided information about the benefits of homeownership and are provided guidance to help achieve these goals

Activities to Increase Resident Involvements

1. AHA will continue to encourage resident's involvement on the Resident Advisory Board.
2. AHA will continue to encourage resident's participation in neighborhood association.

Smoke Free: To ensure the quality of air and the safety of residents in its housing programs, Albany Housing Authority ensures that properties remain smoke free [as of January 1, 2016]. Smoking is permitted outside in designated areas, or more than twenty- five (25) feet away from buildings if there are no designated areas. A smoke FREE policy in all Albany Housing Authority buildings means that everyone who visits, lives or works in Albany Housing Authority buildings is FREE to breath cleaner, safer, healthier air.

Is the public housing agency designated as troubled under 24 CFR part 902?

No

Plan to remove the 'troubled' designation

SP-55 Barriers to affordable housing – 91.215(h)

Barriers to Affordable Housing

Albany faces challenges around safe housing and neighborhood stabilization. Historically redlined neighborhoods—what we will refer to as “Opportunity Neighborhoods”—continue to experience the consequences of decades of divestment, with disproportionate concentrations of vacant buildings & lots, substandard housing conditions, low economic mobility, and exploitative rental practices.

Neighborhood Stabilization Challenges:

Residents distrust code enforcement for fear of retaliation and lack of faith in getting results. Many residents are rent-burdened, feel they aren’t getting a fair value for what they’re paying, and lack a pathway to home ownership. Renters struggle with the existing means of holding landlords accountable. Those that do own homes are financially stuck due to falling property values, low demand from prospective buyers, and little interest from potential heirs. When a resident does get ahead, they often leave for more economically advantaged neighborhoods and higher quality housing stock elsewhere in the City and region.

Safe Housing Challenges:

Code enforcement cases that go to court often result in a judgment in the City’s favor with fines that mostly go uncollected. The older, low-value housing stock is expensive to maintain, which incentivizes landlords to act more like day traders than long-term investors by renting out properties that are not up to code, delaying building maintenance, and evicting tenants who complain of code violations. The cost to rehabilitate a vacant building is often greater than the resale value of the fully rehabilitated building.

The “bad economics of repair” and the City’s struggle with imposing true costs on bad actors significantly contribute to the already extensive vacant property inventory in Albany. This results in considerable time and costs in tracking owners down and holding them accountable in court for non-

compliance. Vacant and abandoned properties are linked to declining property values and increased rates of crime and fire.

Strategy to Remove or Ameliorate the Barriers to Affordable Housing

To assist in reducing barriers to affordable housing, the City will implement various programs targeted towards low-and moderate-income households. The City will create homeownership opportunities, develop new single-family homes, bring homes up to code through rehabilitation efforts, and reduce lead-paint hazards. These programs also assist in eliminating barriers to affordable housing by providing economic opportunities and minimizing overall household expenses.

The City of Albany allocates almost \$1 million annually for rehabilitation/new construction, homebuyer incentive program, and code enforcement activities with a primary purpose of maintaining the affordable housing stock and sustaining safe and decent housing for the very low income residents. In addition to the activities undertaken by the City, the Albany Housing Authority's activities are specifically intended to address barriers to affordable housing by providing economic subsidies to those most in need of affordable housing.

In 2017, The city implemented an affordable housing ordinance requiring all housing development projects with over 50 housing units to offer 5% of its units to be affordable housing.

SP-60 Homelessness Strategy – 91.215(d)

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

There is a two-way referral mechanism between supportive services and housing providers in Albany County. Agencies that provide supportive services to specific populations refer their clients to emergency shelters, transitional housing, and permanent supportive housing programs. Conversely, housing providers refer residents to local agencies that provide the type of supportive services needed by each population. Linkage to supportive services is the primary task of case managers employed by both supportive housing providers and housing agencies. There are both informal and formal linkages among these organizations that are facilitated by the Albany County Coalition on Homelessness.

Addressing the emergency and transitional housing needs of homeless persons

individuals and families. Emergency housing options include contracted shelters, private shelters, and hotels/motels. Based on availability, eligibility, and need, persons who present at the Albany County DSS in need of emergency housing are connected to a contracted shelter. The county has contracts with multiple local shelter agencies, including Interfaith Partnership for the Homeless, Schuyler Inn, Mercy House, Lwanga Center, St. Peter's Morton Ave. Shelter, and Council of Churches Overflow Shelter, totaling 298 shelter beds. Most of these shelter contracts have unique provisions for NPA (Non-Public Assistance) persons and obtain reimbursement for case management services provided to the Albany County DSS homeless population. The County also contracts with St. Catherine's to operate the Marillac Shelter, as well as Equinox, Inc. to operate a 30 bed Domestic Violence Shelter. If all contracted shelters are full, DSS refers persons who present in need of emergency housing to a private shelter, Capital City Rescue Mission, for a thirty-day stay. Partnerships (but not contracts) also exist with Safe Haven (Code Blue only). If necessary and no other option is available, the district secures and funds housing in a hotel/motel.

After hours (between the hours of 4:00pm and 8:30am), Albany County DSS contracts with the Homeless and Travelers Aid Society (HATAS) to take calls/referrals for emergency housing. HATAS conducts an intake and assists clients in placement into a contracted shelter or, if a bed is unavailable at a contracted shelter, a hotel/motel for the night. The following day, HATAS transfers the intake form to DSS, who follows up with the household to solidify the shelter placement.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again.

The City of Albany already has several services in place that are targeted to the chronically homeless population. The Kendal House provides nightly street outreach to chronically homeless persons through its outreach van. It also sponsors a 30-bed Single Room Occupancy low-demand permanent housing program for chronically homeless persons with histories of substance abuse. These services play an integral role in the Continuum of Care. In addition, many other agencies provide transitional and permanent supportive housing services to chronically homeless persons. There is agreement about the need to maintain and expand these resources, adding programs such as a Safe Haven and a day shelter that are specifically targeted to chronically homeless persons.

Help low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families who are likely to become homeless after being discharged from a publicly funded institution or system of care, or who are receiving assistance from public and private agencies that address housing, health, social services, employment, education or youth needs

New State regulations have been proposed that, if implemented, will provide for enhanced service provision to aging-out youth. Under the proposed regulations, youth would be discharged on a trial basis. In the event a youth were to become homeless during the period of trial discharge, the youth could be placed in a suitable foster boarding home, agency boarding home, group home or institution, until safe and stable housing could be obtained. For youth no longer on trial discharge, preventive housing services could be used to prevent a youth from becoming homeless, including providing a youth up to \$300 per month to assist with housing costs.

a) ***Emergency Shelter Referral Protocols:*** The above-described practices are effective, for most individuals, in facilitating discharge to permanent housing and residential settings. However, lack of affordable and available housing and residential capacities and/or noncompliance with discharge planning are amongst the factors that may result in an individual experiencing discharge to homelessness. In such instances, well-established protocols for referral to Homeless and Travelers Aid Society (HATAS) allow for placement in an appropriate emergency shelter or motel, for the briefest period possible. Information is provided by the referral source, with the consent of the individual,

related to physical limitations, medical, mental health and drug/alcohol treatment needs. Follow-up services to assist in accessing permanent housing and needed services are provided by both the emergency shelter facility and HATAS.

SP-65 Lead based paint Hazards – 91.215(i)

Actions to address LBP hazards and increase access to housing without LBP hazards

As of March 12, 2020, the City of Albany completed Lead hazard control in 1975 units. With over 25,000 houses in the NSAs built before 1978, there is still an unmet need for ACDA to continue its Lead control services to the Albany community. Housing condition surveys in these neighborhoods report 25% of the housing units need "paint treatment". The ACDA Environmental Services will focus efforts toward the targeted neighborhoods identified by the Albany County Health Department. These neighborhoods are identified as the areas with the highest level of EBLs reported to the Health Department. These areas include neighborhoods within the following zip codes: 12202,12206,12208,12209 and 12210. The neighborhood, West Albany, (zip code 12206), is the 5th highest area in New York State with new EBL cases.

ACDA is currently completing its third year of its Lead Hazard Reduction Program - Funding from U.S. Dept of Housing and Urban Development allowed ACDA to provide an addition 165 units of lead safe housing in the city of Albany. Because the need is so high, ACDA will reapply for funding when it becomes available in 2020.

How are the actions listed above related to the extent of lead poisoning and hazards?

There are almost 37,000 homes in the City of Albany that were built before 1978. To date, the City of Albany completed Lead hazard control in 1975 units. While a significant impact has been made, there is an unmet need for ACDA to continue its Lead control services to the Albany community. Housing condition surveys in these neighborhoods report 25% of the housing units need "paint treatment". The strategy above, which is followed by the City of Albany in the implementation of its programs, helps to reduce lead hazards in the City's affordable housing stock.

How are the actions listed above integrated into housing policies and procedures?

All housing assisted by ACDA is tested for lead hazards during the initial walk through of the building. All lead hazards are treated either using CDBG, HOME, or the lead hazard reduction program funding. Contractors are required to be certified lead contractors and all residents are relocated during the lead treatment phase of the home rehabilitation.

SP-70 Anti-Poverty Strategy – 91.215(j)

Jurisdiction Goals, Programs and Policies for reducing the number of Poverty-Level Families

The City of Albany to eliminating the effects of poverty among its residents for those who fall on hard times, those who through no fault of their own find it difficult to care for themselves, and those especially at risk; single mothers, children, disabled, low income and the elderly. City officials recognize that it may not be possible to end poverty all together, but is dedicated none the less to better understanding its causes and finding a way to reverse the cycle of privation. Moreover, the limited funding available is eclipsed by the need for affordable housing, daycare, job training, life skills, health care, nutrition, education, stable neighborhoods, and access to basic services. Even more, what is discouraging is that poverty rates have remained stagnant or in many instances have increased at all levels of poverty, suggesting that programs aimed at the low-income populations have failed to substantially reduce income inadequacy. What this suggests is that the city needs to look at more effective ways to diminish the effects of poverty. Programs and projects that have a broader impact on beneficiaries and their environment as a whole will be the City's anti-poverty strategy. Programs and projects that connect beneficiaries to their neighborhood and community through targeted physical neighborhood infrastructure improvements will have a greater impact on upward mobility than direct benefit programs. The City will also aim to eliminate effects of unstable neighborhoods where isolation among its residents exist. A great deal of research indicates that various forms of social isolation have damaging effects on health and social development. Projects and programs that create and promote social connectedness will allow residents, families, and children to interact within public spaces, recreational areas, and among other homeowners. These programs will help to build self-esteem, selfcontrol, confidence, good decision making, and general social well-being among residents in targeted neighborhoods. These outcomes will help to eliminate poverty at a higher level by allowing low income people better opportunities in education and jobs.

How are the Jurisdiction poverty reducing goals, programs, and policies coordinated with this affordable housing plan

The City will use its neighborhood infrastructure improvements to complement public service partners and their programs that focus on providing low-income families and individuals with the tools necessary to become self-sufficient and avoid poverty. The City's plan to reinvest and create stable neighborhoods will aid public service programs and their beneficiaries to better reach their full potential. The City will utilize its CDBG funds to offer safe, stable and socially connected neighborhoods as a way to assist children and help them thrive in their education. Providing the support necessary for successfully graduating increases future opportunities for employment and will enable them to provide for their families. Housing rehabilitation programs offered through the City to assist in maintaining affordable housing and reduce household costs are operated in conjunction with infrastructure projects, economic development projects, and homeless prevention programs as well. Coordinating these programs and offering assistance in various manners aids in reducing poverty throughout the

City. The City will also continue to work with various federal, state, and local agencies to leverage funding sources for the development of economic opportunities.

SP-80 Monitoring – 91.230

Describe the standards and procedures that the jurisdiction will use to monitor activities carried out in furtherance of the plan and will use to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

The City conducts all monitoring in accordance with HUD regulations for the CDBG, HOME, HOPWA, and ESG programs. The City monitors a minimum of one-third of the projects funded each year. Guidelines have been developed for evaluating which projects will be monitored using criteria such as whether it is a newly funded project, projects that have continuously received funding but have not been monitored in the past three years, projects that have undergone restructuring or administratively there have been personnel changes, projects that have previously garnered significant findings, and projects receiving a large amount of funding.

The City conducts desk monitoring when reviewing documentation submitted from any sub-recipient such as applications for funding and quarterly reports. On-site monitoring is also conducted. In preparation for the on-site visit the City reviews file documentation kept by the Department of Housing and Community Development for each project. This includes an overview of the statement of work, budget, recent vendor claims, quarterly reports, and previous CAPERs. The City will coordinate with appropriate staff within the department that oversees the administration of the project to gather all relevant data. The on-site monitoring is a comprehensive review of the project and the City will observe the project in action if possible, results of the completed project, and all required documentation that should be kept by the sub-recipient in accordance with HUD regulations. The City is sure to ask all relevant questions regarding the project and the sub-recipients performance to ensure compliance with the Consolidated Plan and federal requirements. The City will provide a monitoring letter to the sub-recipient stating any findings, concerns, or recommendations that need to be resolved.

ACDA works closely with the City's EEO/Fair housing officer to ensure that each project complies with the City's EEO, MWBE and fair housing policies.

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	3,421,173	90,000	0	3,511,173	0	expected amount available is averaged with anticipated funding remaining level

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	961,158	1,000	0	962,158	0	expected amount available is averaged with anticipated funding remaining level
HOPWA	public - federal	Permanent housing in facilities Permanent housing placement Short term or transitional housing facilities STRMU Supportive services TBRA	693,147	0	0	693,147	0	expected amount available is averaged with anticipated funding remaining level

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	293,359	0	0	293,359	0	expected amount available is averaged with anticipated funding remaining level
Other	public - federal	Other	3,125,056	0	0	3,125,056	0	HOPWA funds used to prevent, prepare for and respond to COVID-19 pandemic

Table 57 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

1. Created in year 39, the City of Albany HOME Investment Partnership Program to support LIHTC programs in order to assist low income housing for individuals and families in Albany. ACDA will continue to program additional funds through and RFP process in order to award funds to housing development organizations to fund projects that will leverage significant state, local, and federal resources
2. The creation of the Albany County Landbank will create additional opportunities to leverage state funds to assist with the rehabilitation of vacant buildings throughout the city of Albany. ACDA and the Land Bank have entered into an agreement to release a co RFQ for properties owned by each entity and located in a cluster area within the City.

3. In February of 2016, ACDA created a new program to address vacant buildings in the City. Using non federal funds as gap funding, ACDA will be able to leverage HOME/CDBG funds to assist with the gut rehab of several vacant and abandoned buildings in Albany's distressed neighborhoods. To date, 18 vacant buildings have been approved by ACDA and will create a number of homeowner occupied and rental units in blighted neighborhoods.
4. Matching requirements for ESG funds will be provided by each of the sub recipients. all ESG subs are required to submit match documentation during the application process and with their final voucher.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

ACDA owns a number of properties in its NSAs that will be used for neighborhood revitalization throughout the course of the 5 year plan. Plans are in process to transfer buildings owned by ACDA to Habitat for Hummanity. In addition, ACDA is in the negotiation stage of a purchase and sale contract for 25 Delaware Ave. If approved, the buyer will provide 52 units of affordable housing on this property and three adjacent properties located at 16, 18 and 20 Morris Street.

ACDA has transferred several properties over the course of the past few years that will be rehabilitated and will provide housing for homeless veterans, families and low to mod income individuals in the City.

Discussion

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Decent Housing	2020	2024	Affordable Housing Homeless Non-Homeless Special Needs	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill	Affordable Housing Homeless Services improvement of existing structures Code Enforcement	CDBG: \$1,011,086 HOPWA: \$672,397 HOME: \$865,957 ESG: \$293,359	Rental units rehabilitated: 6 Household Housing Unit Homeowner Housing Rehabilitated: 40 Household Housing Unit Direct Financial Assistance to Homebuyers: 15 Households Assisted Tenant-based rental assistance / Rapid Rehousing: 25 Households Assisted Homeless Person Overnight Shelter: 500 Persons Assisted HIV/AIDS Housing Operations: 70 Household Housing Unit Housing Code Enforcement/Foreclosed Property Care: 50 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
2	Public Services Assistance	2020	2024	Affordable Housing Public Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill	Public Services	CDBG: \$412,132	Public service activities other than Low/Moderate Income Housing Benefit: 4000 Persons Assisted
3	Neighborhood Revitalization	2020	2024	Non-Housing Community Development	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill	Affordable Housing improvement of existing structures improve infrastructure	CDBG: \$340,337 HOME: \$278,957	Housing Code Enforcement/Foreclosed Property Care: 50 Household Housing Unit Other: 5 Other
4	Economic Development	2020	2024	Non-Housing Community Development	North Albany West Hill SOUTH END Arbor Hill Capital Hill	Economic development	CDBG: \$165,843	Businesses assisted: 6 Businesses Assisted

Table 58 – Goals Summary

Goal Descriptions

1	Goal Name	Decent Housing
	Goal Description	
2	Goal Name	Public Services Assistance
	Goal Description	
3	Goal Name	Neighborhood Revitalization
	Goal Description	
4	Goal Name	Economic Development
	Goal Description	

Projects

AP-35 Projects – 91.220(d)

Introduction

The following activities will be funded using 2020 funding:

Projects

#	Project Name
1	DGS Public Improvements
2	Small Business Technical Assistance
3	Junior Staff Job Training
4	Money Strategies Financial Education
5	Albany Living Arts Program
6	Health & U
7	Fatherhood Workforce Initiative
8	Summer Camp
9	preservation trades job traning Program
10	Clean and Green
11	South End Childrens Cafe
12	Albany Feed and Read
13	Ezra Prentice Program
14	Youth Support Services
15	Albany Baseball Program
16	Reading is our Right
17	Pathways to Health Careers
18	Cadet program/Do the Right Thing
19	Senior Veterans Outreach
20	SRO Housing
21	ACDA 2020 property management
22	2020 CDBG Admin
23	2020 CDBG Direct Deliver
24	HMIS/SPE
25	2020 HOME Admin
26	HOPWA Admin
27	CARES HOPWA Admin
28	HOPWA Mohawk Opportunities
29	HOPWA Alliance for Positive Health

#	Project Name
30	HOPWA Unity House
31	HOPWA SASH
32	ESG Shelter/prevention/admin
33	ACDA 2020 in house rehabilitation programs
34	CHDO South End Improvement Corp
35	Habitat for Hummanity CHDO
36	HOME neighborhood revitalization HIP program
37	CDBG in House homeowner programs
38	CDBG neighborhood revitalization
39	Henry Johnson Blvd Brownfield
40	HOPWA Damien Center
41	Security Home Maintenance Program /SHIP

Table 59 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

AP-38 Project Summary
Project Summary Information

1	Project Name	DGS Public Improvements
	Target Area	West Hill Arbor Hill
	Goals Supported	Neighborhood Revitalization
	Needs Addressed	improve infrastructure
	Funding	CDBG: \$160,117
	Description	Milling and resurfacing of public streets and reconstruction of public sidewalks. sidewalk work will include ADA ramps, granite curbs and tree planting.
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Ledale St, Third St, Thornton St, Sherman St
2	Planned Activities	Milling and resurfacing of public streets and reconstruction of public sidewalks. sidewalk work will include ADA ramps, granite curbs and tree planting.
	Project Name	Small Business Technical Assistance
	Target Area	North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Economic Development
	Needs Addressed	Economic development
	Funding	CDBG: \$165,843
	Description	The Capitalize Albany Corporation will provide technical assistance to small businesses located in the identified target areas of the City of Albany
	Target Date	5/31/2021

	Estimate the number and type of families that will benefit from the proposed activities	Capitalize Albany will provide Technical Assistance to at least 6 small businesses located within the City's target neighborhoods
	Location Description	Activities take place throughout ACDA's targeted neighborhoods
	Planned Activities	The Capitalize Albany Corporation will provide technical assistance to small businesses located in the identified target areas of the City of Albany
3	Project Name	Junior Staff Job Training
	Target Area	North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Public Services Assistance
	Needs Addressed	Public Services
	Funding	CDBG: \$25,800
	Description	In an effort to ensure that members reach their full potential and achieve great futures, the Boys & Girls Clubs of Albany has chosen to continue implementing Junior Staff, a National Boys & Girls Club program which is designed to provide youth with the skills and knowledge needed to enter the workforce confident and prepared. Youth will participate in a hands-on internship at the Club over the course of three months with a focus on job training, customer service and job etiquette. Members will attend weekly trainings, guest speaker events, mock interviews and at the end of the program, attend 2 college trips. The Junior Staff Program will serve 40 teens between the period of July to May. Funds will be used to support the Coordinator of Teen Programs & Services Position, Teen Site Administrator position (in charge of monitoring the program), program supplies, Junior Staff stipends and 2 college field trips at the very end of the program.
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	This program will serve 40 low mod income teens

	Location Description	programming will take place at the Boys and Girls Club on Delaware Ave
	Planned Activities	In an effort to ensure that members reach their full potential and achieve great futures, the Boys & Girls Clubs of Albany has chosen to continue implementing Junior Staff, a National Boys & Girls Club program which is designed to provide youth with the skills and knowledge needed to enter the workforce confident and prepared. Youth will participate in a hands-on internship at the Club over the course of three months with a focus on job training, customer service and job etiquette. Members will attend weekly trainings, guest speaker events, mock interviews and at the end of the program, attend 2 college trips. The Junior Staff Program will serve 40 teens between the period of July to May. Funds will be used to support the Coordinator of Teen Programs & Services Position, Teen Site Administrator position (in charge of monitoring the program), program supplies, Junior Staff stipends and 2 college field trips at the very end of the program.
4	Project Name	Money Strategies Financial Education
	Target Area	North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Public Services Assistance
	Needs Addressed	Public Services
	Funding	CDBG: \$5,000
	Description	financial literacy program that provides counseling and education to first time homebuyers to address the CDBG housing goal of increasing homeownership within the City of Albany. AHP will provide: (1) program marketing through our Homebuyer 101 orientation session; (2) a financial literacy class that gives homebuyers the skills they need to improve credit scores and budget for homeownership, and (3) a credit report analysis for each workshop attendee.
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	It is anticipated that 100 potential homebuyers will benefit from this program

	Location Description	programming will take place at Affordable Housing Partnerships office located at 255 orange St
	Planned Activities	financial literacy program that provides counseling and education to first time homebuyers to address the CDBG housing goal of increasing homeownership within the City of Albany. AHP will provide: (1) program marketing through our Homebuyer 101 orientation session; (2) a financial literacy class that gives homebuyers the skills they need to improve credit scores and budget for homeownership, and (3) a credit report analysis for each workshop attendee.
5	Project Name	Albany Living Arts Program
	Target Area	North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Public Services Assistance
	Needs Addressed	Public Services
	Funding	CDBG: \$18,500
	Description	the Albany Living Arts Project will be a youth driven crime prevention performing arts training workshop combining academic tutoring, dance movement, nutrition and wellness strategies and providing vocational Youth internships in the arts. A multi-cultural performing arts program for low to moderate income families and youth focusing on providing free performing arts instruction and demonstrating strong civic community engagement and outreach thru self esteem building activities and performances. This program seeks to deter juveniles from at risk criminal and unhealthy behaviors mostly during weekend and after school hours. Utilizing the performing arts as a weapon for social change this program will provide unduplicated services to 500 youth and their families during the contract period.
	Target Date	3/11/2020
	Estimate the number and type of families that will benefit from the proposed activities	This program plans to serve 400 low to mod income youth
	Location Description	this program will take place at Art Partners program office on Ontario St

	Planned Activities	the Albany Living Arts Project will be a youth driven crime prevention performing arts training workshop combining academic tutoring, dance movement, nutrition and wellness strategies and providing vocational Youth internships in the arts. A multi-cultural performing arts program for low to moderate income families and youth focusing on providing free performing arts instruction and demonstrating strong civic community engagement and outreach thru self esteem building activities and performances. This program seeks to deter juveniles from at risk criminal and unhealthy behaviors mostly during weekend and after school hours. Utilizing the performing arts as a weapon for social change this program will provide unduplicated services to 500 youth and their families during the contract period.
6	Project Name	Health & U
	Target Area	North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Public Services Assistance
	Needs Addressed	Public Services
	Funding	CDBG: \$20,000
	Description	Health & U is a health and wellness program who's mission is to decrease/eliminate health care disparities among minorities and low income individuals. It seeks to improve health awareness of people of color and increase the knowledge of healthcare resources, as well as providing a community resource.
	Target Date	3/11/2020
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Throughout ACDA's target neighborhoods
	Planned Activities	<div>Health & U is a health and wellness program who's mission is to decrease/eliminate health care disparities among minorities and low income individuals. It seeks to improve health awareness of people of color and increase the knowledge of healthcare resources, as well as providing a community resource. </div>

7	Project Name	Fatherhood Workforce Initiative
	Target Area	North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Public Services Assistance
	Needs Addressed	Public Services
	Funding	CDBG: \$25,000
	Description	ACAP's Fatherhood Workforce Initiative will work with unemployed and underemployed fathers, providing career readiness services combined with tailored family development/parenting support utilizing a two-generation, whole family approach to interrupt the cycle of poverty and promote long-term stability. Services will be provided to 20 fathers with an estimated total of 30 children. Program components will include workforce readiness activities, occupational education and training, a fatherhood workshop series, as well as intensive case management/family coaching, employment and job retention support.
	Target Date	3/11/2020
	Estimate the number and type of families that will benefit from the proposed activities	ACAP anticipates being able to serve 20 young fathers with this program
	Location Description	Programming will take place at ACAPs office 333 Sheridan Ave
	Planned Activities	ACAP's Fatherhood Workforce Initiative will work with unemployed and underemployed fathers, providing career readiness services combined with tailored family development/parenting support utilizing a two-generation, whole family approach to interrupt the cycle of poverty and promote long-term stability. Services will be provided to 20 fathers with an estimated total of 30 children. Program components will include workforce readiness activities, occupational education and training, a fatherhood workshop series, as well as intensive case management/family coaching, employment and job retention support.
	Project Name	Summer Camp

8	Target Area	North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Public Services Assistance
	Needs Addressed	Public Services
	Funding	CDBG: \$15,000
	Description	the first week of July, we begin a five-week intensive literacy-based camp where children we engage children going into preschool and kindergarten through various literacy activities, encouraging learning through play. Camp is four hours a day, with either a morning or afternoon option. Breakfast, lunch and snack are all provided by the Baby Institute. Children come to a structured environment where they learn how to follow a schedule and develop confidence in learning. We know that children lose most information they have learned during the school year when on summer vacation (â€˜summer drainâ€™), so the camp is there to help them retain the information they have learned. The children learn colors, shapes, weather, seasons, numbers, nurse rhymes, and sight words. They are taught how to spell and write their names, and every day a new craft to bring home. Various community organizations visit as well to bring the children Crossfit, music lessons, and theatre. The purpose of the camp is to provide children with a safe place to spend their summer, all while learning. Camp is completely free to qualified parents and seeks to serve 30 poor children living in the City of Albany. Slots are filled on a first come first serve basis. Children may be enrolled from neighborhoods citywide, however preference will be given to children residing in Arbor Hill and the South End. Parents and caregivers who have participated in the Baby Institute programs will be given preference.
	Target Date	3/11/2020
	Estimate the number and type of families that will benefit from the proposed activities	30 Low income youth will attend this summer camp
	Location Description	

	Planned Activities	the first week of July, we begin a five-week intensive literacy-based camp where children we engage children going into preschool and kindergarten through various literacy activities, encouraging learning through play. Camp is four hours a day, with either a morning or afternoon option. Breakfast, lunch and snack are all provided by the Baby Institute. Children come to a structured environment where they learn how to follow a schedule and develop confidence in learning. We know that children lose most information they have learned during the school year when on summer vacation ("summer drain"), so the camp is there to help them retain the information they have learned. The children learn colors, shapes, weather, seasons, numbers, nurse rhymes, and sight words. They are taught how to spell and write their names, and every day a new craft to bring home. Various community organizations visit as well to bring the children Crossfit, music lessons, and theatre. The purpose of the camp is to provide children with a safe place to spend their summer, all while learning. Camp is completely free to qualified parents and seeks to serve 30 poor children living in the City of Albany. Slots are filled on a first come first serve basis. Children may be enrolled from neighborhoods citywide, however preference will be given to children residing in Arbor Hill and the South End. Parents and caregivers who have participated in the Baby Institute programs will be given preference.
9	Project Name	preservation trades job training Program
	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Economic Development
	Needs Addressed	Economic development
	Funding	CDBG: \$21,000

	Description	the Central Ave BID is applying for funds to advance the implementation of the preservation trades and training job development program at the STEAM garden located at 279 Central Ave. Funding will help to serve 30 low and moderate income adults who will be trained by experts in building arts, trades and preservation- all skills that are in demand in the immediate area and surrounding region. The STEAM Garden will be a living laboratory, in that the program will use features from the building as the primary materials in learning how to rehabilitate historic structures. Students will leave the course with a knowledge about the components of historic buildings and with extensive hands on experience that will immediately increase their employability.
	Target Date	3/11/2020
	Estimate the number and type of families that will benefit from the proposed activities	it is anticipated that this program will serve 30 low mod income residents of Albany
	Location Description	programming will take place at the BID' STEAM garden located at 279 Central Ave
	Planned Activities	the Central Ave BID is applying for funds to advance the implementation of the preservation trades and training job development program at the STEAM garden located at 279 Central Ave. Funding will help to serve 30 low and moderate income adults who will be trained by experts in building arts, trades and preservation- all skills that are in demand in the immediate area and surrounding region. The STEAM Garden will be a living laboratory, in that the program will use features from the building as the primary materials in learning how to rehabilitate historic structures. Students will leave the course with a knowledge about the components of historic buildings and with extensive hands on experience that will immediately increase their employability.
10	Project Name	Clean and Green
	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill

	Goals Supported	Public Services Assistance
	Needs Addressed	Public Facilities
	Funding	CDBG: \$10,000
	Description	Clean and Green is an environmental justice project to be participated in by 15 teens under the direction of a professional educator and college age mentor. The purpose of the program is for participating teens to (1) survey the observable environmental conditions of Albany's neighborhoods, focusing on greenery, green spaces and buildings, and record data related to the survey; (2) research and report on scientific investigations related to the impact of green spaces in urban neighborhoods, and (3) using the research and survey data to improve environmental conditions.
	Target Date	3/11/2020
	Estimate the number and type of families that will benefit from the proposed activities	It is anticipated that 15 low mod income teens will benefit from this program
	Location Description	programming will take place at the Underground Railroad History project site on Livingston Ave
	Planned Activities	Clean and Green is an environmental justice project to be participated in by 15 teens under the direction of a professional educator and college age mentor. The purpose of the program is for participating teens to (1) survey the observable environmental conditions of Albany's neighborhoods, focusing on greenery, green spaces and buildings, and record data related to the survey; (2) research and report on scientific investigations related to the impact of green spaces in urban neighborhoods, and (3) using the research and survey data to improve environmental conditions.
11	Project Name	South End Childrens Cafe
	Target Area	SOUTH END
	Goals Supported	Public Services Assistance
	Needs Addressed	Public Services
	Funding	CDBG: \$10,000

	Description	The mission of the South End Childres Caf�� is to impact food security, address food equalitiy and food justice, enhance academic success and positively influence thep hysical and mental health of children living in and around the South end of Albany. Healthy dinner time meals along with homework help and other enrichment programs including ballet, community service, chess, basketball, art, sculpture, cooking and more in a safe, positive and technology free environment.
	Target Date	3/11/2020
	Estimate the number and type of families that will benefit from the proposed activities	It is anticipated that this program will serve 75 unduplicated low mod income youth during the program year
	Location Description	The South End Neighborhood in Albany
	Planned Activities	The mission of the South End Childres Caf�� is to impact food security, address food equalitiy and food justice, enhance academic success and positively influence thep hysical and mental health of children living in and around the South end of Albany. Healthy dinner time meals along with homework help and other enrichment programs including ballet, community service, chess, basketball, art, sculpture, cooking and more in a safe, positive and technology free environment.
12	Project Name	Albany Feed and Read
	Target Area	North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Public Services Assistance
	Needs Addressed	Public Services
	Funding	CDBG: \$25,000
	Description	The Albany Feed and Read Program provides backpacks full of food and books to low income elementary and middle schol students in the City School District of Albany every weekend throughout the academic school year. The program currently servies 625 students every week.
	Target Date	5/31/2021

	Estimate the number and type of families that will benefit from the proposed activities	This program anticipates serving 625 students each week
	Location Description	City School District of Albany schools located in target neighborhoods
	Planned Activities	The Albany Feed and Read Program provides backpacks full of food and books to low income elementary and middle school students in the City School District of Albany every weekend throughout the academic school year. The program currently serves 625 students every week.
13	Project Name	Ezra Prentice Program
	Target Area	SOUTH END
	Goals Supported	Public Services Assistance
	Needs Addressed	Public Services
	Funding	CDBG: \$30,000
	Description	The B&G club is requesting funding to provide after school, teen and summer camp programming in the Ezra Prentice Homes Housing Authority locations. The after school program will serve 25-30 low to moderate income youth ages 6-12 and an additional 15-20 teens. During the summer months a full day summer camp will operate with an estimated 25-30 youth. The teen program will consist of a traveling program that travels to other Albany Housing locations, serving an additional 20 teens per site.
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	the Boys and Girls Club expects to serve 50 youth with this program
	Location Description	Programming will take place at the Ezra Prentice Housing Development

	Planned Activities	The B&G club is requesting funding to provide after school, teen and summer camp programming in the Ezra Prentic Homes Housing Authority locations. The after school program will serve 25-30 low to moderate income youth ages 6-12 and an additional 15-20 teens. During the summer months a full day summer camp will operate with an estimated 25-30 youth. The teen program will consist of a traveling program that travels to other Albany Housing locations, serving an additional 20 teens per site.
14	Project Name	Youth Support Services
	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Public Services Assistance
	Needs Addressed	Public Services
	Funding	CDBG: \$25,000
	Description	Equinox's new youth supportive services program will be located at the boys and Girls Club on Delaware Ave. Equinox will employ a cse manager and social worker to provide supportive services to at risk youth from low and moderate income households in the City. It is expected that the project will serve 400 youth indentified ant the B&G Club teen program or referred from other youth serving programs during the contract period.
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	This program expects to serve 400 youth throughout the program year
	Location Description	Primary programming will take at the Boys and Girls Club located at 21 Delaware Ave

	Planned Activities	Equinox's new youth supportive services program will be located at the boys and Girls Club on Delaware Ave. Equinox will employ a cse manager and social worker to provide supportive services to at risk youth from low and moderate income households in the City. It is expected that the project will serve 400 youth indentified ant the B&G Club teen program or referred from other youth serving programs during the contract period.
15	Project Name	Albany Baseball Program
	Target Area	SOUTH END
	Goals Supported	Public Services Assistance
	Needs Addressed	Public Services
	Funding	CDBG: \$17,500
	Description	Albany PAL is seeking to revitalize Albany's youth baseball programs and create a stable self sustaining program that will continue to make the sport available to all youth within our community, some of which are historically disadvantaged and underserved
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	This program plans to serve 100 youth
	Location Description	Krank Park - Albany's South End
	Planned Activities	Albany PAL is seeking to revitalize Albany's youth baseball programs and create a stable self sustaining program that will continue to make the sport available to all youth within our community, some of which are historically disadvantaged and underserved
16	Project Name	Reading is our Right
	Target Area	North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Public Services Assistance
	Needs Addressed	Public Services
	Funding	CDBG: \$20,000

	Description	Reading is our Right will include 15-30 minutes of reading per day of summer camp, increase the program staff to improve delivery to 500 children in summer camps; our youth workforce readiness and summer support employees will contribute to summer camps, our mobile bookshelf events, and in house book production to continue our service to 14,000 children in Albany.
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	This program reaches 14,000 youth on a yearly basis - it intends to serve 500 with this CDBG funding
	Location Description	Throughout the target neighborhoods in the City of Albany
	Planned Activities	Reading is our Right will include 15-30 minutes of reading per day of summer camp, increase the program staff to improve delivery to 500 children in summer camps; our youth workforce readiness and summer support employees will contribute to summer camps, our mobile bookshelf events, and in house book production to continue our service to 14,000 children in Albany.
17	Project Name	Pathways to Health Careers
	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Public Services Assistance
	Needs Addressed	Public Services
	Funding	CDBG: \$77,339
	Description	the youth opportunity office will provide a comprehensive community system of youth employment and youth development services that maximizes existing community resources and that contributes to the overall development of youth
	Target Date	5/31/2021

	Estimate the number and type of families that will benefit from the proposed activities	1200 youth will benefit from the consolidation of program services into a single one stop center that will enable the delivery of services to be much more meaningful and intense
	Location Description	Programming takes place at the youth resource office at 388 Clinton Ave
	Planned Activities	the youth opportunity office will provide a comprehensive community system of youth employment and youth development services that maximizes existing community resources and that contributes to the overall development of youth
18	Project Name	Cadet program/Do the Right Thing
	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Public Services Assistance
	Needs Addressed	Public Services
	Funding	CDBG: \$66,993
	Description	The APD Cadet program will provide four college aged students from low to moderate Albany households a paid employment opportunity to obtain valuable insight and exposure to the duties and responsibilities performed by the members of the APD. Cadets will be paid \$15/hour for up to 12 hours per week, and will be eligible to receive up to \$20000 per academic year in educational expense reimbursements APD will start its Do The Right Thing program at Albany High School, Hackett Middle School, Edmund J O'Neal middle school and Stephen and Harriet Myers Middle school. This awards program will provide incentives for youth to make good choices such as remaining drug and crime free, exhibiting non violent behavior and making a difference in their communities. This program will serve the 4,448 students in these schools.
	Target Date	5/31/2021

	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	TAPD will start its Do The Right Thing program at Albany High School, Hackett Middle School, Edmund J O'Neal middle school and Stephen and Harriet Myers Middle school. These schools are all located in Albany's target neighborhoods.
	Planned Activities	The APD Cadet program will provide four college aged students from low to moderate Albany households a paid employment opportunity to obtain valuable insight and exposure to the duties and responsibilities performed by the members of the APD. Cadets will be paid \$15/hour for up to 12 hours per week, and will be eligible to receive up to \$20000 per academic year in educational expense reimbursements APD will start its Do The Right Thing program at Albany High School, Hackett Middle School, Edmund J O'Neal middle school and Stephen and Harriet Myers Middle school. This awards program will provide incentives for youth to make good choices such as remaining drug and crime free, exhibiting non violent behavior and making a difference in their communities. This program will serve the 4,448 students in these schools.
19	Project Name	Senior Veterans Outreach
	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Decent Housing
	Needs Addressed	Affordable Housing Homeless Services
	Funding	CDBG: \$12,296

	Description	The SVOI is a program that supports the needs of Albany's senior Veterans. ACDA funding will be used to provide a portion of staff expense for the services of veteran case managers who identify the needs of at risk elderly veterans, and offers linkages to services relative to health, housing, grants, employment, entitlements, food and clothing for these senior veterans and their families. The veteran case manager travels throughout Albany, making face to face contacts and serves 100 unduplicated seniors annually. The SVOI program offers case management, short term assistance to help senior veterans remain safely housed in the community to prevent episode of homelessness, and to reduce costs by reducing dependence and use of emergency services in the City of Albany.
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	100 low income veterans at risk of being homeless
	Location Description	the programming is offered throughout the City - AHC's offices are located at 278 Clinton Ave
	Planned Activities	The SVOI is a program that supports the needs of Albany's senior Veterans. ACDA funding will be used to provide a portion of staff expense for the services of veteran case managers who identify the needs of at risk elderly veterans, and offers linkages to services relative to health, housing, grants, employment, entitlements, food and clothing for these senior veterans and their families. The veteran case manager travels throughout Albany, making face to face contacts and serves 100 unduplicated seniors annually. The SVOI program offers case management, short term assistance to help senior veterans remain safely housed in the community to prevent episode of homelessness, and to reduce costs by reducing dependence and use of emergency services in the City of Albany.
20	Project Name	SRO Housing
	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill

	Goals Supported	Decent Housing
	Needs Addressed	Homeless Services
	Funding	CDBG: \$10,247
	Description	<p>The Homeless Action Committee (HAC) operates 30 units of Single Room Occupancy (SRO) permanent supportive housing for homeless adults who had previously lived on the streets of Albany for years, and for whom traditional alcohol treatment has not yet been effective. Tenants are provided with furnished rooms, meals, and 24 hour staffing. This unique facility provides decent, safe and sanitary housing for chronically homeless people who have not yet been able to recover from their alcoholism, but who should not have to live on the streets. The SRO is a unique housing first approach to helping individuals obtain safe, secure housing and maintain stability in their lives. Once the crisis of living on the streets is removed, they can begin to make necessary changes in their lives. CDBG funding is requested for utilities and food for tenants.</p>
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	30 units of SRO housing is available to low income residents at risk of being homeless
	Location Description	393 S. Pearl St.
	Planned Activities	<p>The Homeless Action Committee (HAC) operates 30 units of Single Room Occupancy (SRO) permanent supportive housing for homeless adults who had previously lived on the streets of Albany for years, and for whom traditional alcohol treatment has not yet been effective. Tenants are provided with furnished rooms, meals, and 24 hour staffing. This unique facility provides decent, safe and sanitary housing for chronically homeless people who have not yet been able to recover from their alcoholism, but who should not have to live on the streets. The SRO is a unique housing first approach to helping individuals obtain safe, secure housing and maintain stability in their lives. Once the crisis of living on the streets is removed, they can begin to make necessary changes in their lives. CDBG funding is requested for utilities and food for tenants.</p>
	Project Name	ACDA 2020 property management

21	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Neighborhood Revitalization
	Needs Addressed	improvement of existing structures
	Funding	CDBG: \$158,829
	Description	2020 funds will be used to maintain properties that are owned by ACDA - properties are acquired and sold according to ACDA's property acquisition and disposition policies
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	ACDA will administer the funds for its properties at its office located at 200 Henry Johnson Blvd - properties are located throughout ACDA's target neighborhoods
	Planned Activities	2020 funds will be used to maintain properties that are owned by ACDA - properties are acquired and sold according to ACDA's property acquisition and disposition policies
22	Project Name	2020 CDBG Admin
	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Decent Housing Public Services Assistance Neighborhood Revitalization Economic Development

	Needs Addressed	Affordable Housing Homeless Services improvement of existing structures Public Facilities improve infrastructure Public Services Economic development Code Enforcement
	Funding	CDBG: \$483,061
	Description	2020 Administrative costs charged to CDBG
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	200 Henry Johnson Blvd
	Planned Activities	2020 administrative expenses charged to CDBG
23	Project Name	2020 CDBG Direct Deliver
	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Decent Housing Public Services Assistance Neighborhood Revitalization Economic Development
	Needs Addressed	Affordable Housing Homeless Services improvement of existing structures Public Facilities improve infrastructure Public Services Economic development Code Enforcement

	Funding	CDBG: \$1,025,140
	Description	CDBG staff costs to provide programming/services to the community
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	200 Henry Johnson Blvd
	Planned Activities	CDBG staff costs to provide programming/services to the community
24	Project Name	HMIS/SPE
	Target Area	North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Decent Housing
	Needs Addressed	Homeless Services
	Funding	CDBG: \$50,000
	Description	The primary goal of CARES is to help with the HMIS and planning for ACDA. CARES provides an unduplicated count of homeless individuals and families in the City of Albany.
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	CARES will administer this funding at its main office located at 200 Henry Johnson Blvd
	Planned Activities	The primary goal of CARES is to help with the HMIS and planning for ACDA. CARES provides an unduplicated count of homeless individuals and families in the City of Albany.
	Project Name	2020 HOME Admin

25	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Decent Housing Public Services Assistance Neighborhood Revitalization Economic Development
	Needs Addressed	Affordable Housing Homeless Services improvement of existing structures Public Facilities improve infrastructure Public Services Economic development Code Enforcement
	Funding	HOME: \$96,201
	Description	Activities related to ACDA's administration of its HOME program funds
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	200 Henry Johnson Blvd
	Planned Activities	Activities related to ACDA's administration of its HOME program funds
26	Project Name	HOPWA Admin
	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Decent Housing

	Needs Addressed	Affordable Housing Homeless Services
	Funding	HOPWA: \$20,750
	Description	Activities related to ACDAs administration of the HOPWA program
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	200 Henry Johnson Blvd
	Planned Activities	Activities related to ACDAs administration of the HOPWA program
27	Project Name	CARES HOPWA Admin
	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Decent Housing
	Needs Addressed	Homeless Services
	Funding	HOPWA: \$27,726
	Description	CARES admin activities to carry out the HOPWA programming
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	HOPWA admin activities will take place at CARES main office located at 200 Henry Johnson Blvd
	Planned Activities	CARES admin duties to carry out the HOPWA programming
28	Project Name	HOPWA Mohawk Opportunities
	Target Area	ALBANY

	Goals Supported	Decent Housing
	Needs Addressed	Homeless Services
	Funding	HOPWA: \$127,167
	Description	Mohawk opportunities will provide HOPWA services in the form of long term rental assistance
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	Mohawk opportunities provides HOPWA services for 13 long term rental assistance
	Location Description	201 Nott Terrace, Schenectady, NY 12307
	Planned Activities	Mohawk opportunities will provide HOPWA services in the form of long term rental assistance
29	Project Name	HOPWA Alliance for Positive Health
	Target Area	ALBANY
	Goals Supported	Decent Housing
	Needs Addressed	Affordable Housing Homeless Services
	Funding	HOPWA: \$180,634
	Description	Alliance for Positive health will provide HOPWA related services in the form of long term rental assistance
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	Alliance for Positive health will provide HOPWA related services in the form of long term rental assistance for 25 individuals
	Location Description	927 Broadway, Albany, NY 12207
	Planned Activities	Alliance for Positive health will provide HOPWA related services in the form of long term rental assistance
30	Project Name	HOPWA Unity House
	Target Area	ALBANY
	Goals Supported	Decent Housing

	Needs Addressed	Affordable Housing Homeless Services
	Funding	HOPWA: \$182,929
	Description	Unity House will provide HOPWA related services in the form of long term rental assistance for 25 individuals
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	Unity House will provide HOPWA related services in the form of long term rental assistance for 25 individuals
	Location Description	2431 Sixth Avenue Troy, New York 12180
	Planned Activities	Unity House will provide HOPWA related services in the form of long term rental assistance for 25 individuals
31	Project Name	HOPWA SASH
	Target Area	ALBANY
	Goals Supported	Decent Housing
	Needs Addressed	Affordable Housing Homeless Services
	Funding	HOPWA: \$128,941
	Description	SASH will provide HOPWA related services at AHANA house
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	SASH will provide HOPWA related services at AHANA house for 24 individuals
	Location Description	31 6th St Waterford, New York
	Planned Activities	SASH will provide HOPWA related services at AHANA house
	Project Name	ESG Shelter/prevention/admin

32	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Decent Housing
	Needs Addressed	Homeless Services
	Funding	ESG: \$293,359
	Description	Catholic Charities, St. Annes Intstitute, Interfaith Partnership for the Homeless and St. Peters will provide safe and stable shelter opportunities for the homeless in the City. Emergency Code Blue housing will be provided during the winter months. United Tenants of Albany and The Legal Aid Society will provide homeless prevention services via legal assistance, case management and payment of arrears to avoid eviction. CARES will administer the program
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	1000 homeless or at risk of homelessness will be offered assistance through these programs
	Location Description	throughout the identified target areas of the city
	Planned Activities	Catholic Charities, St. Annes Intstitute, Interfaith Partnership for the Homeless and St. Peters will provide safe and stable shelter opportunities for the homeless in the City. Emergency Code Blue housing will be provided during the winter months. United Tenants of Albany and The Legal Aid Society will provide homeless prevention services via legal assistance, case management and payment of arrears to avoid eviction. CARES will administer the program
33	Project Name	ACDA 2020 in house rehabilitation programs
	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill

	Goals Supported	Decent Housing Neighborhood Revitalization
	Needs Addressed	Affordable Housing improvement of existing structures
	Funding	HOME: \$432,000
	Description	Program funds will be utilized to provide down payment assistance, homeowner rehab assistance, and a Tenant rehabilitation Program for landlords that will agree to rent to low/mod individuals
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	roughly 30 low moderate income families/homeowners will be assisted with funding through these programs
	Location Description	Throughout the City of Albany - with concentration on ACDA's identified target neighborhoods
	Planned Activities	Program funds will be utilized to provide down payment assistance, homeowner rehab assistance, and a Tenant rehabilitation Program for landlords that will agree to rent to low/mod individuals
34	Project Name	CHDO South End Improvement Corp
	Target Area	SOUTH END
	Goals Supported	Decent Housing
	Needs Addressed	Affordable Housing improvement of existing structures
	Funding	HOME: \$30,000
	Description	The South End Improvement Corporation became a certified CHDO in year 45- they are in the process of determining the address/location of their year 46 project - funding will not be fully committed until project location is identified
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	1 low mod income family will be assisted with these funds -

	Location Description	TBD
	Planned Activities	Once a location is determined, the SEIC will utilize funding to rehabilitate a home, and then sell to an eligible family
35	Project Name	Habitat for Hummanity CHDO
	Target Area	Arbor Hill
	Goals Supported	Decent Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$125,000
	Description	Funding will be utilized to assist with building 2 single family homes on Orange St in Albany's Sheridan Hollow
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	2 families will benefit from this new construction
	Location Description	194 and 196 Orange St.
36	Planned Activities	Funding will be utilized to assist with building 2 single family homes on Orange St in Albany's Sheridan Hollow
	Project Name	HOME neighborhood revitalization HIP program
	Target Area	ALBANY
	Goals Supported	Decent Housing
	Needs Addressed	Affordable Housing
	Funding	HOME: \$278,957
	Description	Program funds will be utilized to support larger housing redevelopment programs located in target areas of the City
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	TBD
	Location Description	TBD

	Planned Activities	Program funds will be utilized to support larger housing redevelopment programs located in target areas of the City
37	Project Name	CDBG in House homeowner programs
	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Decent Housing Neighborhood Revitalization
	Needs Addressed	Affordable Housing improvement of existing structures
	Funding	CDBG: \$820,000
	Description	ACDA will utilize these funds to assist with rehabilitation needs of low to moderate income homeowners - programs will include Senior Rehab Program, Rehabilitation Assistance Program and Home Owner Assistance Program, and its homeowner assistance program (HAP)
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	It is estimated that between 25-30 families/homeowners will be assisted by this funding - numbers assisted depends on the individual cost of rehab and downpayment assistance
	Location Description	Activities will take place throughout the City of Albany, with emphasis on its target neighborhoods
	Planned Activities	ACDA will utilize these funds to assist with rehabilitation needs of low to moderate income homeowners - programs will include Senior Rehab Program, Rehabilitation Assistance Program and Home Owner Assistance Program, and its homeowner assistance program (HAP)
38	Project Name	CDBG neighborhood revitalization
	Target Area	North Albany West Hill SOUTH END Arbor Hill Capital Hill

	Goals Supported	Decent Housing Public Services Assistance Neighborhood Revitalization Economic Development
	Needs Addressed	Affordable Housing Homeless Services improvement of existing structures Public Facilities improve infrastructure Public Services Economic development
	Funding	CDBG: \$166,508
	Description	funds will be utilized to support neighborhood revitalization activities in the target areas
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	TBD
	Location Description	TBD - funding will be utilized in ACDA identified target neighborhoods
	Planned Activities	funds will be utilized to support neighborhood revitalization activities in the target areas
39	Project Name	Henry Johnson Blvd Brownfield
	Target Area	Arbor Hill
	Goals Supported	Neighborhood Revitalization
	Needs Addressed	improve infrastructure
	Funding	CDBG: \$15,000
	Description	funding will be utilized for the continued remediation and monitoring of a brownfield site on Henry Johnson Blvd
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	n/a

	Location Description	Henry Johnson Blvd Park, vacant lots on Henry Johnson Blvd between Clinton Ave and First St
	Planned Activities	funding will be utilized for the continued remediation and monitoring of a brownfield site on Henry Johnson Blvd
40	Project Name	HOPWA Damien Center
	Target Area	ALBANY North Albany West Hill SOUTH END Arbor Hill Capital Hill
	Goals Supported	Decent Housing
	Needs Addressed	Affordable Housing Homeless Services
	Funding	HOPWA: \$25,000
	Description	HOPWA services will be provide at the Albany Damien Center
	Target Date	5/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	Damien Center Plans to provide housing for 4 individuals with this funding
	Location Description	728 Madison Ave
	Planned Activities	HOPWA services will be provide at the Albany Damien Center
	Target Date	5/31/2021
41	Project Name	Security Home Maintenance Program /SHIP
	Target Area	SOUTH END
	Goals Supported	Decent Housing
	Needs Addressed	improvement of existing structures
	Funding	CDBG: \$32,000
	Description	SEIC will provide low and moderate income homeowners with maintenance and emergency repairs to their homes. CDBG funds will be used to provide up to \$1,000 in assistance to an owner occupied home
	Target Date	5/31/2021

	Estimate the number and type of families that will benefit from the proposed activities	it is anticipated that this program will serve 24 low mod income homeowners in the South End Neighborhood of Albany
	Location Description	Throughout the south end neighborhood of Albany
	Planned Activities	SEIC will provide low and moderate income homeowners with maintenance and emergency repairs to their homes. CDBG funds will be used to provide up to \$1,000 in assistance to an owner occupied home

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The city has identified two target areas for reinvestment for the next five-years. These target areas were identified through a community assessment process including, census and demographic data, community needs assessment, housing market analysis, and community participation. The City's target areas include, North Albany, West Hill/West End, Arbor Hill, South End and Capital Hill. These target areas represent the highest residential densities, highest concentration of low income residents, highest concentration of subsidized units, highest concentration of poverty, highest number of vacant building and surplus housing units, largest decline in assessed taxable property values and the largest outmigration of residents and family households. Funding will be distributed as equally as possible throughout the target neighborhoods.

Geographic Distribution

Target Area	Percentage of Funds
ALBANY	20
North Albany	20
West Hill	20
SOUTH END	20
Arbor Hill	20
Capital Hill	20

Table 60 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The City of Troy primarily funded activities benefitting census the target neighborhoods which have above average levels of minorities and/or low income residents.

In identifying priorities the City of Albany will use a ranking system to determine needs as low, medium, high, or no need. In ranking needs within the community the City of Albany will take into consideration information from the Needs Assessment, Housing Market Analysis, citizen participation process, and agency consultation. The City will assess the amount of funding available, the target areas within the city with the most need for assistance, and the type of activities that will best address those needs to determine geographical allocations.

The priority ranking system is as follows:

• High Priority: Activities determined as a critical need and will be funded during the Consolidated Plan period.

• Medium Priority: Activities determined to be a moderate need and may be funded during the Consolidated Plan period as funds are available.

ii. Low Priority: Activities determined as a minimal need and are not expected to be funded during the Consolidated Plan period.

iii. No Need: Activities determined as not needed or are being addressed in a manner outside of the Consolidated Plan programs. Funding will not be provided for these activities during the Consolidated Plan period

Discussion

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	0
Special-Needs	0
Total	0

Table 61 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	0
Acquisition of Existing Units	0
Total	0

Table 62 - One Year Goals for Affordable Housing by Support Type

Discussion

AP-60 Public Housing – 91.220(h)

Introduction

Currently AHA has a total of 4,736 families on the Public Housing and Section 8 waiting lists. 51% of the waiting list consists of families with children, 11% are elderly, and 15% are families with disabilities. AHA's Section 8 waiting list has been closed since 2015. We recently closed the Public Housing waiting list to purge applicants who are no longer interested. The combined Public Housing and Section 8 waiting lists show 61% of the persons on the waiting lists are under 30% of AMI.

Most AHA public housing developments are located in impacted census tracts. One of the largest needs that AHA can address on a limited basis is to provide apartments for the large family. AHA has 291 families on its waiting list who need a 4-bedroom or larger apartment. Affordable large units that meet minimum inspection standards are not readily available in the private sector.

Actions planned during the next year to address the needs to public housing

1. Continue to prepare for end of initial compliance period and readiness for refinancing
 2. Explore RAD including Needs Assessment.
 3. Continue to explore disposition of units for Homeownership
-
1. Westview Homes Apply for any grants that become available to rehabilitate the building. Explore Phased 9% and 4% LIHTC projects with RAD including exterior makeover and retrofit designs of the tower, and renovations
-
1. General Planning and Development Initiatives Conduct market studies, meet with prospective partners and watch for funding availability Meet with the City zoning staff as necessary-AHA picked a developer Partner to work with for the next 5 years on strategies for LIHTC projects along with RAD at the public housing sites AHA is working on ways to increase the use of renewable energy and work with the 2019 Governor's renewable energy goals which includes exploring solar for the Authority and tenant paid utilities

-

Actions to encourage public housing residents to become more involved in management and

participate in homeownership

The second key innovation strategy will empower residents in neighborhoods of opportunity to acquire the education, awareness, and employment necessary to advocate for continued stability in their living conditions. Renters will receive education and empowerment through a Renter's Bill of Rights and the guidance of a Housing Services Advocate. Both renters and landlords will be given the opportunity to participate in a Good Neighbor School, with the goal of having City Court Judges mandate attendance for some individuals. Amendments to the ROP program will give the city a greater ability to ensure that all rental units are high quality rental units and build in incentives for the Good Neighbor School. Historically marginalized neighborhoods will receive an influx of city resources through a Deep Dive Block program.

Albany Housing Authority's Resident Participation

-

Residents of Covered Projects with assistance converted to Project Based Vouchers (PBVs) will have the right to establish and operate a resident organization for the purpose of addressing issues related to their living environment and be eligible for resident participation funding. There is a defined grievance procedure made available to the residents. For issues related to tenancy and termination of assistance, PBV program rules require the Project Owner to provide an opportunity for an informal hearing, as outlined in 24 CFR § 982.555. The Project Owner gives residents notice of their ability to request an informal hearing as outlined in 24 CFR § 982.555(c)(1) for informal hearings that will address circumstances that fall outside of the scope of 24 CFR § 982.555(a)(1)(i)-(vi).d. The Project Owner provides opportunity for an informal hearing before an eviction.

Access to Records, Including Requests for Information Related to Evaluation of Demonstration. PHAs and the Project Owner must cooperate with any reasonable HUD request for data to support program evaluation, including but not limited to project financial statements, operating data, choice-mobility utilization, and rehabilitation work.

Choice-Mobility. One of the key features of the PBV program is the mobility component, which provides that if the family has elected to terminate the assisted lease at any time after the first year of occupancy in accordance with program requirements, the PHA must offer the family the opportunity for continued tenant-based rental assistance, in the form of either assistance under the voucher program or other comparable tenant-based rental assistance. If as a result of participation in RAD a significant percentage

of the PHA's HCV program becomes PBV assistance, it is possible for most or all of a PHA's turnover vouchers to be used to assist those RAD PBV families who wish to exercise mobility.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

n/a

Discussion

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

To reduce the average length of emergency housing stays, the community plans to (1) apply for funds to increase the number of RRH beds, (2) coordinate with local housing providers to encourage Housing First policies, and (3) continue participation in the implementation of the Coordinated Entry system. In order to increase the amount of appropriate housing available, the community will again apply for State and HUD funding to support the increase of RRH beds within the district. The RRH program strives to house clients within 30 days and plays a vital role in reducing lengths of stay in emergency housing. In terms of implementing policies to reduce barriers to housing, the community will continue to engage and support the local Continuum of Care's focus to encourage Housing First policies within all programs. Additionally, the CoC will continue to implement the Coordinated Entry (CE) system. The CE system works to reduce length of time homeless by prioritizing those with the longest length of time homeless and connecting them to the most appropriate housing.

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs:

In the community, street outreach is conducted in a manner that allows for quick identification and engagement of all people experiencing unsheltered homelessness. Within the community there are two active Street Outreach teams: Joseph's House & Shelter (Outreach Van) and St Catherine's Center for Children (through Project Connect). Both programs conduct outreach to unsheltered homeless individuals, often who are chronically homeless. Outreach is conducted daily with fluctuating day/evening hours. Outreach staff identify and engage households, obtain emergency housing, and conduct Coordinated Entry assessments. Street outreach services are tailored using a client-centered, trauma informed approach. Street outreach teams focus outreach activities to those that are least likely to request assistance by: hiring staff with lived experience to conduct outreach; determining locations most visited by the unsheltered and building trust over time through consistent engagement. In addition to the above-mentioned street outreach teams, the district also coordinates with local Veteran street outreach. The VA programs, Health Care for Homeless Veterans (HCHV) as well as Supportive Services

for Veteran's Families (SSVF) help veterans in the community who are street homeless. Street Outreach teams and VA program staff connect those who are unsheltered with VA services, and drop-in centers/warming stations, such as the Interfaith Partnership for the Homeless Safe Haven. Street Outreach teams and VA program staff also have a relationship with County Mental Health who administers the Assertive Community Treatment program (ACT). The ACT team is a multidisciplinary team that provides street outreach and individualized services to each client.

Addressing the emergency shelter and transitional housing needs of homeless persons

For persons not eligible for Permanent Supportive Housing which requires a HUD defined disabling condition, these persons are connected to Affordable Housing options and referrals are made by staff to the Albany Housing Authority (AHA) and other affordable housing providers serving the community. These other housing options may include the Community Builders, Catholic Charities Housing Organization, Home Leasing, Housing Visions, Beacon Communities, Norstar Development, and Winn Companies. Persons are also connected with needed support services such as health, mental health, vocational, employment and other client driven identified needs.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

housing are overcome. As a result of this system, clients can be connected to appropriate services at any of the 31 participating programs, as opposed to navigating the service system themselves, and are being housed more quickly. The ACCH wants to grow collaboration, such as this, to ensure the system is as effective and efficient as possible.?

Preventing homelessness means that families and individuals have the resources they need to maintain stable housing. Prevention is successful when the number of households who become homeless for the first time and when the returns to homelessness decrease. Prevention is an important step in addressing

homelessness, as it reduces the number of individuals and families who reach or who return to the homelessness system. It is also cost effective to focus on prevention solutions to addressing homelessness. As stated above, according to the Homeless and Travelers Aid Society, 30 days in an emergency homeless shelter for a single cost approximately \$135 a night on average (although it varies based on the shelter), which totals \$4,050 every 30 days. Prevention services frequently total \$1,000 or less. In addition, a prevention focus is supported by the County. The Albany County DSS Commissioner has placed a priority on utilizing available benefits and programs and working with community providers to divert people from homelessness, resulting in a reduction in the need for shelter placement. ACCH will work to prevent homelessness by increasing the amount of prevention funding homeless service providers have to support clients with court mediation, arrears, and utility payments (Strategy #1). ACCH will also prevent homelessness by raising awareness of educational resources for providers and those at-risk of losing their housing, such as tenants' rights and responsibilities and financial management skills (Strategy #2). During consumer focus groups it was made clear that one of the challenges households face when they first thought they may become homeless is knowing where to access resources. Families and individuals reported that it took the experience of being homeless to become aware of provider resources. ACCH would like to focus efforts on promoting prevention and homeless resources to community members at large to help those who are at-risk of becoming homeless access these early on.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The Albany Continuum of Care (CoC) implements strategies from its Albany County Strategic Plan to Prevent, Reduce, and Combat Homelessness to prevent households from becoming homelessness. These strategies were selected by the community and are (1) increase resources to prevent at-risk individuals and families from entering homelessness, (2) prevent discharges to homelessness from a variety of systems whenever possible, and (3) ensure the community is rich with quality affordable housing and employment opportunities, preventing additional need for homelessness resources.

Specifically, the CoC works to:

- Expand upon existing eviction prevention funding to serve additional households.
- Support community partners (i.e. food pantries, faith-based organizations, Health Homes) to identify households at-risk of becoming homeless and connect them with necessary funding and

services, thereby reducing evictions.

- Improve coordination and discharge planning for clients leaving jails/prisons, mental health facilities, hospitals, and the foster care system by increasing coordination with these systems. DSS has been committed to improving discharge planning from these systems by participating in ongoing workgroups and planning sessions led by the CoC.
- Support the development of affordable housing by building relationships with affordable housing developers and supporting applications for funding.
- Continue referral of homeless clients from DSS to the One-Stop Center for employment services; and participate in ongoing conversations with the Continuum of Care to identify how to better partner with workforce development programs, creating more direct links for homeless clients with employment programming.

Discussion

AP-70 HOPWA Goals - 91.220 (I)(3)

One year goals for the number of households to be provided housing through the use of HOPWA for:	
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	9
Tenant-based rental assistance	52
Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds	27
Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds	19
Total	107

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

Various elements can create barriers to affordable housing including negative effects of public policy as well as national, regional, and local housing market conditions. The City of Albany will continue to re-evaluate policies to ensure they do not interfere with affordable housing efforts. The City will also update their Analysis of Impediments to Fair Housing Choice which will include a complete evaluation and analysis of administrative policies and zoning codes.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The City of Albany Housing Affordability Task Force was convened by Mayor Kathy M. Sheehan on April 20, 2016. Made up of landlords, tenants, community and government leaders, the Task Force's goal is to study the issue of affordable housing in the City of Albany, assess the demand for and supply of it, and explore ways the City of Albany can protect existing opportunities for quality, stable, and affordable housing for city residents and extend those opportunities to underserved communities. The Task Force is called upon to release a report twice each year containing recommendations for the Mayor and Common Council concerning affordable housing in our City. The task force provides an opportunity for landlords, tenants, housing organizations and city residents to participate in workshops, public meetings and to provide input as to the state of affordable housing in the City. Information on the task force and its reports can be found

here: <https://www.albanyny.gov/Government/MayorsOffice/HousingAffordabilityTaskForce.aspx>

In 2017, the City of Albany adopted the Unified Sustainable Development Ordinance (USDO) to regulate land use and development within the City and promote sustainable and equitable economic development. As part of the USDO, Section 375-4(A)(4)(b) states that: "each new residential or mixed-use development or redevelopment containing 50 or more new dwelling units shall sell or rent at least five percent of its new dwelling units at sales or prices affordable to persons earning no more than 100 percent of the area median household income for the City of Albany, as determined by affordability methods used by the U.S. Department of Housing and Urban Development."

Discussion:

AP-85 Other Actions – 91.220(k)

Introduction:

There are various elements that produce obstacles to meeting need within the community. Addressing all housing, homeless, and community developments needs is a difficult task. The City utilizes all possible resources and continues to seek leveraging sources to meet as many underserved needs as possible. The current housing market and economic environment also serve as barriers to meeting needs.

Actions planned to address obstacles to meeting underserved needs

The City utilizes all possible resources and continues to seek leveraging sources to meet as many underserved needs as possible.

Actions planned to foster and maintain affordable housing

The City of Albany Housing Affordability Task Force was convened by Mayor Kathy M. Sheehan on April 20, 2016. Made up of landlords, tenants, community and government leaders, the Task Force's goal is to study the issue of affordable housing in the City of Albany, assess the demand for and supply of it, and explore ways the City of Albany can protect existing opportunities for quality, stable, and affordable housing for city residents and extend those opportunities to underserved communities. The Task Force is called upon to release a report twice each year containing recommendations for the Mayor and Common Council concerning affordable housing in our City. The task force provides an opportunity for landlords, tenants, housing organizations and city residents to participate in workshops, public meetings and to provide input as to the state of affordable housing in the City. Information on the task force and its reports can be found

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The City of Albany will also continue to administer its in house programs that will assist low/mod income homebuyers and homeowners with purchasing and maintaining their homes. These programs include:

Home Acquisition Program:The Home Acquisition Program (HAP) assists low-income households to purchase, and

Home Owner Assistance Program: The goal of the Home Owner Assistance Program (HOAP) is to provide assistance to low-income homeowners for the moderate rehabilitation of their owner-occupied 1 to 4 unit buildings to meet local housing quality standards and codes. Eligible applicants are low-income homeowners residing in the City of Albany and residing in the property to rehabilitate.

Senior Rehabilitation Program (SRP) and Rehabilitation Assistance Program: This program designed by the Albany Community Development Agency (ACDA) to provide substantial repair to

housing units, owned by persons over the age of 62 or to low income homeowners, to eliminate conditions that pose a threat to the health, safety and welfare of their occupants. SRP, provides financial assistance in the form of a grant.

Actions planned to reduce lead-based paint hazards

The City of Albany will submit a Lead Hazard control application to HUD when the request for funding is announced. The City continues to aggressively identify lead hazards in all of its rehabilitation projects and to provide control in compliance with HUD regulations. With its most recent lead hazard control grant, the City of Albany will provide 165 units of lead safe housing units. The program serves privately owned housing, mostly 1-4 dwelling units that are available and affordable to low income households. The first priority for assistance will be for dwellings occupied by children under the age of six years old.

Actions planned to reduce the number of poverty-level families

The City of Albany is committed to eliminating the effects of poverty among its residents for those who fall on hard times, those who through no fault of their own find it difficult to care for themselves, and those especially at risk; single mothers, children, disabled, low income and the elderly. City officials recognize that it may not be possible to end poverty all together, but is dedicated none the less to better understanding its causes and finding a way to reverse the cycle of privation. Moreover, the limited funding available is eclipsed by the need for affordable housing, daycare, job training, life skills, health care, nutrition, education, stable neighborhoods, and access to basic services. Even more, what is discouraging is that poverty rates have remained stagnant or in many instances have increased at all levels of poverty, suggesting that programs aimed at the low-income populations have failed to substantially reduce income inadequacy. What this suggests is that the city needs to look at more effective ways to diminish the effects of poverty. Programs and projects that have a broader impact on beneficiaries and their environment as a whole will be the City's anti-poverty strategy. Programs and projects that connect beneficiaries to their neighborhood and community through targeted physical neighborhood infrastructure improvements will have a greater impact on upward mobility than direct benefit programs. The City will also aim to eliminate effects of unstable neighborhoods where isolation among its residents exist. A great deal of research indicates that various forms of social isolation have damaging effects on health and social development. Projects and programs that create and promote social connectedness will allow residents, families, and children to interact within public spaces, recreational areas, and among other homeowners. These programs will help to build self-esteem, selfcontrol, confidence, good decision making, and general social well-being among residents in targeted neighborhoods. These outcomes will help to eliminate poverty at a higher level by allowing low income people better opportunities in education and jobs.

The City will continue to carry out goals and objectives established within the Consolidated Plan to assist in reducing poverty. The overarching goal of the plan to benefit the greatest number of people to the

greatest extent possible will resonate throughout the anti-poverty strategy. A comprehensive plan of infrastructure improvements and social design will include sidewalk replacement, street paving, public safety improvements, streetscape improvements, vacant building demolition, park and public space improvements, homebuyer incentive programs, and neighborhood resident awareness outreach initiatives. These improvements will provide the basic public goods that neighborhoods and its residents need in order to increase their opportunity for upward mobility, access to public services, transportation, job and social connectedness.

Actions planned to develop institutional structure

The City of Albany is the local administrator of CDBG, HOME, HOPWA and ESG entitlement grant funding. The agency contracts with CARES Inc., to administer HOPWA funding and starting in year 44, ACDA began to contract with CARES to administer its ESG funding. Each year, the ACDA awards entitlement grant funding to local non-profit service providers through a competitive request for proposals process. Applications are reviewed for compliance with HUD regulations, for consistency with needs identified in the Consolidated Plan, past performance with entitlement awards (if applicable), capacity and proposed performance goals.

Remaining grant funding supports the agencies homeownership, rehabilitation and economic development funding, direct delivery of services and administration. The City will continue to pursue linkages with private industry and philanthropic groups to improve Albany's marginal neighborhoods

Actions planned to enhance coordination between public and private housing and social service agencies

As designated by the Mayor and authorized by the Common Council of The City of Albany; the Albany Community Development Agency (ACDA) is the Lead Agency responsible for development of the Consolidated Plan. The Agency has administered the Community Development Block Grant (CDBG) for the past 45 Years. The Agency is a public agency under the Department of Development and Planning. The governing board of the Agency consists of the Mayor, City Treasurer, City Clerk, Commissioner of Administrative Services, President of the Common Council, the City's Corporation Counsel and the Agency Director.

Public Sector

The City's Housing and Community Development Department has evolved into a key housing agency,

and it is essential that the City foster and maintain partnerships with other public agencies for the successful delivery of its housing and community development programs. The City will coordinate with the following public agencies when carrying out its Consolidated Plan.

- Department of General Services;
- City of Albany Planning Department
- Department of Youth and Workforce Services
- Department of codes and regulatory compliance
- Albany County Department of Social Services
- Albany County Health Department
- Albany Housing Authority

The City continues to support several not for profit organizations throughout the City that are instrumental in meeting the needs of Albany's residents. These organizations play a key role in delivering services to the public and providing programs essential to the community such as homeless services, youth programs, domestic violence assistance, and special needs services.

Discussion:

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction:

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	95.00%

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.220(l)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is

as follows:

n/a

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

To ensure affordability and secure HUD HOME Program funds invested in a homebuyer unit, the Albany Community Development Agency (ACDA) will impose either resale or recapture requirements. The ACDA and HOME assisted CHDO's funded by the ACDA that produce homebuyer units will establish the resale or recapture requirements that comply with HUD HOME program regulations at 24 CFR § 92.254 Qualification as Affordable Housing: Homeownership, specifically 24 CFR 92.254(a) (5), for each program budgeted with HOME funds.

Resale

Resale provisions must ensure, if the housing does not continue to be the principal residence of the family for the duration of the period of affordability that the housing is made available for subsequent purchase only to a buyer whose family qualifies as a low-income family and will use the property as its principal residence. The resale requirement must also ensure that the price at resale provides the original HOME assisted owner a fair return on investment (inc. homeowner's investment and any capital improvement) and ensure that the housing will remain affordable to a reasonable range of low-income homebuyers. The period of affordability is based on the total amount of HOME funds invested in the housing.

The City of Albany CHDO's may use deed restrictions, covenants running with the land, or other similar mechanisms to impose the resale requirements. The affordability restrictions may terminate upon occurrence of any of the following termination events: foreclosure, transfer in lieu of foreclosure or assignment of an FHA insured mortgage to HUD. CHDO's may also use purchase options, rights of first refusal or other preemptive rights to purchase the housing before foreclosure to preserve affordability. The affordability restrictions shall be revived according to the original terms if, during the original affordability period, the owner of record before the termination event, obtains an ownership interest in the housing

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired

with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Recapture provisions must ensure that ACDA and CHDO's recoup all or a portion of the HOME assistance that was given to the homebuyers, if the housing does not continue to be the principal residence of the family for the duration of the period of affordability. ACDA and CHDO's may structure their recapture provisions based on their specific program design and market conditions. The period of affordability is based upon the total amount of HOME funds subject to recapture described in 24 CFR 92.254 (a)(5)(ii)(A)(5). ACDA and CHDO's may adopt, modify or develop their own recapture requirements for HUD approval. ACDA and CHDO's are subject to the limitation that when the recapture requirement is triggered by a sale (voluntary or involuntary) of the housing unit, and there are no net proceeds or the net proceeds are insufficient to repay the HOME investment due, they can only recapture the net proceeds, if any. The net proceeds are the sales price minus superior loan repayment (other than HOME funds) and any closing costs.

Amount Subject to Recapture

The HOME investment that is subject to recapture for each respective program is based on the amount of HOME assistance that enabled the homebuyer to buy the dwelling unit. This includes any HOME assistance that reduced the purchase price from fair market value to an affordable price, but excludes the amount between the cost of producing the unit and the market value of the property (i.e., the development subsidy). ACDA and CHDO's will re-allocate the recaptured funds to carry out HOME-eligible activities. If the HOME assistance is allocated only for the development subsidy, this is not subject to recapture and the resale provision must be used

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

not applicable

Emergency Solutions Grant (ESG) Reference 91.220(l)(4)

1. Include written standards for providing ESG assistance (may include as attachment)

All agencies providing a service (i.e. prevention) will use the same eligibility determination form and will be required to collect the same supporting documentation to prove eligibility. Below is a

summary of the eligibility criteria for Homelessness Prevention and Rapid Rehousing.

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The applicant must fully cooperate in an initial determination of basic program eligibility at which time the following must be verified:

1. The household is a resident of the City of Albany
2. The household has a combined income below 30% of area median income
3. The household lacks identifiable financial resources and/or support networks
4. The household has documented proof of housing status as outlined below

Required Documentation for Homelessness Prevention Assistance—

- Copy of eviction notice from landlord/property manager of unit or court order based on eviction action that notifies the applicant they must leave AND of lease naming applicant as leaser
- Copy of notice indicating building in which applicant is renting or otherwise residing is being foreclosed on AND copy of lease naming applicant as leaseholder
- Copy of eviction letter from host family or friend who owns or rents the housing that notifies the applicant that they must leave AND copy of lease naming host family/friend as leaseholder
- Copy of utility shut off notice from utility company or notice of service denial.
- Copy of notice from landlord/property manager, public health, code enforcement, fire marshal, child welfare or other government entity that housing is condemned and copy of lease naming applicant as leaseholder
- Copy of written statement from hospital or other institution

Required Documentation for Rapid Rehousing Assistance—

- Client must show proof of literal homelessness including but not limited to: A verifiable shelter letter; Self-declaration of street homelessness.

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Additional Criteria for Financial Assistance for Homelessness Prevention and Rapid Rehousing

Applicants for short- or medium-term rental assistance must be assessed as having reasonable potential to maintain housing independently upon termination of the rental assistance, by meeting

one or more of the following criteria.

- Are currently employed full or part-time and reasonably expected to maintain or improve their income level.
- Have a recent attachment to the workforce, defined as having worked full-time at least six months in the last two years prior to the application for rental assistance.
- Have specific job or vocational skills that are immediately marketable and reasonably expected to result in obtaining employment.
- Are currently in receipt of or potentially eligible and willing to apply for SSI/SSDI, Veterans Administration or other similar benefits, that upon receipt would improve their income level.
- Are on a waiting list for and reasonably expected to obtain, within the program time-limits, subsidized housing under another program.
- Are in receipt of temporary assistance benefits through Albany County, are actively engaged in a specific job or skill training program, and are in full-compliance with their Department-mandated employment plan.

In addition, all applicants must apply for all financial benefit programs for which they appear eligible (ex. Temporary Assistance, Medicaid, Food Stamps, SSI/SSDI, veterans benefits etc.).

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

Since May 2014 the Albany CoC has worked to design and establish a coordinated assessment process that prioritizes applicants for homeless services. The Albany CoC Systems committee was tasked with the development of the coordinated assessment system. The system is open and promotes efficient communication among service providers within the community. The committee chose a “no wrong door” system which means all participating homeless service providers are an access point for services. Households that enter any of the access points will complete initial assessments. Initial assessments are conducted by agency front line staff (i.e. intake specialists, case managers, social workers, etc.) using a standardized assessment tool. This assessment tool is designed to assess housing needs and vulnerability to identify eligibility and prioritize those most vulnerable. Each household is assessed based on specified criteria that the Albany CoC met and agreed upon.

Coordination of client centered service referrals and assignments is conducted by front line staff and the Coordinated Assessment Coordinator. The Coordinated Assessment Coordinator guides the system and tracks each household’s movement through the system. The Coordinator is primarily responsible for: organizing and reporting vacancies and anticipated vacancies, reporting the number of homeless households that enter the homeless system, reporting the number of homeless households eligible for permanent supportive housing, reporting permanent supportive housing

referral options by client, reporting acceptance/denials by permanent supportive housing providers, and reporting eligible households whom become inactive.

The Albany coordinated assessment system includes: Department of Social Services, the ESG Shelters, CoC funded and non-funded entities.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

The following allocation parameters were utilized by the City of Albany in the process for making sub-awards for ESG funding: no more than 50 percent of the ESG funding less Administrative Costs and subsequently HMIS costs, were obligated for emergency shelter and/or outreach services. Of the remaining funds a minimum of 75 percent were obligated to services for homeless prevention. Of the remaining funds a maximum of 25 percent was obligated for rapid rehousing. The City worked closely with the Continuum of Care (CoC) Systems Committee to outline the parameters above as well as to collaborate on a review process in order for funding recommendations to be made. Together the CoC and City enlisted knowledgeable and objective community representatives to review the applications received by the City. The reviews' recommendations were adopted by the committee on behalf of the CoC and presented to the City. Like-minded recommendations were made by the City and funding allocations aligned with the collaborative recommendations were made.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

n/a

5. Describe performance standards for evaluating ESG.

The performance standards for evaluating ESG are based on the intended purpose of the service type and the expected outcome of fulfilling that purpose. The City of Albany collaborates with the Albany County Continuum of Care to set and evaluate required performance standards per ESG activity. Emergency shelter services are intended to reduce the rates of homelessness with the expected outcome that a minimum of 30% of households exit to permanent housing destinations. Street outreach activities are intended to expand outreach and services to homeless persons and expected to provide services to at least 10% more households than were reached the previous year. Homelessness prevention services seek to reduce the number of homeless households that seek emergency shelter. This is evaluated by the expected outcomes that there will be a minimum 20% increase in diversions for homeless households within the City of Albany and that at least 80% of households served will maintain permanent housing for 90 days after discharge. Rapid Rehousing services are intended to reduce the length of homelessness with the outcome expected that at least

80% of households served will be placed in permanent housing within 60 days and at least 80% of households served will maintain permanent housing for 90 days after discharge. The Homeless Management Information System is intended to increase and maintain the data quality of the ESG programs within HMIS with the expectation that no more than 5% miss or null data is required for all required fields.

Attachments

Citizen Participation Comments

Survey Responses

Priorities Identified by the Community

Permanent Housing Needs:

1. **Abandoned/vacant unit assistance – 74% responded as high priority**
2. **Construction of affordable rental units – 68% responded as high priority**
3. **Owner-occupied housing rehabilitation - 62% responded as high priority**
4. **Rental Assistance (rent subsidies, security deposits, etc.) - 51% responded as high priority**

Comments:

1. Housing for senior LGBTQ persons
2. Prioritize rehab instead of new built.
3. A mix of low-middle housing--combination of homes, apartments. Greater access to financing options. Increase in senior housing
4. Cohousing for Senior Citizens
5. Money should be invested into new businesses and safe affordable parking for those who want to visit downtown albany/arbor hill.
6. The focus needs to be on reuse of existing when possible AND on ultra low energy so affordable is for the whole life of the project.
7. Tear down Central Warehouse
8. Prevent middle/upper class suburban flight and keep up the tax base.
9. Please invest in exiting housing stock and rehab of beautiful, but neglected homes
10. Rehabilitation of buildings for rental housing.
11. Please don't equate disabled with senior. There are disabled people of all ages at all economic levels. All housing in all price ranges must be accessible. We must copy laws in Atlanta and Austin requiring all residential construction to be adaptable and visitable. There are centuries of inaccessibility and thoughtlessness in architecture to be remedied. Can you renovate your home to meet your needs if they change

Homeless Housing Facility Needs:

1. **Transitional housing - for homeless families w/children – 86.67% responded as high priority**
2. **Emergency Shelter - for homeless families w/children – 76.53% responded as high priority**

Comments:

1. Transitional housing for families and individuals
2. Permanent housing for the homeless
3. Homeless women's transitional housing
4. Transitional housing for Teenagers
5. Emergency shelter and transitional housing for victims of domestic violence should also permit their pets
6. Housing with DIGNITY
7. Permanent supportive housing for those who have experienced homelessness
8. Especially: very low demand shelter to accommodate persons with disabilities and those least likely to engage in formal program requirements
9. I probably don't know enough about this subject. I trust the City will decide best.

10. Victim's of domestic violence are most frequently women, many with children. Equinox has fine programs, but they only have so much capacity.
11. Youth Shelters are a big need.
12. People with disabilities are totally out of luck when it comes to disaster relief in our region. There is only one accessible shelter, and they do not accept families or animals. If my apartment building burned to the ground, there is no place to go I can count on.

Public Service needs:

1. **Childcare Services – 82.29% responded as high priority**
2. **Education – 64.52% responded as high priority**
3. **Homeless Prevention – 61.86% responded as high priority**
4. **Transportation for seniors– 76.92% responded as high priority**

Comments:

1. The urban areas should be high priority for all especially education on how to live better despite the area you reside in.
2. Bike infrastructure in low income neighborhoods
3. Non-car transportation improvements - free transit, safer bike lanes, sidewalks that don't flood + force people to walk in the road.

Non-/housing Community Development Needs:

1. **Sidewalks and accessible ramps/bike infrastructure – 61.46 % responded as high priority**
2. **Curbs and storm water drainage improvements– 61.46 % responded as high priority**
3. **Infrastructure extensions (sewer, water etc.) – 58.33% responded as high priority**

Comments:

1. Shared space can be used by youth, seniors, etc.
2. St. Joesph's church should be turned into a museum/community planning office for Arbor Hill. That office should organize bi monthly farmer's market/ street vendors in the Ten Broeck triangle in appropriate seasons. Non historic condemned houses should be torn down for safe affordable parking for new businesses trying to open up on Swan street or the potential markets.
3. Transit systems/multi-modal (not transportation) to expand "freedom" i.e. reach to jobs, childcare, healthcare, education, etc.
4. Non-car transportation improvements - free transit, safer bike lanes, sidewalks that don't flood + force people to walk in the road.
5. I'm impressed with City's dedication to improving the resident's quality of life through infrastructure upgrade projects. Thank you for updating the parks and working on the CSO separation.
6. The recent nobel prize winners in economics have pretty much demonstrated that value of micro assistance is pretty much a myth
7. Road improvement eg: Brevator Street, sidewalks, traffic calming, bike lanes.
8. It should be a high priority of the City to provide access to affordable and high quality fresh food in those areas of the City that are currently lacking.

Funding Priorities from the Community

1. Funding for rehab and stabilizing deteriorating buildings.
2. If we had milled and repaved streets in Albany (not just patch that will degrade in 2 months and wait 6 months to be refilled, again and again), it would go a long way toward making the city feel like a good place. Also, we need to bring back civics and "we're all in this together, let's all do our part" in fixing this place up. I'd like to see a civics campaign where people are encouraged to pick up the garbage in their part of the world and have volunteer opportunities in the city where people can donate time to paint and beautify rundown buildings and places. If we all do our part and help people who need help, we can make this the great city it should be! Make it a city website/facebook/twitter campaign. The exempt property in this city needs to stop being let off the hook with contributing money. Think of how much good

that money could do-help historically marginalized neighborhoods, in particular. We'd all do better if everyone was doing better.

3. Developing services that can be "flexed" for our changing environment, funding, economy, etc.
4. Help train trustworthy individuals to help seniors stay in their homes....Pay them enough so they won't steal from people they serve.
5. I think the question above about housing does not appropriately identify the need for accessible housing for ALL ages. It is not just seniors or elders who require accessible housing. Accessible housing must be available everywhere. Further, I think small business assistance should be limited to accessibility improvement. Improvements to building facades do not address the more urgent need of disabled people to be able to access small business services in our neighborhoods.
6. New businesses on Swan Street and safe Parking in Arbor Hill. Private investors seem to be investing a lot into the housing in that area. Though keeping houses affordable is still important. St. Joseph's church should be turned into a museum of local history/ community planning center for Arbor Hill. Said community planning center should organize street vendors and farmers market in the ten broeck triangle bi monthly in appropriate seasons. Affordable grocery store/ pharmacy is also in dire need in Arbor Hill. An Aldi's which is affordable for locals with lower income would be ideal. Business growth cannot happen without safe parking. Non-historic condemned houses (built after 1930s) would be a good option to tear down for parking.
7. Definitely updating the infrastructure of the sanitary sewers, storm sewers, water lines which so often break during heavy rains or cold weather, especially in neighborhoods already known to have flooding problems.
8. In order to keep order, our youth MUST be presented with structured programs that incorporate education with skills and recreation. Our city runs on its youth. When we are no longer "youthful" we need housing especially LOW to Moderate income levels for all of our citizens and society. When we are older, the housing is a priority. Many seniors cannot cope with rising housing costs on their social security checks, especially if they have become disabled. We do not have enough decent curb space in our city to simply put our seniors out on the curb because they can't get funding for accessible housing. Many of our seniors still have viable skills to offer our "youngins" whether it be coaching or teaching on a part time basis, or advising committees on not repeating our mistakes from the past- before their time. We must learn from such a resource if we are ever to progress. Many of our elders become discouraged when they view teens as being violent, "out of control", etc. Let the elders take them on by showing today's teens different ways of accomplishing their goals. In other words, take the frustration out of their teen aged years. The kids act out when they have no vision. Our seniors can help with that. Many seniors had farms in the days past, they know how to make things happen- by doing it themselves. Teens today may have the feeling they don't know where to start. Frustration= violence! How many seniors want to learn the internet and how to safely use it? Ask a teen- who better?? Even people with disabilities can assist!!
- 9.
10. Safe Spaces Innovation Centers Dropout Abatement Mentoring Conflict Resolution Cultural Amenities
11. Provide permanent housing assistance without the financial barriers that currently exist with the Land Bank.
12. Funding for skills/trade learning.
13. Located in the Albany Area Near Business sites such as the Albany Housing Coalition located at 278 Clinton avenue on and near Lark street. Also Location of the site where The Sargent Henry Johnson Veterans House.
14. ADA accessibility and improvement Preservation and rehabilitation of historic buildings/housing (should be prioritized over new development)
15. Make Albany more walkable so invest in sidewalks. Invest in public transportation, especially the Albany Bus Station area. Invest in supporting housing for all and homeownership. Invest in any climate change related infrastructure. Invest in supporting education for all. Invest in our great green spaces like Tivoli!
16. Social equity, social safety networks, and sustainability/resilience need to be approached together for the best results for all. Please ensure that all work is informed by greenhouse gas emissions reduction goals as well as moving to all electric (no gas stoves, please) to protect societal future health and personal present and future health in homes/apartments/shelters. Albany has a rich fabric of buildings and infrastructure. Revitalizing and re-using existing buildings should be prioritized over building new when possible. Yes this is more difficult and can be more costly up-front, and it will create a more robust, connected, and resilient community-based solution for the long-term.
17. "Making every neighborhood work" means different priorities for different neighborhoods. I am especially interested in reducing neighborhood blight by reducing the number of abandoned buildings and increasing the amount of safe, affordable housing in the city.
18. Vacant housing and decaying properties is a stain on this city and needs to be addressed. Also, and I don't know if this is the correct forum but it bears mentioning; the litter in the city has become problematic. If we could troubleshoot ways to keep our streets and sidewalks more clean, that would be a valuable discussion.
19. I need help finding affordable housing for rent.
20. Rehabbing vacant livable city residences - getting rid of the big red X's. Can't believe fire department can't use a smaller non-colored signs to highlight empty buildings. They bring the whole city down!

21. I think the HUD money should be focused on things that the city isn't already providing. For example, the city does a good job with infrastructure, as well as parks and recreation. Private investors are transforming former commercial spaces. We have a number of non-profit organizations in Albany that assist with some of these needs, but they struggle and additional funding might enable them to have a broader outreach. I'd like to see the city help those programs and not try to re-invent the wheel.
22. Affordable housing and storm water infrastructure are critical investments that need to be made. Services provided to low-and moderate-income residents by CBOs are critical for poverty alleviation and prevention.
23. Housing assistance and supported activities for teens are the two most vially needed resources in the the city.
24. Attention to areas where there are high concentrations of vacant and unsafe structures/buildings. Replacing crosswalks in low income neighborhoods
25. Making inner city neighborhoods more livable. People should have the same institutions in their community as in the suburbs. Health centers, parks, play grounds, mixed age and ethnic made up affordable housing. Fresh food /supermarkets, learning/ educational centers. There needs to be a public/private relationship in funding these goals.
26. Multi-use buildings for Homeless female veterans with children. There is not a facility of such in the capital district where a female veteran with children can stay in a transitional housing and get the services that may be needed for her and her family. A multi-use facility in the capital district that's close proximity to the VA. The bottom floor would be used for offices, like employment searches, counseling for mental health, and substance abuse. The facility also should include a day care and an in house laundry facility. The second floor will be used for one bedroom apartment. I feel that this would be a good use of federal money. Thank you.
27. Financial literacy and health literacy training.
28. ACDA is an outstanding organization that doesn't get enough recognition. The work you do each day has helped various organizations fulfill their mission, empower residents, and create innovative opportunities for jobseekers. Stay encouraged!
29. There are many seniors experiencing food insecurity in silence, which contributes to debilitating health. Isolation, lack of access to available resources, and diminishing mobility can make the golden years a horror story. A diabetic who can't perform proper foot care. Dental and vision care available but no transportation. Dentures delayed which causes gastrointestinal issues.
30. Improved infastructure especially in low income neighborhoods
31. There is a lot of housing stock in Albany that needs to be rehabbed into quality housing. Housing stability is a huge part of better outcomes for individuals and the whole community. We also have a huge need for youth activity centers - esp. places for teens and tweens to go after school now that there are no YMCAs and the community centers are often reserved for young kids after school.
- 32.
33. A significant area of focus should be on providing access to high quality and affordable fresh food. There are far too many neighborhoods where residents cannot easily walk to buy groceries to sustain themselves and their family.
34. Development! I live in southern Delaware ave and there is a plaza that's 75% vacant. Historical promotion and preservation. Albany has a lot of history. More events and organizations to promote that in a fun way- with music, food, drinks, e.t.c
35. Back in the 1990s when I was the housing advocate for the Capital District Center for Independence, one of the most frustrating and heartbreaking phone calls I got all too frequently was, "I just acquired a new disability and need to move to an accessible place now." Some of them needed rental assistance, but many did not. Property developers and building departments never think of accessibility unless it involves a project that is specifically for "those people." They never imagine that could mean just about anybody, including themselves. Until the building codes were updated in the 1990s, accessibility was never considered for any residential property. Most of the stuff that's available today is not accessible and logistically cannot be modified to meet changing needs. This thinking must be changed. Adaptability must become standard in all housing construction so that when a homeowner or renter acquires a disability and needs to make modifications, doing so will be cheap and easy. All people should have the freedom of choice to move anywhere at any time.
36. Albany has a few projects from what I see in line already and grateful. I think money's would be spent well creating a sustainable community that requires the community up keep and actions that love to pride and positive personality and community building . So the neighborhood would have sidewalk sweep, attentive gardens and lawn keep building upkeep shops to provide needs of community that has more healthy options , positive individuals demonstrating love and care of self and surroundings . Trades to support upkeep~ Everyone chipping in . Basically the money earned has a multi purpose - and the rehabilitation is not only of physical spaces but hopefully touching. Hearts of the humans is the space assuring them . I wish this for all neighborhoods
37. This money should be spent on infrastructure improvements, especially in poorly served neighborhoods and especially to enable walking and biking. This money should be spent on affordable PUBLICLY OWNED housing. This money should not be spent on handouts like homebuyer assistance or rental assistance or especially assistance to developers. We've

seen repeatedly with efforts to do handouts (like we allow the IDA to do time and time again) that they don't work and they won't bring meaningful, lasting change for our neighborhoods.

38. IF Albany chooses to use funds for existing programs CBOs already offer, the money should go towards the work already being done by the CBOs. Examples include: community centers, youth programs, senior centers, job training. We have so many of these already happening, they just need support. Priorities for me are to incentive transportation modes other than cars. We are in a climate emergency and need to act like it. Car emissions continue to increase, and electric vehicles are NOT the solution (consider the resources and CO2 emissions from the manufacturing process). When public transportation takes twice as long as a car, it's an injustice.
39. We definitely need senior/persons with a disabling condition housing units located within easy distance to public transportation. Most senior apartments are located outside of the city, with limited, or no access to public transportation, thus making seniors feel like they are confined to their apartments. And, even though there are many senior living complexes outside of the city, these apartments are not constructed for people who are visibly impaired or mobility impaired - need for wide doorways, low cabinets, keyless locks, walk-in showers and baths, etc. And, as we age, the demand for these types of housing units will continue to grow, so we must act now to meet the near-future demand/necessity for senior and/or persons with a disability needs for a specific type of apartment
40. Please use funds to develop new community centers in LMI neighborhoods. The city's current community centers were not designed to adequately serve the needs of the community.
41. On going WMBE property and real estate empowerment to inspiring new visionary developers in the community.
42. Help Property owners buy vacant lots near their Property. Waive liens and unpaid bill placed on lots and homes and buildings of previous owners so that the lots and homes and buildings can become available to new buyers so local Property owners are empowered to revitalize their surrounding area. CUT THE BRANCHES OFF TREES BY THE CURBS.




Comments from Public Hearing:

CITY OF ALBANY IDEA GENERATION

of Home Owners _____
of Tenants _____

CITIES RISE | Cities for Responsible Investment and Strategic Enforcement

Help us learn and understand issues of concern for your community.

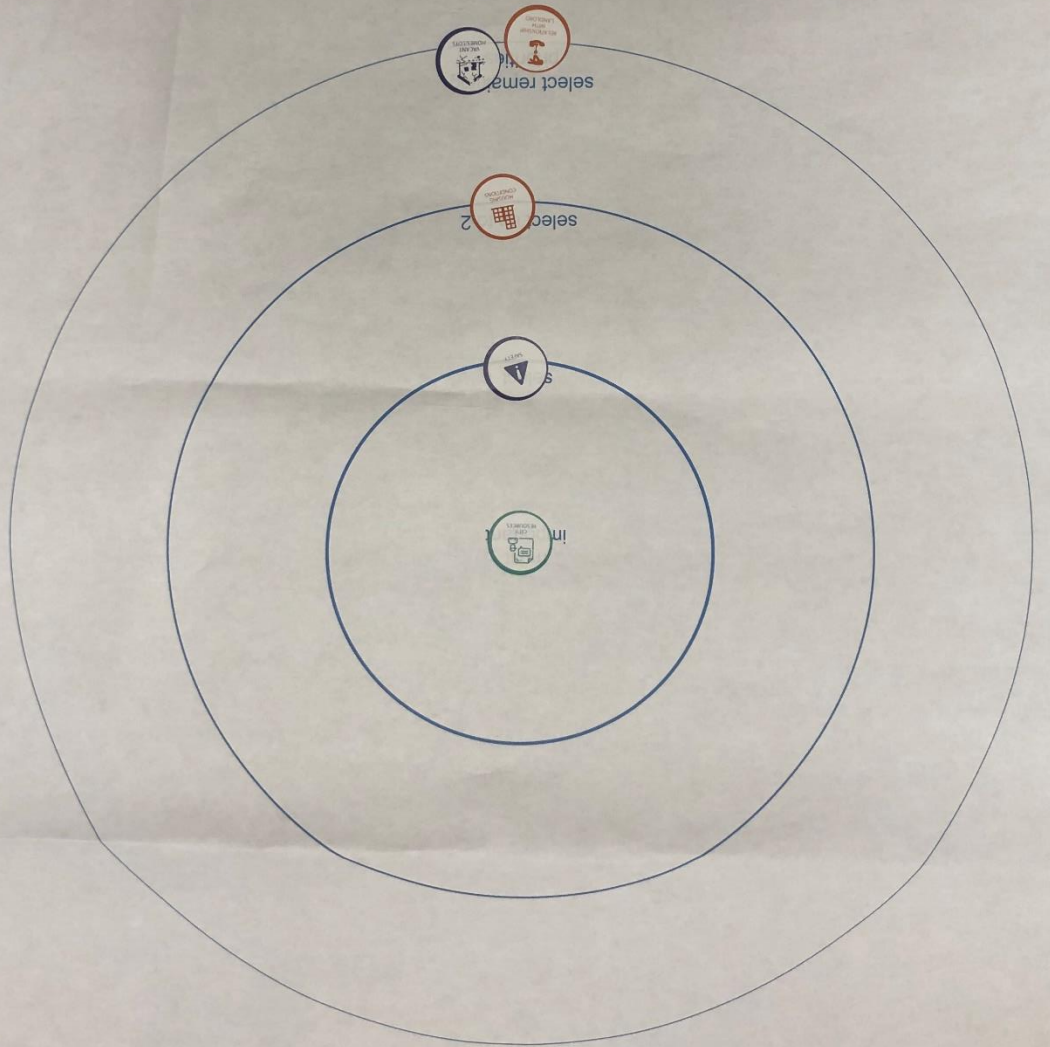
ISSUE		WHY IS IT IMPORTANT?	WOULDN'T IT BE GREAT IF...
1. What are some risks, challenges, benefits or opportunities?	What parts of this issue bothers you the most?	How can this issue be resolved? What resources would you need/want to solve for this issue?	
Challenge: lack of accessible units closer to City Center	When I moved to the City, I felt like my partner and I had no choice in terms of units → paid for > 1/3 of income for housing	Ensure that any money going to rehab units creates accessible units—even ramps at "garden" level would go a long way	
   <p>Increase police presence. There are still.</p> <p>I wish people better maintained their property. I wish there could be more enforcement for those that don't.</p>	I don't see head officers, I don't feel we have enough in the City.	How do we increase the police force?	
<p>CREATE HOUSING FOR TGNC INDIVIDUALS FACES THIS ISSUES CREATE: add more funding for HIV housing</p>	THE LACK OF FUNDING THE LACK OF SPACES.	WE SHOULD SIGNIFICANTLY ADD FUNDING FOR HIV HOUSING WE SHOULD CREATE SAFE SPACES FOR THOSELESS-TEGNC & LGBTQ INDIVIDUALS.	

CITY OF ALBANY COMMUNITY PRIORITIES



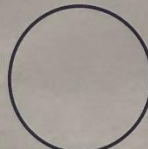


of Home Owners
 # of Tenants
 CITIES RISE
 Cities for Responsible
 Investment and
 Strategic Enforcement

Consolidated
 OMB Control

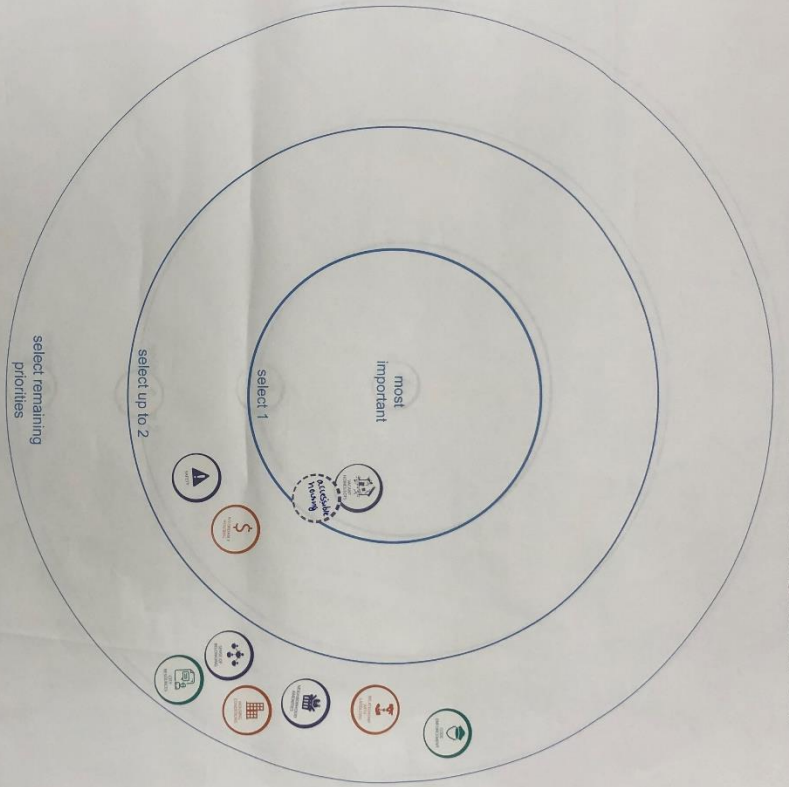
1 Rank your city's top priorities in order of importance using the bull's eye diagram.



2 Count the total number of each community priority in the inner circle (most important) and record below.

				
total #	total #	total #	total #	total #

1 Rank your city's top priorities in order of importance using the bull's eye diagram.








2 Count the total number of each community priority in the inner circle (most important) and record below.

				
total # _____	total # _____	total # _____	total # _____	total # _____

1 Rank your city's top priorities in order of importance using the bull's eye diagram.



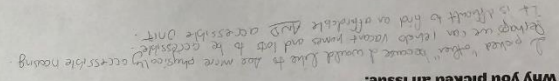
2 Count the total number of each community priority in the inner circle (most important) and record below.

				
total #	total #	total #	total #	total #

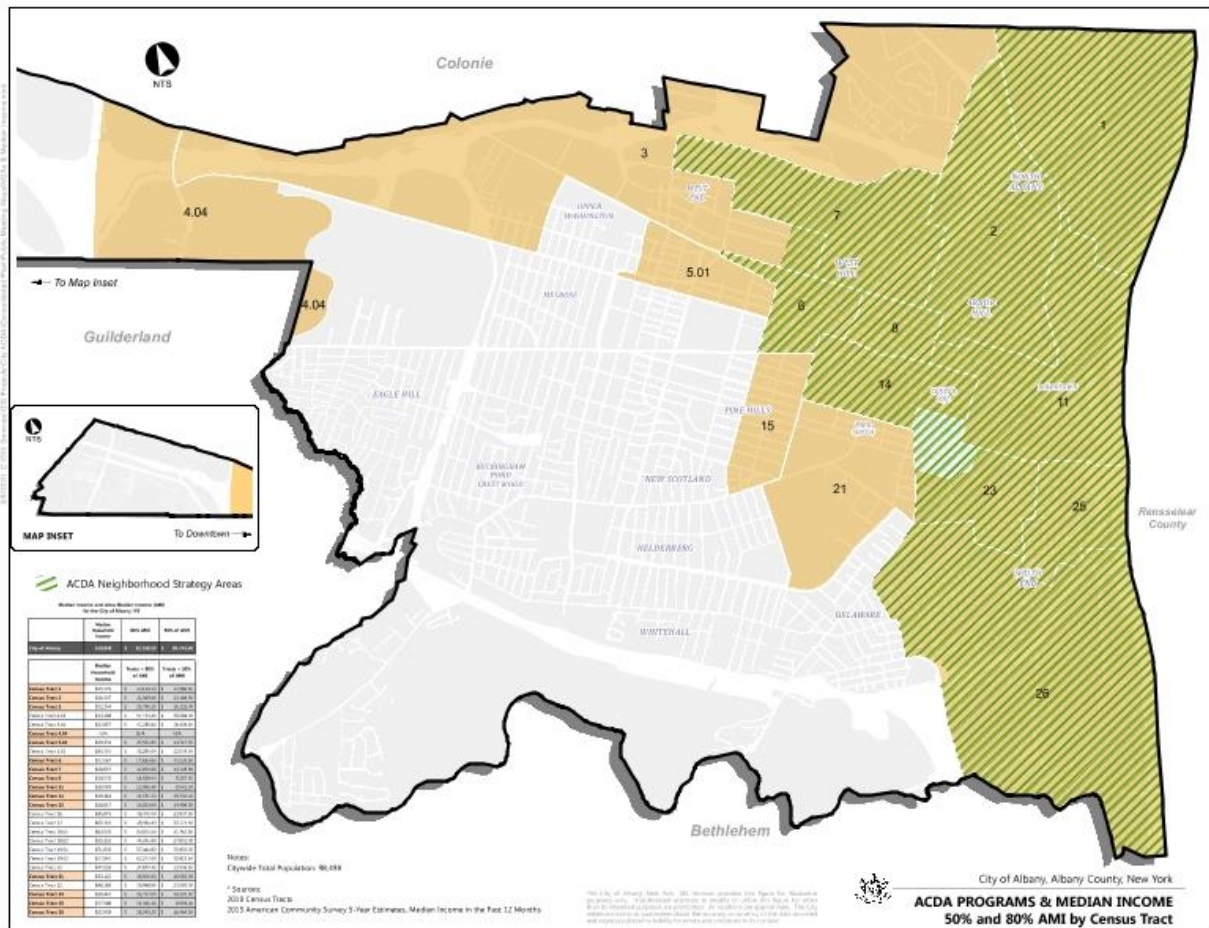


Place a dot in the 3 circles that are most important to you.

Cities for Responsible
Investment and
Strategic Enforcement



Grantee Unique Appendices



Grantee SF-424's and Certification(s)

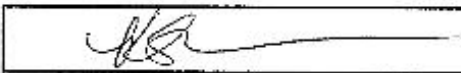
OMB Number: 4940-0064
Expiration Date: 12/31/2022

Application for Federal Assistance SF-424		
<div> <div> * 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application </div> <div> * 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision </div> <div> * If Revision, select appropriate letter(s) <input type="text"/> * Other (Specify): <input type="text"/> </div> </div>		
<div> * 3. Date Received: <input type="text"/> 4. Applicant Identifier: <input type="text"/> </div>		
6a. Federal Entity Identifier: <input type="text"/>		6b. Federal Award Identifier: <input type="text"/>
State Use Only: <div> 6. Date Received by State: <input type="text"/> 7. State Application Identifier: <input type="text"/> </div>		
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text"/> City of Albany		
* b. Employer/ taxpayer identification Number (EIN/TIN): <input type="text"/> 4-6002056		* c. Organization DUNS: <input type="text"/> 0603436830000
d. Address:		
* Street1: <input type="text"/> 24 Eagle St. Street2: <input type="text"/> * City: <input type="text"/> Albany County/Parish: <input type="text"/> * State: <input type="text"/> NY: New York Province: <input type="text"/> * Country: <input type="text"/> USA: UNITED STATES * Zip / Postal Code: <input type="text"/> 12202		
e. Organizational Unit:		
Department Name: <input type="text"/> Housing and Community Development		Division Name: <input type="text"/>
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text"/> * First Name: <input type="text"/> Page Middle Name: <input type="text"/> * Last Name: <input type="text"/> Andrews Suffix: <input type="text"/>		
Title: <input type="text"/> Director		
Organizational Affiliation: <input type="text"/> City of Albany Dept. of Housing and Community Development		
* Telephone Number: <input type="text"/> 518-434-3247		Fax Number: <input type="text"/>
* Email: <input type="text"/> andrews@albany.gov		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City or Township Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.218"/> CFDA Title: <input type="text" value="Community Development Block Grant"/>	
* 12. Funding Opportunity Number: <input type="text"/>	
* Title: <input type="text"/>	
13. Competition Identification Number: <input type="text"/>	
Title: <input type="text" value="Community Development Block Grant - Entitlement Funds"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <div> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> </div>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="City of Albany Community Development Block Grant Program"/>	
Attach supporting documents as specified in agency instructions. <div> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </div>	

Application for Federal Assistance SF-424															
16. Congressional Districts Of: <div style="display: flex; justify-content: space-between;"> * a. Applicant: <input type="text" value="20"/> * b. Program/Project: <input type="text" value="20"/> </div>															
Attach an additional list of Program/Project Congressional Districts if needed. <div style="display: flex; justify-content: space-between; align-items: center;"> <input style="width: 300px;" type="text"/> <div> <input type="button" value="Add Attachment"/> <input type="button" value="Cancel Attachment"/> <input type="button" value="Print Attachment"/> </div> </div>															
17. Proposed Project: <div style="display: flex; justify-content: space-between;"> * a. Start Date: <input type="text" value="06/01/2020"/> * b. End Date: <input type="text" value="05/31/2021"/> </div>															
18. Estimated Funding (\$): <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%;">* a. Federal</td> <td style="width: 85%; text-align: right;">3,421,173.00</td> </tr> <tr> <td>* b. Applicant</td> <td style="text-align: right;">\$0,000.00</td> </tr> <tr> <td>* c. State</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>* d. Local</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>* e. Other</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>* f. Program Income</td> <td style="text-align: right;"><input type="text"/></td> </tr> <tr> <td>* g. TOTAL</td> <td style="text-align: right;">3,521,173.00</td> </tr> </table>		* a. Federal	3,421,173.00	* b. Applicant	\$0,000.00	* c. State	<input type="text"/>	* d. Local	<input type="text"/>	* e. Other	<input type="text"/>	* f. Program Income	<input type="text"/>	* g. TOTAL	3,521,173.00
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* d. Local	<input type="text"/>														
* e. Other	<input type="text"/>														
* f. Program Income	<input type="text"/>														
* g. TOTAL	3,521,173.00														
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input style="width: 50px;" type="text"/> </div> <div> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. </div> </div> <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.															
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No </div> <div style="margin-top: 5px;"> If "Yes", provide explanation and attach <input style="width: 200px;" type="text"/> <div style="display: flex; justify-content: flex-end; gap: 10px;"> <input type="button" value="Add Attachment"/> <input type="button" value="Cancel Attachment"/> <input type="button" value="Print Attachment"/> </div> </div>															
21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001) <input checked="" type="checkbox"/> ** I AGREE															
** The list of certifications and assurances, or an internet site where you may obtain this list is contained in the announcement or agency specific instructions.															
Authorized Representative: <div style="display: flex; justify-content: space-between;"> <div> Prefix: <input style="width: 100px;" type="text"/> Middle Name: <input style="width: 150px;" type="text"/> Last Name: <input style="width: 200px;" type="text" value="Shochan"/> Suffix: <input style="width: 100px;" type="text"/> </div> <div> * First Name: <input style="width: 150px;" type="text" value="Katherine"/> </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> Title: <input style="width: 150px;" type="text" value="Mayor"/> </div> <div> * Telephone Number: <input style="width: 150px;" type="text" value="516-430-5100"/> </div> <div> Fax Number: <input style="width: 150px;" type="text"/> </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div> * Email: <input style="width: 150px;" type="text" value="kshochan@albany.gov"/> </div> <div> * Signature of Authorized Representative: </div> <div> * Date Signed: <input style="width: 100px;" type="text" value="4/10/2020"/> </div> </div>															


11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-846) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 15 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11980; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) uniformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 90-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1986, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 100(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Albany	


SF-424D (Rev. 7-97) Back

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		* 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision * If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>		4. Applicant Identifier: <input type="text"/>
5a. Federal Entity Identifier: <input type="text"/>		5b. Federal Award Identifier: <input type="text"/>
State Use Only:		
6. Date Received by State: <input type="text"/>		7. State Application Identifier: <input type="text"/>
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text" value="City of Albany"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="14-5007053"/>		* c. Organizational DUNS: <input type="text" value="060543068000"/>
d. Address:		
* Street1: <input type="text" value="21 Eagle St"/>		
Street2: <input type="text"/>		
* City: <input type="text" value="Albany"/>		
County/Parish: <input type="text"/>		
* State: <input type="text" value="NY: New York"/>		
Province: <input type="text"/>		
* Country: <input type="text" value="USA: UNITED STATES"/>		
* Zip / Postal Code: <input type="text" value="12207"/>		
e. Organizational Unit:		
Department Name: <input type="text" value="Housing and Community Development"/>		Division Name: <input type="text"/>
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text"/> First Name: <input type="text" value="Raye"/>		
Middle Name: <input type="text"/>		
* Last Name: <input type="text" value="Andrews"/>		
Suffix: <input type="text"/>		
Title: <input type="text" value="Director"/>		
Organizations Affiliation: <input type="text" value="City of Albany Department of Housing and Community Development"/>		
* Telephone Number: <input type="text" value="518-431-5247"/>		Fax Number: <input type="text"/>
* Email: <input type="text" value="randrews@albany.gov"/>		

Application for Federal Assistance SF-424		
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City or Township Government"/>		
Type of Applicant 2: Select Applicant Type: <input type="text"/>		
Type of Applicant 3: Select Applicant Type: <input type="text"/>		
* Other (specify): <input type="text"/>		
* 10. Name of Federal Agency: <input type="text" value="Housing and Urban Investment"/>		
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.205"/>		
CFDA Title: <input type="text" value="HOME Investment Partnership"/>		
* 12. Funding Opportunity Number: <input type="text"/>		
* Title: <input type="text" value="City of Albany HOME program"/>		
13. Competition Identification Number: <input type="text"/>		
Title: <input type="text"/>		
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <div> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> </div>		
* 15. Descriptive Title of Applicant's Project: <input type="text" value="City of Albany HOME Program"/>		
Attach supporting documents as specified in agency instructions. <div> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </div>		

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="20"/>	* b. Program/Project: <input type="text" value="20"/>
Attach an additional list of Program/Project Congressional Districts if needed. <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="Reset Attachments"/>	
17. Proposed Project:	
* a. Start Date: <input type="text" value="06/01/2020"/>	* b. End Date: <input type="text" value="05/31/2021"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="961,150.00"/>
* b. Applicant	<input type="text" value="1,000.00"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="962,150.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> . <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" provide explanation and attach <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="Reset Attachments"/>	
21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency solicitation instructions.	
Authorized Representative:	
Prefix: <input type="text"/>	* First Name: <input type="text" value="Katherine"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Strehlman"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Mayor"/>	
* Telephone Number: <input type="text" value="518-434-5100"/>	Fax Number: <input type="text"/>
* Email: <input type="text" value="katherine.strehlman@ny.gov"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="07/16/2020"/>

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11736; (c) protection of wetlands pursuant to EO 11890; (d) evaluation of flood hazards in floodplains in accordance with EO 11980; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1965, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1965 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470). EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Albany	


SF 4240 (Rev. 7-87) Back

Application for Federal Assistance SF-424		
<p>*1. Type of Submission:</p> <p><input type="checkbox"/> Preproposal <input checked="" type="checkbox"/> Application <input type="checkbox"/> Change/Corrected Application</p>		
<p>*2. Type of Application:</p> <p><input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision</p>		
<p>* If Revision, select appropriate letter(s):</p> <p>* Other (Specify):</p>		
<p>*3. Date Received:</p>		<p>4. Applicant Identifier:</p>
<p>5a. Federal Entity Identifier:</p>		<p>5b. Federal Award Identifier:</p>
<p>State Use Only:</p>		
<p>6. Date Received by State:</p>	<p>7. State Application Identifier:</p>	
<p>II. APPLICANT INFORMATION:</p>		
<p>* a. Legal Name: City of Albany</p>		
<p>* b. Employer/Taxpayer Identification Number (EIN/TIN):</p>		<p>* c. Organizations DUNS:</p>
<p>16-6702008</p>		<p>06030100000000</p>
<p>d. Address:</p>		
<p>* Street1: 24 Sagin St.</p>		
<p>Street2:</p>		
<p>* City: Albany</p>		
<p>County/Parish:</p>		
<p>* State: NY; New York</p>		
<p>Province:</p>		
<p>* Country: USA; UNITED STATES</p>		
<p>* Zip / Postal Code: 12207</p>		
<p>e. Organizational Unit:</p>		
<p>Department Name:</p>		<p>Division Name:</p>
<p>Housing and Community Develop</p>		
<p>f. Name and contact information of person to be contacted on matters involving this application:</p>		
<p>Prefix:</p>		<p>* First Name: Sayo</p>
<p>Middle Name:</p>		
<p>* Last Name: Andrews</p>		
<p>Suffix:</p>		
<p>Title: Director</p>		
<p>Organizational Affiliation:</p>		
<p>City of Albany Dept. of Housing and Community Development</p>		
<p>* Telephone Number: 518-434-8047</p>		<p>Fax Number:</p>
<p>* Email: fadecms@albanyny.gov</p>		

Application for Federal Assistance SF-424			
* 9. Type of Applicant 1: Select Applicant Type:			
<input type="text" value="City or Township Government"/>			
Type of Applicant 2: Select Applicant Type:			
<input type="text"/>			
Type of Applicant 3: Select Applicant Type:			
<input type="text"/>			
* Other (specify):			
<input type="text"/>			
* 10. Name of Federal Agency:			
<input type="text" value="Housing and Urban Development"/>			
11. Catalog of Federal Domestic Assistance Number:			
<input type="text" value="14.221"/>			
CFDA Title:			
<input type="text" value="Emergency Solutions Grant"/>			
* 12. Funding Opportunity Number:			
<input type="text"/>			
* Title:			
<input type="text" value="City of Albany Emergency Solutions Grant Program"/>			
13. Competition Identification Number:			
<input type="text"/>			
Title:			
<input type="text"/>			
14. Areas Affected by Project (Cities, Counties, States, etc.):			
<input type="text"/>	<input type="button" value="Add Attachment"/>	<input type="button" value="Update Attachment"/>	<input type="button" value="Remove Attachment"/>
* 15. Descriptive Title of Applicant's Project:			
<input type="text" value="City of Albany Emergency Solutions Grant Program"/>			
Attach supporting documents as specified in agency instructions.			
<input type="button" value="Add Attachments"/>	<input type="button" value="Update Attachments"/>	<input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: 20	* b. Program/Project: 20
Attach an additional list of Program/Project Congressional Districts if needed. <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date: 06/01/2020	* b. End Date: 05/31/2021
18. Estimated Funding (\$):	
* a. Federal:	393,353.00
* b. Applicant:	
* c. State:	
* d. Local:	
* e. Other:	
* f. Program Income:	
* g. TOTAL:	393,353.00
19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" provide explanation and attach <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001) <input checked="" type="checkbox"/> I AGREE <small>** The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</small>	
Authorized Representative:	
Prefix:	* First Name: Katherine
Middle Name:	
* Last Name:	Greenham
Suffix:	
* Title:	Mayor
* Telephone Number:	516-434-5000
Fax Number:	
* Email:	kschmitt@albany.gov
* Signature of Authorized Representative:	* Date Signed: 4/10/2020

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514, (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990, (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1966, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-203).
16. Will comply with the Wild and Scenic Rivers Act of 1966 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11503 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Albany	


8F-424D (Rev. 7-97) Back

Application for Federal Assistance SF-424		
<p>* 1. Type of Submission:</p> <p><input type="checkbox"/> Preapplication</p> <p><input checked="" type="checkbox"/> Application</p> <p><input type="checkbox"/> Changed/Corrected Application</p>		
<p>* 2. Type of Application:</p> <p><input type="checkbox"/> New</p> <p><input type="checkbox"/> Continuation</p> <p><input type="checkbox"/> Revision</p>		
<p>* 3. Revision, select appropriate letter(s):</p> <p><input type="text"/></p> <p>* Other/Specify:</p> <p><input type="text"/></p>		
<p>* 5. Date Received:</p> <p><input type="text"/></p>		<p>4. Applicant Identifier:</p> <p><input type="text"/></p>
<p>6a. Federal Entity Identifier:</p> <p><input type="text"/></p>		<p>6b. Federal Award Identifier:</p> <p><input type="text"/></p>
<p>State Use Only:</p>		
<p>6. Date Received by State:</p> <p><input type="text"/></p>		<p>7. State Application Identifier:</p> <p><input type="text"/></p>
<p>8. APPLICANT INFORMATION:</p>		
<p>* a. Legal Name: <input type="text" value="City of Albany"/></p>		
<p>* b. Employer/Taxpayer Identification Number (EIN/TIN):</p> <p><input type="text" value="6002058"/></p>		<p>* c. Organizational DUNS:</p> <p><input type="text" value="3905439089008"/></p>
<p>d. Address:</p>		
<p>* Street1: <input type="text" value="24 Eagle St"/></p>		
<p>* Street2: <input type="text"/></p>		
<p>* City: <input type="text" value="Albany"/></p>		
<p>* County/Parish: <input type="text"/></p>		
<p>* State: <input type="text" value="NY: New York"/></p>		
<p>* Province: <input type="text"/></p>		
<p>* Country: <input type="text" value="USA: UNITED STATES"/></p>		
<p>* Zip/Postal Code: <input type="text" value="12207"/></p>		
<p>e. Organizational Unit:</p>		
<p>Department Name:</p> <p><input type="text" value="Housing and Community Development"/></p>		<p>Division Name:</p> <p><input type="text"/></p>
<p>f. Name and contact information of person to be contacted on matters involving this application:</p>		
<p>Prefix: <input type="text"/></p>		<p>* First Name: <input type="text" value="David"/></p>
<p>Middle Name: <input type="text"/></p>		
<p>* Last Name: <input type="text" value="Andrews"/></p>		
<p>Suffix: <input type="text"/></p>		
<p>Title: <input type="text" value="Director"/></p>		
<p>Organizational Affiliation:</p> <p><input type="text" value="City of Albany Housing and Community Development"/></p>		
<p>* Telephone Number: <input type="text" value="518 434 5747"/></p>		<p>Fax Number: <input type="text"/></p>
<p>* Email: <input type="text" value="dandrews@albany.gov"/></p>		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City or Township Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14.241"/>	
CFDA Title: <input type="text" value="Housing Opportunities for Persons with AIDS"/>	
* 12. Funding Opportunity Number: <input type="text"/>	
* Title: <input type="text" value="City of Albany HOME Program"/>	
13. Competition Identification Number: <input type="text"/>	
Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <div> <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/> </div>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="City of Albany HOME Program"/>	
Attach supporting documents as specified in agency instructions. <div> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </div>	

Application for Federal Assistance SF-424																																	
16. Congressional Districts Of: <div style="display: flex; justify-content: space-between;"> * a. Applicant: <input type="text" value="20"/> * b. Program/Project: <input type="text" value="20"/> </div>																																	
Attach an additional list of Program/Project Congressional Districts if needed. <div style="display: flex; justify-content: space-between; align-items: center;"> <input type="text"/> <div> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/> </div> </div>																																	
17. Proposed Project: <div style="display: flex; justify-content: space-between;"> * a. Start Date: <input type="text" value="05/01/2020"/> * b. End Date: <input type="text" value="05/31/2021"/> </div>																																	
18. Estimated Funding (\$): <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15%;">* a. Federal</td> <td style="border: 1px solid black; text-align: right;">683,107.00</td> </tr> <tr> <td>* b. Applicant</td> <td style="border: 1px solid black;"></td> </tr> <tr> <td>* c. State</td> <td style="border: 1px solid black;"></td> </tr> <tr> <td>* d. Local</td> <td style="border: 1px solid black;"></td> </tr> <tr> <td>* e. Other</td> <td style="border: 1px solid black;"></td> </tr> <tr> <td>* f. Program Income</td> <td style="border: 1px solid black;"></td> </tr> <tr> <td>* g. TOTAL</td> <td style="border: 1px solid black; text-align: right;">683,107.00</td> </tr> </table>		* a. Federal	683,107.00	* b. Applicant		* c. State		* d. Local		* e. Other		* f. Program Income		* g. TOTAL	683,107.00																		
* a. Federal	683,107.00																																
* b. Applicant																																	
* c. State																																	
* d. Local																																	
* e. Other																																	
* f. Program Income																																	
* g. TOTAL	683,107.00																																
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>. <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372. </div> <div style="border: 1px solid black; width: 150px; height: 20px;"></div> </div>																																	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No </div> <div style="border: 1px solid black; width: 150px; height: 20px;"></div> </div> <p>If "Yes", provide explanation and attach <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachments"/></p>																																	
21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001) <input checked="" type="checkbox"/> I AGREE <small>** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions</small>																																	
Authorized Representative: <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Prefix:</td> <td style="border: 1px solid black; width: 150px;"></td> <td style="width: 20%;">* First Name:</td> <td style="border: 1px solid black; width: 150px;">Katherine</td> </tr> <tr> <td>Middle Name:</td> <td style="border: 1px solid black;"></td> <td></td> <td></td> </tr> <tr> <td>* Last Name:</td> <td style="border: 1px solid black;">Sheehan</td> <td></td> <td></td> </tr> <tr> <td>Suffix:</td> <td style="border: 1px solid black;"></td> <td></td> <td></td> </tr> <tr> <td>* Title:</td> <td colspan="3" style="border: 1px solid black;">Mayor</td> </tr> <tr> <td>* Telephone Number:</td> <td style="border: 1px solid black;">518-434-5100</td> <td>Fax Number:</td> <td style="border: 1px solid black;"></td> </tr> <tr> <td>* Email:</td> <td colspan="3" style="border: 1px solid black;">KSheehan@albanyny.gov</td> </tr> <tr> <td>* Signature of Authorized Representative:</td> <td colspan="2" style="border: 1px solid black; text-align: center;"> </td> <td>* Date Signed: <input type="text" value="4/10/2020"/></td> </tr> </table>		Prefix:		* First Name:	Katherine	Middle Name:				* Last Name:	Sheehan			Suffix:				* Title:	Mayor			* Telephone Number:	518-434-5100	Fax Number:		* Email:	KSheehan@albanyny.gov			* Signature of Authorized Representative:			* Date Signed: <input type="text" value="4/10/2020"/>
Prefix:		* First Name:	Katherine																														
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* Signature of Authorized Representative:			* Date Signed: <input type="text" value="4/10/2020"/>																														

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and Federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 16 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11888; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11592 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE <input type="text"/>
APPLICANT ORGANIZATION <input type="text"/>	DATE SUBMITTED <input type="text"/>

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APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan;

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,

2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.



Signature of Authorized Official


4/10/2020

Date

Mayor

Title

Discharge Policy - The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Signature of Authorized Official

4/10/2020

Date

Mayer

Title

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance – If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs – It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering – Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



Signature of Authorized Official

4/10/2020

Date

Mayor

Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.



Signature of Authorized Official

4/10/2020

Date

Mayor

Title

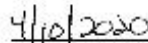
Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

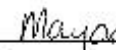
Compliance with Laws -- It will comply with applicable laws.



Signature of Authorized Official



Date



Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 46 + 47 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.


Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.



Signature of Authorized Official

4/10/2000

Date



Title

Appendixx - Alternate/Local Data Sources